# Isomorphisms on inductive types 

Thorsten Altenkirch

based on discussions with<br>Wouter Swierstra and Peter Morris

Context-free types ( $\sigma, \tau$ )

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| - | $A, B, C, \ldots$ |
| :--- | :--- |$\quad$ Parameters 1 - $X, Y, Z, \ldots \quad$ Variables

Context-free types $(\sigma, \tau)$
$\begin{array}{ll}\text { - } A, B, C, \ldots & \text { Parameters } \\ \text { - } X, Y, Z, \ldots & \text { Variables } \\ \text { - } 0, \sigma+\tau & \text { Fibred Coproducts } \\ \text { - } 1, \sigma \times \tau & \text { Products } \\ \text { - } & \text { P }\end{array}$

- $\mu X . \sigma \quad$ Fibred initial algebras

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- $A, B, C, \ldots$$\quad$ Parameters

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Variables

- $0, \sigma+\tau$

Fibred Coproducts

- $1, \sigma \times \tau$

Products

- $\mu X . \sigma$
xamples

Natural numbers $\mu X .1+X=\omega$

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- $X, Y, Z, \ldots$

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- $\mu X . \sigma$

Fibred initial algebras

Natural numbers $\mu X .1+X=\omega$
Lists $\mu X .1+A \times X=A^{*}$

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- $A, B, C, \ldots$ Parameters
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- $0, \sigma+\tau \quad$ Fibred Coproducts
- $1, \sigma \times \tau \quad$ Products
- $\mu X . \sigma \quad$ Fibred initial algebras

Examples
Natural numbers $\mu X .1+X=\omega$
Lists $\mu X .1+A \times X=A^{*}$
Binary trees $\mu X . A+B \times X^{2}=\mu X . A+B \times X \times X$

Context-free types ( $\sigma, \tau$ )

- $A, B, C, \ldots$ Parameters
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Natural numbers $\mu X .1+X=\omega$
Lists $\mu X .1+A \times X=A^{*}$
Binary trees $\mu X . A+B \times X^{2}=\mu X . A+B \times X \times X$
Spine trees $\mu X . B \times(A \times X)^{*}=\mu X . B \times \mu Y .1+A \times X \times Y$

Fibred...

Fibred .


Fibred ...

$$
\begin{array}{lll}
\text { Simple slice } \mathbf{C} / / \Gamma(\Gamma \in \mathrm{Obj} \mathbf{C}) & \begin{array}{l}
\mathrm{Obj} \mathbf{C} / / \Gamma \\
A \rightarrow \mathrm{C} / \Gamma
\end{array} B & \begin{array}{l}
A, B \in \mathrm{Obj} \mathbf{C} \\
\Gamma \times A \rightarrow \mathrm{C} B
\end{array} \\
\text { Given } f \in \Gamma \rightarrow \Delta \\
f^{*} \in \mathbf{C} / / \Delta \rightarrow \mathbf{C} / / \Gamma
\end{array}
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Fibred ...

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Given $f \in \Gamma \rightarrow \Delta$
$f^{*} \in \mathbf{C} / / \Delta \rightarrow \mathbf{C}$
Fibred coproducts, initial algebras:
exist in all slices and are preserved by $f$

## Fibred

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\begin{array}{ll}
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Fibred coproducts, initial algebras:
exist in all slices and are preserved by $f^{*}$.
In CCCs: Coproducts and initial algebras are always fibred.

Functorial semantics

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Variable closed type $\sigma$
I - finite set of free parameters.

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Fibred coproducts:
$\sigma \times(\tau+\rho) \simeq \sigma \times \tau+\sigma \times \rho$
Fibred initial algebras:
$\mu X . A \times X+B \simeq(\mu X . A \times X+1) \times B \simeq A^{*} \times B$

Regular types

Regular types

Regular types
$\mu X . \sigma \times X+\tau$, where $X$ is not free in $\sigma, \tau$.
Observation:
Regular types can be expressed as
regular expressions $\left(1, \sigma \times \tau, 0, \sigma+\tau, \sigma^{*}\right)$
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$$
\begin{aligned}
& \mu X . A \times X+\mu Y . B \times Y+C \times X+D \\
& \simeq \quad \mu X . A \times X+B^{*} \times(C \times X+D)
\end{aligned}
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& \simeq\left(A+B^{*} \times C\right)^{*} \times B^{*} \times D
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\end{aligned}
$$

$$
(A+B)^{*} \simeq\left(A^{*} \times B\right)^{*} \times A^{*}
$$

$$
\begin{aligned}
& \omega+\{\omega\} \\
& 0,1,2, \ldots, \omega \in \omega+\{\omega\}
\end{aligned}
$$

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& 0,1,2, \ldots, \omega \in \omega+\{\omega\} \\
& \text { Full subcategory of Set: } \\
& \text { Obj }(\omega+\{\omega\}) \quad \alpha, \beta \in \omega+\{\omega\} \\
& \alpha \rightarrow_{\omega+\{\omega\}} \beta \quad\{i \mid i<\alpha\} \rightarrow\{j \mid j<\beta\}
\end{aligned}
$$

