### **G54FOP: Lecture 3** *Programming Language Semantics: Introduction*

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### Why Does PL Semantics Matter? (1)

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# Why Does PL Semantics Matter? (1)

- Documentation
  - Programmers ("What does X mean? Did the compiler get it right?")
  - Implementers ("How to implement X?")

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- Documentation
  - Programmers ("What does X mean? Did the compiler get it right?")
  - Implementers ("How to implement X?")
- Formal Reasoning
  - Proofs about programs
  - Proofs about programming languages (E.g. "Well-typed programs do not go wrong")
  - Proofs about tools
     (E.g. compiler correctness)

## Why Does PL Semantics Matter? (2)

- Language Design
  - Semantic simplicity is a good guiding principle
  - Ensure desirable meta-theoretical properties hold (like "well-typed programs do not go wrong")

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## Why Does PL Semantics Matter? (2)

### Language Design

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- Research

- Static Semantics: "compile-time" meaning
  - Scope rules
  - Type rules

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- Exactly what value does a term evaluate to?
- What are the effects of a computation?

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- Type rules

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Dynamic Semantics: "run-time" meaning

Exactly what value does a term evaluate to?

- What are the effects of a computation?

Example: the meaning of 1+2 is the integer 3.

Distinction between static and dynamic semantics not always clear cut. E.g.

- Multi-staged languages ("more than one run-time")
- Dependently typed languages (computation at the type level)

### Main examples:

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  - Kinds:
    - small-step semantics: each step is atomic; more machine like
    - structural operational semantics (SOS): compound, but still simple, steps
    - big-step or natural semantics: Single, compound step evaluates term to final value.

• *small-step* semantics:

$$t \to t^{(1)} \to t^{(2)} \to \ldots \to v$$

structural operational semantics:

$$t \xrightarrow{\nabla} t^{(1)} \xrightarrow{\nabla} t^{(2)} \xrightarrow{\nabla} \dots \xrightarrow{\nabla} v$$

• big-step or natural semantics:

 $t \xrightarrow{\nabla} v$ 

where  $\nabla$  suggests a proof (tree) justifying the step.

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Consider a simple machine for evaluating arithmetic expressions. Its state is denoted

### $(\bar{t},\bar{v})$

#### where

•  $\bar{t}$  is a sequence of expressions (including values) and operators

•  $\bar{v}$  is a stack (sequence) of values

Idea of the machine. Given state  $(\bar{t}, \bar{v})$ :

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- If head of  $\overline{t}$  is an expression, prepend the individual subexpressions and the operator to the tail of  $\overline{t}$ .
- If head of t
   is an operator, apply it to appropriate number of arguments on top of the value stack and replace them with the result.

An evaluation might proceed as follows:

 $(\langle 1+(2-3) \rangle, \langle \rangle)$ 

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 $(\langle 1+(2-3)\rangle, \langle \rangle) \rightarrow (\langle 2-3, 1, +\rangle, \langle \rangle)$ 

$$\begin{array}{rcl} (\langle \texttt{1+(2-3)} \rangle, \langle \rangle) & \longrightarrow & (\langle \texttt{2-3}, \texttt{1}, \texttt{+} \rangle, \langle \rangle) \\ & \longrightarrow & (\langle \texttt{3}, \texttt{2}, \texttt{-}, \texttt{1}, \texttt{+} \rangle, \langle \rangle) \end{array}$$

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$$\begin{array}{l} (\langle \mathbf{1} + (\mathbf{2} - \mathbf{3}) \rangle, \langle \rangle) & \longrightarrow \quad (\langle \mathbf{2} - \mathbf{3}, \mathbf{1}, \mathbf{+} \rangle, \langle \rangle) \\ & \longrightarrow \quad (\langle \mathbf{3}, \mathbf{2}, -, \mathbf{1}, \mathbf{+} \rangle, \langle \rangle) \\ & \longrightarrow \quad (\langle \mathbf{2}, -, \mathbf{1}, \mathbf{+} \rangle, \langle \mathbf{3} \rangle) \\ & \longrightarrow \quad (\langle \mathbf{2}, -, \mathbf{1}, \mathbf{+} \rangle, \langle \mathbf{3} \rangle) \\ & \longrightarrow \quad (\langle \mathbf{1}, \mathbf{+} \rangle, \langle \mathbf{2}, \mathbf{3} \rangle) \\ & \longrightarrow \quad (\langle \mathbf{1}, \mathbf{+} \rangle, \langle \mathbf{-1} \rangle) \\ & \longrightarrow \quad (\langle \mathbf{+} \rangle, \langle \mathbf{1}, -\mathbf{1} \rangle) \end{array}$$

An evaluation might proceed as follows:

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Exercise: Evaluate  $(\langle (5-3) * (1+2) \rangle, \langle \rangle)$ 

### Note:

. . . . . .

