Monads and More: Part 1

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Outline

- Monads and why they matter for a working functional programmer
- Combining monads: monad transformers, distributive laws, the coproduct of monads
- Finer and coarser: Lawvere theories and arrows
- Comonadic notions of computation: dataflow notions of computation, notions of computation on trees

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Prerequisites

- Basics of functional programming and typed lambda calculi
- From category theory:
 - functors, natural transformations
 - adjunctions
 - symmetric monoidal (closed) categories
 - Cartesian (closed) categories, coproducts
 - initial algebra, final coalgebra of a functor

Monads

 \bullet A monad on a category ${\mathcal C}$ is given by a

- a functor $T : C \to C$ (the underlying functor),
- a natural transformation $\eta : \mathsf{Id}_{\mathcal{C}} \xrightarrow{\cdot} T$ (the *unit*),
- a natural transformation $\mu : TT \rightarrow T$ (the *multiplication*)

satisfying these conditions:



 This definition says that (T, η, μ) is a monoid in the endofunctor category [C, C].

An alternative formulation: Kleisli triples

- A more combinatory formulation is the following.
- A monad (Kleisli triple) is given by
 - an object mapping $T: |\mathcal{C}| \to |\mathcal{C}|$,
 - for any object A, a map $\eta_A: A \to TA$,
 - for any map k : A → TB, a map k* : TA → TB (the Kleisli extension operation)

satisfying these conditions:

- if $k: A \to TB$, then $k^* \circ \eta_A = k$,
- $\eta^{\star}_{A} = \operatorname{id}_{TA}$,
- if $k : A \to TB$, $\ell : B \to TC$, then $(\ell^* \circ k)^* = \ell^* \circ k^*$.

• (Notice there are no explicit functoriality and naturality conditions.)

Monads vs. Kleisli triples

- There is a bijection between monads and Kleisli triples.
- Given T, η , μ , one defines

• if $k : A \to TB$, then $k^* =_{df} TA \xrightarrow{Tk} TTB \xrightarrow{\mu_B} TB$.

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• Given T (on objects only), η and $-^*$, one defines

• if $f : A \to B$, then $Tf =_{df} (A \xrightarrow{f} B \xrightarrow{\eta_B} TB)^* : TA \to TB$, • $\mu_A =_{df} (TA \xrightarrow{id_{TA}} TA)^* : TTA \to TA$.

Kleisli category of a monad

- A monad T on a category C induces a category KI(T) called the Kleisli category of T defined by
 - $\bullet\,$ an object is an object of $\mathcal{C},$
 - a map of from A to B is a map of C from A to TB,
 - $\operatorname{id}_{A}^{T} =_{\operatorname{df}} A \xrightarrow{\eta_{A}} TA$, • $\operatorname{if} k : A \to^{T} B, \ell : B \to^{T} C$, then $\ell \circ^{T} k =_{\operatorname{df}} A \xrightarrow{k} TB \xrightarrow{T\ell} TTC \xrightarrow{\mu_{C}} TC$
- From C there is an identity-on-objects inclusion functor J to KI(T), defined on maps by

• if
$$f : A \to B$$
, then
 $Jf =_{df} A \xrightarrow{f} B \xrightarrow{\eta_B} TB = A \xrightarrow{\eta_A} TA \xrightarrow{Tf} TB$.

Computational interpretation

- Think of C as the category of pure functions and of TA as the type of effectful computations of values of a type A.
- KI(T) is then the category of effectful functions.
- $\eta_A : A \to TA$ is the identity function on A viewed as trivially effectful.
- Jf : A → TB is a general pure function f : A → B viewed as trivially effectful.
- μ_A : $TTA \rightarrow TA$ flattens an effectful computation of an effectful computation.

k^{*}: TA → TB is an effectful function k : A → TB extended into one that can input an effectful computation.

Kleisli adjunction

In the opposite direction there is a functor U : KI(T) → C defined by
 UA =_{df} TA,

• if $k : A \to^T B$, then $Uk =_{df} TA \xrightarrow{k^*} TB$.

• J is left adjoint to U.

$$\frac{JA \to {}^{T}B}{A \to TB} = \frac{JA \to TB}{A \to UB}$$

• Importantly, UJ = T. Indeed,

•
$$UJA = TA$$
,

- if $f : A \to B$, then $UJf = (\eta_B \circ f)^* = Tf$.
- Moreover, the unit of the adjunction is η .
- J ⊢ U is the initial adjunction factorizing T in this way. There is also a final one, known as the Eilenberg-Moore adjunction.

