



Dependent Containers

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based on joint work with Neil Ghani, Conor McBride and Peter Hancock
University of Nottingham

What is a container?

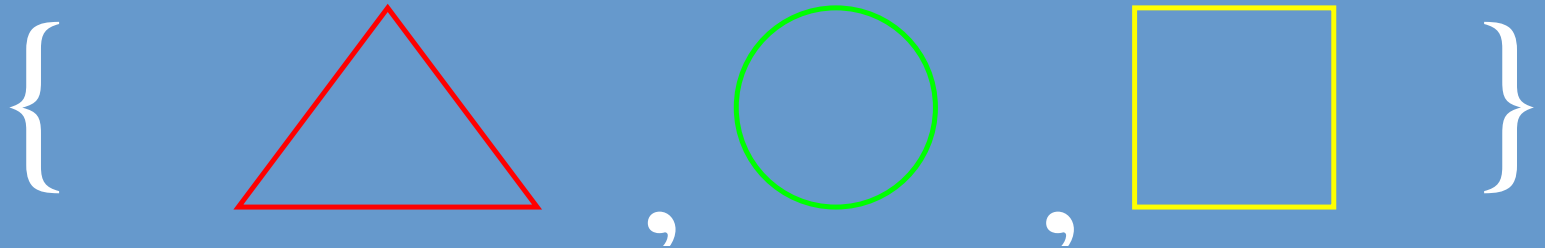
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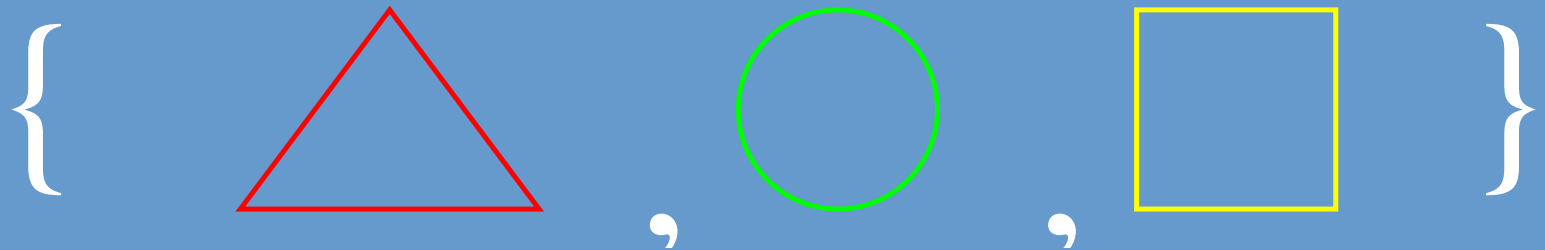
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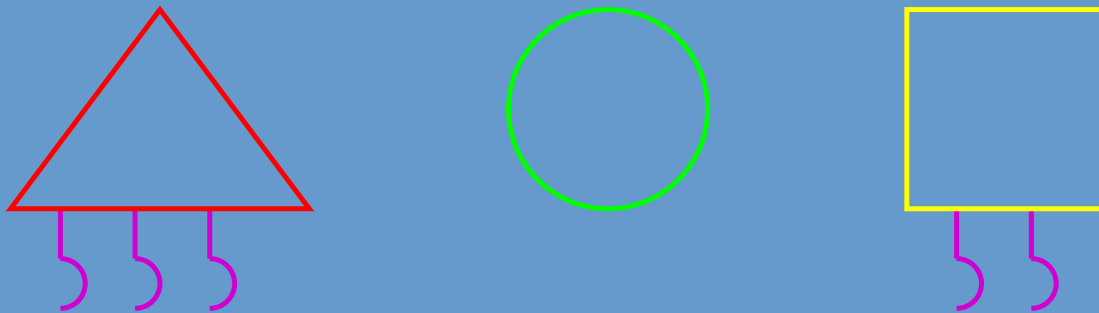
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- A set S of shapes, e.g.



- For any shape $s \in S$ a set of positions $P(s)$, e.g.



What to do with a container?

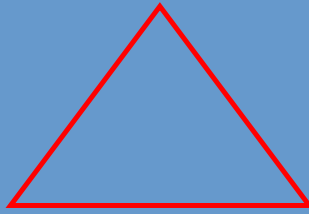
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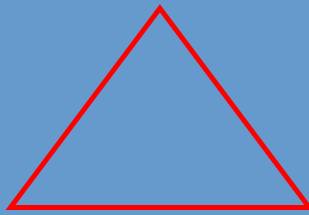
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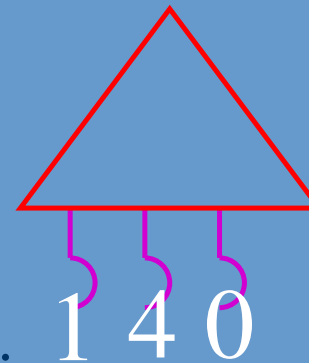
What to do with a container?

Given some payload X , e.g. $X = \text{Nat}$ we can instantiate a container by

- Choosing a shape, e.g.



- Filling the positions with payload, e.g. e.g.