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#### Note:

- Each step describes a small, essentially mechanical, syntactic transformation of the machine state; i.e., a very operational view of computation.
- Our description was informal. We will discuss at length how to formalise operational semantics in a mathematically precise way, typically using *inference rules*.

# **Styles of Semantics (3)**

- Denotational Semantics: More abstract view:
  - meaning of a term is a mathematical object (like a *number* (e.g.  $\mathbb{N}$  or  $\mathbb{Z}$ ) or *function* (e.g.  $\mathbb{Z} \to \mathbb{Z}$ );
  - an interpretation function maps terms ((abstract) syntax) to their meaning (semantics).

# **Example: Denotational Semantics (1)**

Given the abstract syntax for expressions:



#### **Example: Denotational Semantics (2)**

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 $\begin{bmatrix} \cdot \end{bmatrix} : e \to \mathbb{Z}$  $\begin{bmatrix} n \end{bmatrix} = n$  $\begin{bmatrix} e_1 + e_2 \end{bmatrix} = \begin{bmatrix} e_1 \end{bmatrix} + \begin{bmatrix} e_2 \end{bmatrix}$  $\begin{bmatrix} e_1 - e_2 \end{bmatrix} = \begin{bmatrix} e_1 \end{bmatrix} - \begin{bmatrix} e_2 \end{bmatrix}$  $\begin{bmatrix} e_1 * e_2 \end{bmatrix} = \begin{bmatrix} e_1 \end{bmatrix} - \begin{bmatrix} e_2 \end{bmatrix}$ 

Not vacuous: e.g., note the difference between +, syntax, and +, the ordinary function plus.

# **Example: Denotational Semantics (3)**

#### Exercise. Given:

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Calculate the denotation (meaning) of:

1 + (2 - 3)

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# **Styles of Semantics (4)**

- Axiomatic Semantics: More abstract still:
  - An operational or denotational semantics implies certain properties or laws.
  - An axiomatic semantics takes such laws as the starting point: the laws defines the semantics and the meaning is just what can be proved.
  - Closely related to Hoare logic.

The meaning of a command *c* is given by specifying a *precondition* and a *postcondition*:

 $\{PRE\} \ c \ \{POST\}$ 

This says: If PRE holds for a program state, then executing c in that state will terminate and POST will hold in the resulting state.

For example, the meaning of assignment is given by:  $\{P[v \mapsto e]\} \ v := e \ \{P\}$ 

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This says: For any predicate P whatsoever that holds in a program state when e is substituted for free occurrences of v, it is the case that P holds in the state resulting after the assignment.

Note: Nothing is said about how execution is carried out, but the focus is on what its effect is.

We can now prove e.g.

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 $\{x = 8\}$  $\{\mathbf{x} = 8 - 1\}$  arithmetic  $\{x = 7\}$ 

postcondition  $\{\mathbf{x}+1=8\}$  substituting  $\mathbf{x}+1$  for  $\mathbf{x}$ simplification yields precondition

Works for any predicate. Consider proving:  $\{\mathbf{x} \ge 0\} \mathbf{x} := \mathbf{x+1} \{\mathbf{x} > 0\}$ 

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 $\{ \mathbf{x} > 0 \}$  postcondition  $\{ \mathbf{x} + 1 > 0 \}$  substituting  $\mathbf{x} + 1$  for  $\mathbf{x}$  $\{ \mathbf{x} + 1 \ge 1 \}$   $n > 0 \equiv n \ge 1$  for any integer n $\{ \mathbf{x} \ge 1 - 1 \}$  arithmetic

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 $\begin{aligned} & \{\mathbf{x} > 0\} & \text{postcondition} \\ & \{\mathbf{x} + 1 > 0\} & \text{substituting } \mathbf{x} + 1 \text{ for } \mathbf{x} \\ & \{\mathbf{x} + 1 \ge 1\} & n > 0 \equiv n \ge 1 \text{ for any integer } n \\ & \{\mathbf{x} \ge 1 - 1\} & \text{arithmetic} \\ & \{\mathbf{x} \ge 0\} & \text{simplification yields precondition} \end{aligned}$ 

Exercise. Prove:

 $\{i = 6 \land j = 7\}$  i := i \*  $j \{i = 42 \land j = 7\}$