Examples

- Exceptions monad:
 - $TA =_{df} A + E$ where E is some object (of exceptions),
 - $\eta_A =_{\mathrm{df}} A \xrightarrow{\mathrm{inl}} A + E$,
 - $\mu_A =_{\mathrm{df}} (A + E) + E \xrightarrow{[\mathrm{id},\mathrm{inr}]} A + E,$
 - if $k : A \to B + E$, then $k^* =_{df} A + E \xrightarrow{[k,inr]} B + E$.
- Output monad:
 - TA =_{df} A × E where (E, e, m) is some monoid (of output traces), e.g., the type of lists of a fixed element type with nil and append,
 - $\eta_A =_{\mathrm{df}} A \xrightarrow{\mathrm{ur}} A \times 1 \xrightarrow{\mathrm{id} \times e} A \times E$,
 - $\mu_A =_{\mathrm{df}} (A \times E) \times E \xrightarrow{\mathsf{a}} A \times (E \times E) \xrightarrow{\mathsf{id} \times m} A \times E$,
 - if $k : A \to B \times E$, then $k^* =_{df} A \times E \xrightarrow{k \times id} (B \times E) \times E \xrightarrow{a} B \times (E \times E) \xrightarrow{id \times m} B \times E.$

- Reader monad:
 - TA =_{df} E ⇒ A where E is some object (of environments),
 - $\eta_A =_{df} \Lambda(A \times E \xrightarrow{fst} A),$ • $\mu_A =_{df} \Lambda((E \Rightarrow (E \Rightarrow A)) \times E \xrightarrow{(ev,snd)} (E \Rightarrow A) \times E \xrightarrow{ev} A),$ • if $k : A \to E \Rightarrow B$, then $k^* =_{df} \Lambda((E \Rightarrow A) \times E \xrightarrow{(ev,snd)} A \times E \xrightarrow{k \times id} (E \Rightarrow B) \times E \xrightarrow{ev} B).$
- Side-effect monad:
 - $TA =_{df} S \Rightarrow A \times S$ where S is some object (of states), • $\eta_A =_{df} \Lambda(A \times S \xrightarrow{id} A \times S)$, • $\mu_A =_{df} \Lambda(S \Rightarrow ((S \Rightarrow A \times S) \times S) \times S)$ $\xrightarrow{ev} (S \Rightarrow A \times S) \times S \xrightarrow{ev} A \times S)$, • if $k : A \to S \Rightarrow B \times S$, then $k^* =_{df} \Lambda((S \Rightarrow A \times S) \times S)$ $\xrightarrow{ev} A \times S \xrightarrow{k} (S \Rightarrow B \times S) \times S \xrightarrow{ev} B \times S)$.

Strong functors

• A strong functor on a category $(\mathcal{C}, I, \otimes)$ is given by

- an endofunctor F on C,
- together with a natural transformation

 $sl_{A,B}: A \otimes FB \rightarrow F(A \otimes B)$ (the *(tensorial) strength*) satisfying



 A strong natural transformation between two strong functors (F, sl), (G, sl') is a natural transformation *τ* : F → G satisfying



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Strong monads

A strong monad on a monoidal category (C, I, ⊗) is a monad (T, η, μ) together with a strength sl for T for which η and μ are strong, i.e., satisfy



(Note that Id is always strong and, if F, G are strong, then GF is strong.)

Commutative monads

If (C, I, ⊗) is symmetric monoidal, then a strong functor (F, sl) is actually bistrong: it has a *costrength* sr_{A,B} : FA ⊗ B → F(A ⊗ B) with properties symmetric to those of a strength defined by

$$\mathsf{sr}_{A,B} =_{\mathrm{df}} \mathsf{FA} \otimes B \xrightarrow{\mathsf{c}_{\mathsf{FA},B}} B \otimes \mathsf{FA} \xrightarrow{\mathsf{sl}_{B,A}} \mathsf{F}(B \otimes A) \xrightarrow{\mathsf{Fc}_{B,A}} \mathsf{F}(A \otimes B)$$