Extension of a container type

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The extension $\llbracket S \triangleright P \rrbracket$ of a container is given by an endofunctor $\mathbf{Set} \rightarrow \mathbf{Set}$:

$$\llbracket S \triangleright P \rrbracket(X) = \Sigma_{s \in S} P(s) \rightarrow X$$

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where

$$\Sigma a \in A.B(a) = \{(a, b) \mid a \in A \wedge b \in B(a)\}$$

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$$\begin{aligned} \text{List } X &\simeq \Sigma n \in \text{Nat}. \{i < n\} \rightarrow X \\ &= \Sigma n \in \text{Nat}. n \rightarrow X \end{aligned}$$

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Its extension is an endofunctor $\mathbf{Set}^n \rightarrow \mathbf{Set}$ is:

$$[[S \triangleright \vec{P}]](X) = \Sigma s \in S. \Pi i < n. P i s \rightarrow X i$$

Morphisms of containers

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Given containers

$$F(X) = \Sigma s \in S.P(s) \rightarrow X$$

$$G(X) = \Sigma t \in T.Q(t) \rightarrow X$$

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$$= (s, h) \mapsto (f(s), h \circ u(s))$$

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Example: any natural transformation $g \in \prod X. \text{List } X \rightarrow \text{List } X$ is given by:

$$f : \text{Nat} \rightarrow \text{Nat}$$

$$u : \prod n : \text{Nat}. (f \ n) \rightarrow n$$

Strictly positive types

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- A **Martin-Löf category** is an extensive, locally cartesian closed category with W-types.
- **Theorem:** All strictly positive types are representable as containers in any Martin-Löf category.
- **Corollary :** All closed strictly positive types are representable in any Martin-Löf category.

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- Martin-Löf categories have representations of all strictly positive **non-dependent** inductive and coinductive types.
- We have developed a theory of **non-dependent** datatypes in a **dependently** typed framework.

Dependently typed programming

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data $\frac{n : \text{Nat} \quad X : \star}{\text{Vec } n \ X : \star}$ where $\frac{}{\text{nil} : \text{Vec } 0 \ X}$ $\frac{x : X \quad xs : \text{Vec } n \ X}{x :: xs : \text{Vec } (1+n) \ X}$

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$$\text{vnth } xs \ i \leftarrow \underline{\text{case}} \ i$$

$$\text{vnth } xs \ \overline{0} \leftarrow \underline{\text{case}} \ xs$$

$$\text{vnth } x :: xs \ \overline{0} \Rightarrow x$$

$$\text{vnth } xs \ \overline{1+j} \leftarrow \underline{\text{case}} \ xs$$

$$\text{vnth } x :: xs \ \overline{1+j} \Rightarrow \text{vnth } xs \ j$$

Dependent datatypes

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Given $I : \mathbf{Set}$ we define the slice category \mathbf{Set}/I as:

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Morphisms $\mathbf{Set}/I(F, G) = \prod i : I. (F i) \rightarrow (G i)$

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Dependent (inductive) datatypes arise as initial algebras of endofunctors on slice categories.

E.g. $\mathbf{Fin} = \mu F : \mathbf{Nat} \rightarrow \mathbf{Set}. T_{\mathbf{Fin}} F$, where

$$\begin{aligned} T_{\mathbf{Fin}} & : \mathbf{Set}/\mathbf{Nat} \rightarrow \mathbf{Set}/\mathbf{Nat} \\ T_{\mathbf{Fin}} F n & = \Sigma m : \mathbf{Nat}. n = 1 + m \\ & \quad + \Sigma m : \mathbf{Nat}. (n = 1 + m) \times (F m) \end{aligned}$$

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Given $I, J : \mathbf{Set}$ a dependent container $S \triangleright P : \mathbf{Con} I J$ is given by

- $S : J \rightarrow \mathbf{Set}$, a family of shapes,
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The extension of a dependent container is a functor on slices, that is $\llbracket S \triangleright P \rrbracket : \mathbf{Set}/I \rightarrow \mathbf{Set}/J$, on objects

$$\llbracket S \triangleright P \rrbracket F j = \Sigma s : S j. \Pi i : I. (P j s i) \rightarrow (F i).$$

Morphisms of dependent containers

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Given two dependent containers $S \triangleright P, T \triangleright Q : \mathbf{Con}(I, J)$ a morphism $f \triangleright u$ is given by

- $f : \Pi j : J.(S j) \rightarrow T j$
- $u \in \Pi i : I.\Pi j : J.\Pi s : S j.Q j s i \rightarrow P j s i$

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- $u \in \prod_i : I.\prod_j : J.\prod_s : S_j.Q_{j s i} \rightarrow P_{j s i}$

The extension of a container morphism is a natural transformation which is given by the following family of maps (for $F : J \rightarrow \mathbf{Set}$):

$$\begin{aligned} \llbracket f \triangleright u \rrbracket F & : \prod_j : J.\llbracket S \triangleright P \rrbracket F_j \rightarrow \llbracket T \triangleright Q \rrbracket F_j \\ \llbracket f \triangleright u \rrbracket F_j(s, h) & = (f_j s, \lambda i.(h i) \circ (u i)) \end{aligned}$$

Representation theorem

Theorem : Every natural transformation (i.e. polymorphic function) between dependent containers can be represented as a dependent container morphisms.

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- What is a dependent strictly positive type?
- **Inductive Schemes**, as in Luo's UTT or COQ's Type Theory give rise to dependent containers.
- Better: define a collection of combinators to generate **strictly positive dependent types**.

Application to schema checking

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- Schema checking is complex, incomplete and potentially unsound.
- Using dependent containers we can implement extensible schemes which produce evidence by translating the scheme into core Type Theory with W-types.
- This requires a Type Theory with an extensional propositional equality (under development).

Dependent Signatures?

Our current approach doesn't capture inductive definitions like the definition of the syntax of Type Theory which simultaneously introduces:

$\text{Con} : \mathbf{Set}$

$\text{Ty} : \text{Con} \rightarrow \mathbf{Set}$

$\text{Tm} : \prod \Gamma : \text{Con}. (\text{Ty } \Gamma) \rightarrow \mathbf{Set}$

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- Initial algebras of unary dependent containers correspond to the Petersson and Synek's tree types.
- The category of dependent containers is equivalent to the category of Interaction Structures investigated by Hancock, Hyvernat and Setzer.