

# G54FOP: Lecture 12

## *Types and Type Systems I*

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# This Lecture

- Types and type systems
- Language safety
- Achieving safety through types:
  - relating static and dynamic semantics
  - Safety = Progress + Preservation

Much of this lecture follows parts of the first few chapters of B. C. Pierce 2002 *Types and Programming Languages* closely.

# Types and Type Systems (1)

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A plausible definition (Pierce):

*A type system is a tractable syntactic method for proving the absence of certain program behaviors by classifying phrases according to the kinds of values they compute.*

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- **Static (= compile time) checking** implied since the goal is to **prove** absence of certain errors.
- Done by **classifying** syntactic phrases (or **terms**) according to the **kinds** of value they compute: a type system computes a **static approximation** of the run-time behaviour.

# Types and Type Systems (3)

Example: if known that two program fragments  $exp_1$  and  $exp_2$  compute integers (**classification**), then it is safe to add those numbers together (**absence of errors**):

$$exp_1 + exp_2$$

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Example: if known that two program fragments  $exp_1$  and  $exp_2$  compute integers (**classification**), then it is safe to add those numbers together (**absence of errors**):

$$exp_1 + exp_2$$

Also known that the result is an integer. While not known exactly which integers are involved, at least known they are integers and nothing else (**static approximation**).

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Example. In a dynamically checked language,  $exp_1 + exp_2$  would be evaluated as follows:

- Evaluate  $exp_1$  and  $exp_2$
- Add results together in a manner depending on their types (integer addition, floating point addition, ...), or signal error if not possible.

# Types and Type Systems (5)

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For example, typically

*if complex test then S else type error*

will be rejected as ill-typed, even if *complex test* actually always evaluates to true, since that cannot be known statically in general.

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For example: current main-stream type systems typically

**do check** that arithmetic operations only are done on numbers

**do not check** that the second operand of division is not zero, that array indices are within bounds.

# Types and Type Systems (7)

- The **safety** or **soundness** of a type system must be judged with respect to its own set of run-time errors.

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Other examples: lexical scope rules, visibility attributes (`public`, `protected`, ...).

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- Scheme is a dynamically checked safe language.
- Even statically typed languages usually use some dynamic checks; e.g.:
  - checking of array bounds
  - down-casting (e.g. Java)
  - checking for division by zero
  - pattern-matching failure

# Language Safety (3)

Some examples of statically and dynamically checked safe and unsafe high-level languages:

	<b>Statically chkd</b>	<b>Dynamically chkd</b>
<b>Safe</b>	ML, Haskell, Java	Lisp, Scheme, Perl, Python, Postscript
<b>Unsafe</b>	C, C++	Certain Basic dialects

# Static and Dynamic Semantics

In summary:

- A type system **statically** proves properties about the **dynamic** behaviour of a programs.
- To make precise exactly what these properties are, and formally **prove** that a type system achieves its goals, both the
  - **static semantics**
  - **dynamic semantics**must first be formalized.

# Example Language: Abstract Syntax

Example language. (Will be extended later.)

$t \rightarrow$		<i>terms:</i>
	<b>true</b>	<i>constant true</i>
	<b>false</b>	<i>constant false</i>
	<b>if</b> $t$ <b>then</b> $t$ <b>else</b> $t$	<i>conditional</i>
	<b>0</b>	<i>constant zero</i>
	<b>succ</b> $t$	<i>successor</i>
	<b>pred</b> $t$	<i>predecessor</i>
	<b>iszero</b> $t$	<i>zero test</i>

# Values

$v \rightarrow$

	<b>true</b>	<i>true value</i>
	<b>false</b>	<i>false value</i>
	$nv$	<i>numeric value</i>

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Recall: all values are **normal forms**.

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We will define the dynamic semantics *operationally* by giving a (small step) evaluation relation:

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**if true then  $t_2$  else  $t_3$**   $\longrightarrow t_2$       (E-IFTRUE)

**if false then  $t_2$  else  $t_3$**   $\longrightarrow t_3$       (E-IFFALSE)

$$\frac{t_1 \longrightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3} \quad (\text{E-IF})$$



# Dynamic Semantics (2)

$$\frac{t_1 \longrightarrow t'_1}{\mathbf{succ} \ t_1 \longrightarrow \mathbf{succ} \ t'_1} \quad (\text{E-SUCC})$$

$$\mathbf{pred} \ 0 \longrightarrow 0 \quad (\text{E-PREDZERO})$$

$$\mathbf{pred} \ (\mathbf{succ} \ nv_1) \longrightarrow nv_1 \quad (\text{E-PREDSUCC})$$

$$\frac{t_1 \longrightarrow t'_1}{\mathbf{pred} \ t_1 \longrightarrow \mathbf{pred} \ t'_1} \quad (\text{E-PRED})$$

# Dynamic Semantics (3)

**iszero 0**  $\longrightarrow$  **true** (E-ISZEROZERO)

**iszero (succ  $nv_1$ )**  $\longrightarrow$  **false** (E-ISZEROSUCC)

$$\frac{t_1 \longrightarrow t'_1}{\mathbf{iszero} \ t_1 \longrightarrow \mathbf{iszero} \ t'_1} \quad (\text{E-ISZERO})$$

# Stuck Terms

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Normal forms that are not values are called ***stuck terms***.