• A bistrong monad (*T*, sl, sr) is called *commutative*, if it satisfies

Examples

- Exceptions monad:
 - $TA =_{df} A + E$ where E is an object,
 - $\mathsf{sl}_{A,B} =_{\mathrm{df}} A \times (B+E) \xrightarrow{\mathrm{dr}} A \times B + A \times E \xrightarrow{\mathrm{id}+\mathsf{snd}} A \times B + E.$
- Output monad:
 - $TA =_{df} A \times E$ where (E, e, m) is a monoid,
 - $\mathsf{sl}_{A,B} =_{\mathrm{df}} A \times (B \times E) \xrightarrow{\mathsf{a}^{-1}} (A \times B) \times E.$
- Reader monad:
 - $TA =_{df} E \Rightarrow A$ where E is an object,
 - $\mathsf{sl}_{A,B} =_{\mathrm{df}} \Lambda((A \times (E \Rightarrow B)) \times E)$ $\xrightarrow{a} A \times ((E \Rightarrow B) \times E) \xrightarrow{\mathsf{id} \times \mathsf{ev}} A \times B).$

Tensorial vs. functorial strength

- A functorially strong functor on a monoidal closed category (C, I, ⊗, -∞) is an endofunctor F on C with a natural transformation fs_{A,B} : A -∞ B → FA -∞ FB internalizing the functorial action of F.
- There is a bijective correspondence between tensorially and functorially strong endofunctors, in fact an equivalence between their categories.
- Given fs, one defines sl by

$$\mathsf{sl}_{A,B} =_{\mathrm{df}} A \otimes FB \stackrel{\mathsf{coev} \otimes \mathsf{id}}{\longrightarrow} (B \multimap A \otimes B) \otimes FB \stackrel{\wedge^{-1}(\mathsf{fs})}{\longrightarrow} F(A \otimes B)$$

Given sl, one defines fs by

$$\mathsf{fs}_{A,B} =_{\mathrm{df}} \Lambda((A \multimap B) \otimes FA \overset{\mathsf{sl}}{\longrightarrow} F((A \multimap B) \otimes A) \overset{\mathsf{ev}}{\longrightarrow} FB)$$

On **Set**, every monad is $(1, \times)$ strong

• Any endofunctor on **Set** has a unique functorial strength and any natural transformation between endofuctors on **Set** is functorially strong.

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• Hence any monad on **Set** is both functorially and tensorially strong.

Effects

- Of course we want the Kleisli category of a monad to contain more maps than the base category.
- To describe those, we must single out some proper sources of effectfulness. How to choose those is a topic on its own.
- E.g., for the exceptions monad, an important map is raise $=_{df} E \xrightarrow{inr} A + E$.

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Semantics of pure typed lambda calculus

- Pure typed lambda calculus can be interpreted into any Cartesian closed category *C*, e.g., **Set**.
- The interpretation is this:

$$\begin{bmatrix} K \end{bmatrix} =_{df} \text{ an object of } \mathcal{C} \\ \begin{bmatrix} A \times B \end{bmatrix} =_{df} \begin{bmatrix} A \end{bmatrix} \times \begin{bmatrix} B \end{bmatrix} \\ \begin{bmatrix} A \Rightarrow B \end{bmatrix} =_{df} \begin{bmatrix} A \end{bmatrix} \times \begin{bmatrix} B \end{bmatrix} \\ \begin{bmatrix} C \end{bmatrix} =_{df} \begin{bmatrix} C_0 \end{bmatrix} \times \dots \times \begin{bmatrix} C_{n-1} \end{bmatrix} \\ \begin{bmatrix} (\underline{x}) x_i \end{bmatrix} =_{df} \pi_i \\ \begin{bmatrix} (\underline{x}) x_i \end{bmatrix} =_{df} \pi_i \\ \begin{bmatrix} (\underline{x}) fst(t) \end{bmatrix} =_{df} fst \circ \begin{bmatrix} (\underline{x}) t \end{bmatrix} \\ \begin{bmatrix} (\underline{x}) fst(t) \end{bmatrix} =_{df} snd \circ \begin{bmatrix} (\underline{x}) t \end{bmatrix} \\ \begin{bmatrix} (\underline{x}) snd(t) \end{bmatrix} =_{df} snd \circ \begin{bmatrix} (\underline{x}) t \end{bmatrix} \\ \begin{bmatrix} (\underline{x}) (t_0, t_1) \end{bmatrix} =_{df} \wedge (\begin{bmatrix} (\underline{x}) t_0 \end{bmatrix}, \begin{bmatrix} (\underline{x}) t_1 \end{bmatrix} \\ \\ \begin{bmatrix} (\underline{x}) \lambda xt \end{bmatrix} =_{df} A (\begin{bmatrix} (\underline{x}, x) t \end{bmatrix}^T) \\ \\ \\ \end{bmatrix} (\underline{x}) t u \end{bmatrix} =_{df} ev \circ \langle [\underline{x}] t], [\underline{x}] u] \rangle$$