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$0,1,2, \ldots, \omega \in \omega+\{\omega\}$
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$\begin{array}{ll}\alpha \rightarrow_{\omega+\{\omega\}} \beta & \{i \mid i<\alpha \in \omega+\{\omega\} \\ & \end{array}$
Arithmetic
$\omega+\alpha=\alpha+\omega=\omega$

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\text { Arithmetic } \\
\omega+\alpha=\alpha+\omega=\omega \\
0 \times \alpha=\alpha \times 0=0
\end{array} \\
& \begin{array}{l}
\omega \times i \mid i<\alpha\} \rightarrow\{j \mid j<\beta\} \\
\\
\hline
\end{array} \\
& \\
& \hline
\end{aligned}
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$\omega+\alpha=\alpha+\omega=\omega$
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$\alpha \times \omega=\omega \times \alpha=\omega \quad$ if $\alpha>0$
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Initial algebras

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\llbracket \mu X . \sigma \rrbracket= \begin{cases}\llbracket \sigma \rrbracket 0 & \text { if } \llbracket \sigma \rrbracket 0=\llbracket \sigma \rrbracket 1 \\ \omega & \text { otherwise }\end{cases}
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Observation:
For closed $\sigma, \tau$ :

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\sigma \simeq \tau \quad \text { iff } \quad \llbracket \sigma \rrbracket=\llbracket \tau \rrbracket
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Observation:
For closed $\sigma, \tau$ :
$\sigma \simeq \tau \quad$ iff $\quad \llbracket \sigma \rrbracket=\llbracket \tau \rrbracket \quad$ Closed isos are easy.

## Formal languages, revisited

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$\underset{\substack{\text { o, regular expression over } \\ \|\left.\sigma\right|^{4} \in \mathrm{I}^{+} \rightarrow \text { Bool }}}{ }$

Formal languages, revisited
$\sigma$, regular expression over I
$\llbracket \sigma \rrbracket^{\mathrm{L}} \in \mathrm{I}^{*} \rightarrow$ Bool

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\begin{aligned}
\llbracket 0 \rrbracket^{\mathrm{L}} w & =\text { False } \\
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\llbracket 1 \rrbracket^{\mathrm{L}} w & =\llbracket \equiv w \\
\llbracket \sigma \times \tau \rrbracket^{\mathrm{L}} w & =\exists_{\left\{v, v^{\prime} \mid v v^{\prime}=w\right\}} \llbracket \sigma \rrbracket^{\mathrm{L}} v \wedge \llbracket \tau \rrbracket^{\mathrm{L}} v^{\prime}
\end{aligned}
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& \llbracket \sigma^{*} \rrbracket^{\mathrm{L}} w=\exists_{\left\{v, v^{\prime} v v v^{\prime}=w, v \neq \llbracket\right\}} \llbracket \sigma \rrbracket^{\mathrm{L}} v \wedge \llbracket \sigma^{*} \rrbracket^{\mathrm{L}} v^{\prime} \\
& \vee[] \equiv w
\end{aligned}
$$


$\| \sigma \mathbb{F}^{\mathrm{P}}$ vs $\left.\| \sigma\right]^{\mathrm{L}}$

## $[\llbracket]^{『} v s[\sigma]^{]^{1}}$

- $A \times B \simeq B \times A \quad$ but $\llbracket A \times B \rrbracket^{\mathrm{L}} \neq \llbracket B \times A \rrbracket^{\mathrm{L}}$

WIT 2005 - p.9/??
$\llbracket \sigma \mathbb{F}^{\mathrm{F}}$ vs $\llbracket \sigma \rrbracket^{\mathrm{L}}$
$\qquad$
$\llbracket \sigma \rrbracket^{\mathbb{R}}$ vs $\llbracket \sigma \rrbracket^{\text {L }}$

- $A \times B \simeq B \times A$ but $\left.[A \times B]^{\downarrow} \neq[B \times A]\right]$
$\llbracket \sigma \rrbracket^{\mathrm{F}}$ vs $\llbracket \sigma \rrbracket^{\mathrm{L}}$
- $A \times B \simeq B \times A$ but $\llbracket A \times B \rrbracket^{\mathrm{L}} \neq \llbracket B \times A \rrbracket^{\mathrm{L}}$

Modifications

## $[\llbracket]^{『} v s[\sigma]^{]^{1}}$

- $A \times B \simeq B \times A \quad$ but $\llbracket A \times B \rrbracket^{\mathrm{L}} \neq \llbracket B \times A \rrbracket^{\mathrm{L}}$
- $A \not \approx A+A$ but $\llbracket A \rrbracket^{\mathrm{L}}=\llbracket A+A \rrbracket^{\mathrm{L}}$

Modifications:

- Consider multisets instead of words.

Replace -* by $-\rightarrow \omega$.