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- Why stuck?
  - A stuck term is **nonsensical** according to the dynamic semantics.
  - We are attempting to **break the abstractions** of the language.
- We let the notion of getting stuck **model run-time errors**.
- The **goal** of a type system is to rule out **all** ill-defined programs, thus **guaranteeing** that a “good”, i.e., **well-typed**, program **never gets stuck!**

## Aside: Curry vs. Church Style

This is the “Curry-style” approach: the dynamic semantics comes before the static semantics.

Alternatively, one can start with the static semantics, and then only consider the dynamic semantics of well-typed terms: the “Church-style” approach.

# Types

At this point, there are only two types, booleans and the natural numbers:

$T \rightarrow$  *types:*

<b>Bool</b>	<i>type of booleans</i>
<b>Nat</b>	<i>type of natural numbers</i>

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$$\frac{t_1 : \text{Nat}}{\text{iszero } t_1 : \text{Bool}} \quad (\text{T-ISZERO})$$

# Safety = Progress + Preservation (1)

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- **Preservation:** If a well-typed term takes a step of evaluation, then the resulting term is also well-typed. (Aka **Subject Reduction**)

Together, these properties say that a well-typed term can never reach a stuck state during evaluation.



# Safety = Progress + Preservation (2)

Formally:

- THEOREM [PROGRESS]: Suppose that  $t$  is a well-typed term (i.e.,  $t : T$ ), then either  $t$  is a value or else there is some  $t'$  with  $t \longrightarrow t'$ .

PROOF: By induction on a derivation of  $t : T$ .

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PROOF: By induction on a derivation of  $t : T$ .

(Strong form: exact type  $T$  preserved.)

# Progress: A Proof Fragment (1)

The relevant *typing* and *evaluation* rules for the case T-IF:

$$\frac{t_1 : \mathbf{Bool} \quad t_2 : T \quad t_3 : T}{\mathbf{if } t_1 \mathbf{ then } t_2 \mathbf{ else } t_3 : T} \quad (\text{T-IF})$$

$$\mathbf{if true then } t_2 \mathbf{ else } t_3 \longrightarrow t_2 \quad (\text{E-IFTRUE})$$

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# Progress: A Proof Fragment (2)

A typical case when proving Progress by induction on a derivation of  $t : T$ .

Case T-IF:  $t = \text{if } t_1 \text{ then } t_2 \text{ else } t_3$   
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By ind. hyp, either  $t_1$  is a value, or else there is some  $t'_1$  such that  $t_1 \longrightarrow t'_1$ . If  $t_1$  is a value, then it must be either **true** or **false**, in which case either E-IFTRUE or E-IFFALSE applies to  $t$ .

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Evaluation can be made by one of the rules E-IFTRUE, E-IFFALSE, E-IF.

If evaluation is by any of the two former, then the result is either  $t_2$  or  $t_3$ . But both have type  $T$ , just like  $t$ , so the type is manifestly preserved.

# Preservation: A Proof Fragment (2)

Case T-IF:  $t = \mathbf{if} \ t_1 \ \mathbf{then} \ t_2 \ \mathbf{else} \ t_3$   
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If evaluation is by rule E-IF, then we know  $t_1 \longrightarrow t'_1$ . Thus, by the induction hypothesis, we know  $t'_1 : \mathbf{Bool}$ . And then we can conclude by T-IF that  $\mathbf{if} \ t'_1 \ \mathbf{then} \ t_2 \ \mathbf{else} \ t_3 : T$ , so the type is preserved also in this case.

# Homework

1. Prove Progress for the case T-TRUE.
2. Prove Preservation for the case T-TRUE.
3. Prove Progress for the case T-ISZERO.
4. Prove Preservation for the case T-ISZERO.

# Exceptions (1)

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- head of empty list

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If the type system does not rule them out, we need to differentiate those from stuck terms, or we can no longer claim that “well-typed programs do not go wrong”!

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- typing rule: **error** :  $T$

# Exceptions (3)

- introduce propagation rules to ensure that the entire program evaluates to **error** once the exception has been raised (unless there is some exception handling mechanism), e.g.:

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- change the Progress theorem slightly to allow for exceptions:

**THEOREM [PROGRESS]:** Suppose that  $t$  is a well-typed term (i.e.,  $t : T$ ), then either  $t$  is a value **or error**, or else there is some  $t'$  with  $t \longrightarrow t'$ .

## Aside: **error** is not a value

For technical reasons, to avoid overlap between evaluation rules that propagate error and the normal ones, it can be preferable to not consider **error** a value.

E.g., for function application we might have

$$(\lambda x . t) v \longrightarrow [x \mapsto v]t$$

which would overlap with

$$v \text{ **error** } \longrightarrow \text{**error**}$$

if error were considered a value.