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 This interpretation is sound: derivable typing judgements of the pure typed lambda calculus are valid, i.e.,

$$\underline{x}: \underline{C} \vdash t : A \text{ implies } \llbracket (\underline{x}) t \rrbracket : \llbracket \underline{C} \rrbracket \to \llbracket A \rrbracket$$

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and the same holds true about all derivable equalities.

• This interpretation is also complete.

Pre-[Cartesian closed] structure of the Kleisli category of a strong monad

- Given a Cartesian (closed) category C and a (1, ×) strong monad T on it, how much of that structure carries over to KI(T)?
- We can manufacture "pre-products" in **KI**(*T*) using the products of *C* and the strength sl like this:

$$\begin{array}{rcl} A_0 \times^{\mathcal{T}} A_1 & =_{\mathrm{df}} & A_0 \times A_1 \\ & \mathsf{fst}^{\mathcal{T}} & =_{\mathrm{df}} & \eta \circ \mathsf{fst} \\ & \mathsf{snd}^{\mathcal{T}} & =_{\mathrm{df}} & \eta \circ \mathsf{snd} \\ & \langle k_0, k_1 \rangle^{\mathcal{T}} & =_{\mathrm{df}} & \mathsf{sl}^* \circ \mathsf{sr} \circ \langle k_0, k_1 \rangle \end{array}$$

$$\frac{k: C \to TA \quad \ell: C \times A \to TB}{\ell \bullet^{T} k =_{df}}$$

$$C \xrightarrow{\langle id_{C}, k \rangle} C \times TA \xrightarrow{sl_{C,A}} T(C \times A) \xrightarrow{\ell^{*}} TB$$

$$fst^{T} =_{df} A_{0} \times A_{1} \xrightarrow{fst} A_{0} \xrightarrow{\eta} TA_{0}$$

$$snd^{T} =_{df} A_{0} \times A_{1} \xrightarrow{snd} A_{1} \xrightarrow{\eta} TA_{1}$$

$$\frac{k_{0}: C \to TA_{0} \quad k_{1}: C \to TA_{1}}{\langle k_{0}, k_{1} \rangle^{T} =_{df}}$$

$$C \xrightarrow{\langle k_{0}, k_{1} \rangle} TA_{0} \times TA_{1} \xrightarrow{sr_{A_{0}, TA_{1}}} T(A_{0} \times TA_{1}) \xrightarrow{sl_{A_{0}, A_{1}}} T(A_{0} \times A_{1})$$

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- The typing rules of products hold, but not all laws.
- In particular, we do not get the β -law of products. Effects cannot be undone!
- E.g., taking T to be the exception monad defined by $TA =_{df} A + E$ for some fixed E we do not have $\operatorname{snd}^T \circ^T \langle k_0, k_1 \rangle^T = k_1$.
- Take $k_0 =_{df}$ raise = inr : $E \to TA$, $k_1 =_{df} id^T = inl : E \to TE$ Then $\langle k_0, k_1 \rangle^T = inr : E \to T(A \times E)$ and hence $snd^T \circ^T \langle k_0, k_1 \rangle^T = inr \neq inl = k_1$.
- In fact, ×^T is not even a bifunctor unless T is commutative, although it is functorial in each argument separately. Effects do not commute in general!