## $[q]^{『} v s[\sigma]^{]^{1}}$

- $A \times B \simeq B \times A \quad$ but $\llbracket A \times B \rrbracket^{\mathrm{L}} \neq \llbracket B \times A \rrbracket^{\mathrm{L}}$
- $A \not \not \nsim A+A \quad$ but $\quad \llbracket A \rrbracket^{\mathrm{L}}=\llbracket A+A \rrbracket^{\mathrm{L}}$

Modifications:

- Consider multisets instead of words.

Replace -* by $-\rightarrow \omega$.

- Consider muliplicities instead of acceptance.

Replace $-\rightarrow$ Bool by $-\rightarrow(\omega+\{\omega\})$.

Multiset semantics

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Multiset semantics
$[\sigma]^{M} \in(\mathrm{I} \rightarrow \omega) \rightarrow(\omega+\{\omega\}$

$$
\begin{aligned}
\llbracket 0]^{\mathrm{M}} w & =0 \\
\llbracket \sigma+\tau \rrbracket^{\mathrm{M}} w & =\llbracket \sigma \rrbracket^{\mathrm{M}} w+\llbracket \tau \rrbracket^{\mathrm{M}} w
\end{aligned}
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& \llbracket A \rrbracket^{\mathrm{M}} w=\delta(\delta A) w \quad \text { for } A \in \mathrm{I}
\end{aligned}
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\delta x y= \begin{cases}1 & \text { if } x \equiv y \\ 0 & \text { otherwise }\end{cases}
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& \llbracket \sigma \times \tau \rrbracket^{\mathrm{M}} w=\Sigma_{\left\{v, v^{\prime} \mid v+v^{\prime}=w\right\}} \llbracket \sigma \rrbracket^{\mathrm{M}} v \times \llbracket \tau \rrbracket^{\mathrm{M}} v^{\prime}
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& \llbracket \sigma^{*} \rrbracket^{\mathrm{M}} w=\Sigma_{\left\{v, v^{\prime} \mid v+v^{\prime}=w, v \neq \overrightarrow{0}\right\}} \llbracket \sigma \rrbracket^{\mathrm{M}} v \times \llbracket \sigma^{*} \rrbracket^{\mathrm{M}} v^{\prime} \\
& +(\delta \overrightarrow{0} w)+\omega \times\left(\llbracket \sigma \rrbracket^{\mathrm{M}} \overrightarrow{0}\right) \\
& \delta x y= \begin{cases}1 & \text { if } x \equiv y \\
0 & \text { otherwise }\end{cases}
\end{aligned}
$$

$\llbracket \sigma \mathbb{F}^{\mathrm{F}}$ vs $\llbracket \sigma \rrbracket^{\mathrm{M}}$
$\simeq \tau$ iff $[\sigma]^{M}=[\tau \tau]^{m}$ ? ? ?

Proof idea: if

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Given $f \in(\mathrm{I} \rightarrow \omega) \rightarrow(\omega+\{\omega\})$
define pow $f \in(\mathrm{I} \rightarrow \mathrm{S}$
define pow $f \in(\mathrm{I} \rightarrow$ Set $) \rightarrow$ Set

Proof idea: if

Given $f \in(\mathrm{I} \rightarrow \omega) \rightarrow(\omega+\{\omega\})$
define pow $f \in(\mathrm{I} \rightarrow \mathbf{S e t})$
define pow $f \in(\mathrm{I} \rightarrow$ Set $) \rightarrow$ Set
as pow $f \vec{X}=\Sigma_{g \in \mathrm{I} \rightarrow \omega}(f g) \times \Pi p \in \mathrm{I} .(g p) \rightarrow(\vec{X} p)$

## Proof idea: if

Given $f \in(\mathrm{I} \rightarrow \omega) \rightarrow(\omega+\{\omega\})$
define pow $f \in(\mathrm{I} \rightarrow$ Set $) \rightarrow$ Set
as pow $f \vec{X}=\Sigma_{g \in \mathrm{I} \rightarrow \omega}(f g) \times \Pi p \in \mathrm{I} .(g p) \rightarrow(\vec{X} p)$
Observe that pow $\llbracket \sigma \rrbracket^{\mathrm{M}} \simeq \llbracket \sigma \rrbracket^{\mathrm{F}}$
because pow - preserves $0,+, 1, \times,-^{*}$.

## Proof idea: only if

Using ideas from:
Abbott,A.,Ghani 05 Containers - Constructing Strictly Positive Types, Theoretical Computer Science, special issue on Applied Semantics (APPSEM).
we define a notion of morphisms on the multiset semantics. Using our representation theorem we can show that $f=g$, if pow $f \simeq$ pow $g$.

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- Is the multi-set equivalence of regular expressions decidable? (I think so).
- What about context-free types in general? (No idea, maybe undecidable).
- What is the relation to recursive types (cf. Marcello's work).
- Can we use $\mathbb{R}$ (or $\mathbb{C}$ ) to decide the iomorphism problem for regular expressions? E.g. interpret $x^{*}=\frac{1}{1-x}$.