 \bullet "Pre-exponents" are defined from the exponents of ${\mathcal C}$ by

$$\operatorname{ev}_{A,B}^{T} =_{\operatorname{df}} (A \Rightarrow TB) \times A \xrightarrow{\operatorname{ev}_{A,B}} TB$$

$$\frac{k: C \times A \to TB}{\Lambda^{T}(k) =_{df} C \xrightarrow{\Lambda(k)} A \Rightarrow TB \xrightarrow{\eta} T(A \Rightarrow TB)}$$

It is not true that A ⇒^T - : KI(T) → KI(T) is right adjoint to -×^T A : KI(T) → KI(T). So ⇒^T is not a true exponent wrt. the preproduct ×^T.

• But
$$A \Rightarrow^{T} - : \mathbf{KI}(T) \to C$$
 is right adjoint to $J(-\times A) : C \to \mathbf{KI}(T)$:

$$\frac{J(C \times A) \to^{T} B}{\frac{C \times A \to TB}{C \to A \Rightarrow TB}}$$

We that say $A \Rightarrow^T B$ is the *Kleisli exponent* of *A*, *B*.

• More about the pre-[Cartesian closed] structure of Kleisli categories in the story about arrows.

CoCartesian structure of the Kleisli category of a monad

- If C is coCartesian (has coproducts), then KI(T) is coCartesian too, since J as a left adjoint preserves colimits.
- Concretely, the coproduct on KI(T) is defined by

$$\begin{array}{rcl} A_0 + {}^{\mathcal{T}} A_1 & =_{\mathrm{df}} & A_0 + A_1 \\ & \operatorname{inl}^{\mathcal{T}} & =_{\mathrm{df}} & \eta \circ \operatorname{inl} \\ & \operatorname{inr}^{\mathcal{T}} & =_{\mathrm{df}} & \eta \circ \operatorname{inr} \\ & [k_0, k_1]^{\mathcal{T}} & =_{\mathrm{df}} & [k_0, k_1] \end{array}$$

Semantics of an effectful language

- In the semantics of an effectful language, the semantic universe is the Kleisli category KI(T) of the appropriate monad T on a Cartesian closed base category C.
- The pure fragment is interpreted into KI(T) as if the language was pure, using the pre-[Cartesian closed] structure:

$$\begin{bmatrix} K \end{bmatrix}^T =_{df} \text{ an object of } \mathbf{KI}(T)$$

= that object of C
$$\begin{bmatrix} A \times B \end{bmatrix}^T =_{df} \begin{bmatrix} A \end{bmatrix}^T \times^T \begin{bmatrix} B \end{bmatrix}^T$$

$$= \begin{bmatrix} A \end{bmatrix}^T \times \begin{bmatrix} B \end{bmatrix}^T$$

$$\begin{bmatrix} A \Rightarrow B \end{bmatrix}^T =_{df} \begin{bmatrix} A \end{bmatrix}^T \Rightarrow^T \begin{bmatrix} B \end{bmatrix}^T$$

$$= \begin{bmatrix} A \end{bmatrix}^T \Rightarrow T \begin{bmatrix} B \end{bmatrix}^T$$

$$\begin{bmatrix} C \end{bmatrix}^T =_{df} \begin{bmatrix} C_0 \end{bmatrix}^T \times^T \dots \times^T \begin{bmatrix} C_{n-1} \end{bmatrix}^T$$

$$= \begin{bmatrix} C_0 \end{bmatrix}^T \times \dots \times \begin{bmatrix} C_{n-1} \end{bmatrix}^T$$

$$\begin{split} \llbracket (\underline{x}) x_i \rrbracket^T &=_{\mathrm{df}} \quad \pi_i^T \\ &= \eta \circ \pi_i \\ \llbracket (\underline{x}) \text{ let } x \leftarrow t \text{ in } u \rrbracket^T =_{\mathrm{df}} \quad \llbracket (\underline{x}, x) u \rrbracket^T \circ^T \langle \mathrm{id}^T, \llbracket (x) t \rrbracket^T \rangle^T \\ &= (\llbracket (\underline{x}, x) u \rrbracket^T)^* \circ \mathrm{sl} \circ \langle \mathrm{id}, \llbracket (x) t \rrbracket^T \rangle \\ \llbracket (\underline{x}) \text{ fst}(t) \rrbracket^T &=_{\mathrm{df}} \quad \mathrm{fst}^T \circ^T \llbracket (\underline{x}) t \rrbracket^T \\ &= T \mathrm{fst} \circ \llbracket (\underline{x}) t \rrbracket^T \\ \llbracket (\underline{x}) \text{ snd}(t) \rrbracket^T &=_{\mathrm{df}} \quad \mathrm{snd}^T \circ^T \llbracket (\underline{x}) t \rrbracket^T \\ \llbracket (\underline{x}) (t_0, t_1) \rrbracket^T &=_{\mathrm{df}} \quad \mathrm{snd}^T \circ^T \llbracket (\underline{x}) t \rrbracket^T \\ \llbracket (\underline{x}) (t_0, t_1) \rrbracket^T &=_{\mathrm{df}} \quad \langle \llbracket (\underline{x}) t_0 \rrbracket^T, \llbracket (\underline{x}) t_1 \rrbracket^T \rangle^T \\ &= s \mathsf{I}^* \circ \mathsf{sr} \circ \langle \llbracket (\underline{x}) t_0 \rrbracket^T, \llbracket (\underline{x}) t_1 \rrbracket^T \rangle \\ \llbracket (\underline{x}) \lambda x t \rrbracket^T &=_{\mathrm{df}} \quad \Lambda^T (\llbracket (\underline{x}, x) t \rrbracket^T) \\ &= \eta \circ \Lambda (\llbracket (\underline{x}, x) t \rrbracket^T) \\ \llbracket (\underline{x}) t u \rrbracket^T &=_{\mathrm{df}} \quad \mathsf{ev}^T \circ^T \langle \llbracket (\underline{x}) t \rrbracket^T, \llbracket (\underline{x}) u \rrbracket^T \rangle^T \\ &= \mathsf{ev}^* \circ \mathsf{sl}^* \circ \mathsf{sr} \circ \langle \llbracket (\underline{x}) t \rrbracket^T, \llbracket (\underline{x}) u \rrbracket^T \rangle \end{split}$$

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 As KI(T) is only pre-Cartesian closed, for this pure fragment, soundness of typing holds, i.e.,

$$\underline{x}: \underline{C} \vdash t: A \text{ implies } \llbracket(\underline{x}) t \rrbracket^{\mathcal{T}}: \llbracket\underline{C}\rrbracket^{\mathcal{T}} \to^{\mathcal{T}} \llbracketA \rrbracket^{\mathcal{T}}$$

but not all equations of the pure typed lambda-calculus are validated.

• In particular,

$$\vdash t : A \text{ implies } \llbracket t \rrbracket^{\mathsf{T}} : 1 \to^{\mathsf{T}} \llbracket A \rrbracket^{\mathsf{T}}$$

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so a closed term t of a type A denotes an element of $T[\![A]\!]^{T}$.

 Any effect-constructs must be interpreted specifically validating their desired typing rules and equations.
 E.g., for a language with exceptions we would use the exceptions monad and define

$$\begin{bmatrix} (\underline{x}) \text{ raise}(e) \end{bmatrix}^{\mathcal{T}} =_{df} \text{ raise } \circ^{\mathcal{T}} \begin{bmatrix} (\underline{x}) e \end{bmatrix}^{\mathcal{T}} \\ = \text{raise}^{\star} \circ \begin{bmatrix} (\underline{x}) e \end{bmatrix}^{\mathcal{T}}$$

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Monad maps

A monad map between monads *T*, *S* on a category *C* is a natural transformation *τ* : *T* → *S* satisfying



Alternatively, a map between two monads (Kleisli triples) *T*, *S* is, for any object *A*, a map τ_A : *TA* → *SA* satisfying τ_A ∘ η^T_A = η^S_A,
if k : A → *TB*, then τ_B ∘ k^{*T} = (τ_B ∘ k)^{*S} ∘ τ_A.

(No explicit naturality condition on τ.)
The two definitions are equivalent.

 Monads on C and maps between them form a category Monad(C).

Monad maps vs. functors between Kleisli categories

- There is a bijection between monad maps *τ* between *T*,
 S and functors *V* : KI(*T*) → KI(S) satisfying *VJ^T* = *J^S*.
- Given τ , one defines V by
 - $VA =_{df} A$,
 - if $k : A \to TB$, then $Vk =_{\mathrm{df}} A \xrightarrow{k} TB \xrightarrow{\tau_B} SB$.

• Given V, one defines τ by

•
$$\tau_A =_{\mathrm{df}} V(TA \xrightarrow{\mathrm{id}_{TA}} TA) : TA \to^{S} A.$$