Containers

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The gang

Joint work with

- Michael Abbott, Neil Ghani (Leicester)
- Conor McBride (Durham)

FOSSACS 03 Michael, Neil and me Categories of Containers

TLCA 03 Michael, Neil, Conor and me Derivatives of Containers

See my publications page for electronic copies: http://www.cs.nott.ac.uk/~txa/publ/

Health warning!

I am going to illustrate our results using **Sets**. However, they are applicable to a much wider range of categories.



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● A set S of shapes, e.g.

• For any shape $s \in S$ a set of positions P(s), e.g.

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e.g. l

Filling the positions with payload, e.g.



The extension $T_{S \triangleright P}$ of a container is given by $T_{S \triangleright P}(X) = \Sigma s \in S.P(s) \rightarrow X$

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The extension $T_{S \triangleright P}$ of a container is given by $T_{S \triangleright P}(X) = \Sigma s \in S.P(s) \to X$ where $\Sigma a \in A.B(a) = \{(a,b) \mid a \in A \land b \in B(a)\}$ $\Sigma a \in \{0, 1\}.B(a) = B(0) + B(1)$

Containers are functors

$T_{S\triangleright P}(X) = \Sigma s \in S.P(s) \to X$

$T_{S \triangleright P}(f \in X \to Y) \in T_{S \triangleright P}(X) \to T_{S \triangleright P}(Y)$ $= (s, h) \mapsto (s, f \circ h)$



Lists over X are given by $nil \in List(X)$ $cons \in X \times List(X) \rightarrow List(X)$

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I(X) = X

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$K_C(X) = C$

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 $K_C(X) = C$ $K_C(X) \simeq \Sigma s \in C.0 \to X$



Given containers

$F(X) = \Sigma s \in S.P(s) \to X$ $G(X) = \Sigma t \in T.Q(t) \to X$

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$$F + G(X) = F(X) + G(X)$$
$$F + G(X) \simeq \Sigma u \in S + T. \left(\begin{cases} P(s) & \text{if } u = \text{inl}(s) \\ Q(t) & \text{if } t = \text{inr}(s) \end{cases} \right) \to X$$

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 $F \times G(X) = F(X) \times G(X)$ $F \times G(X) \simeq \Sigma(s,t) \in S \times T.(P(s) + Q(t)) \to X$

(Least) Fixpoints of containers

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Given a 2-ary container

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 $\mu Y.H(X,Y) \simeq \Sigma t \in T.R(t) \to X$ $T = \mu Y.\Sigma s \in S.Q(s) \to Y$ = W(S,Q) $R(s,f) \simeq P(s) + \Sigma q \in Q(s).R(f(q))$

$R(s, f) \simeq P(s) + \Sigma q \in Q(s).R(f(q))$



Strictly positive types



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 $(C \to F)(X) = C \to F(X)$ $\nu Y.H(X,Y)$

are closed under containers.

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are closed under containers.

Theorem: All strictly positive type expressions give rise to containers.

Morphisms of containers: examples



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Given containers

$F(X) = \Sigma s \in S.P(s) \to X$ $G(X) = \Sigma t \in T.Q(t) \to X$

a morphism $f \triangleright u \in \mathcal{G}(F,G)$ is given by

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The representation theorem



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Theorem: The extension functor $T: \mathcal{G} \to (\mathbf{Set} \to \mathbf{Set})$ is full and faithful.

The representation theorem

Theorem: The extension functor $T: \mathcal{G} \to (\mathbf{Set} \to \mathbf{Set})$ is full and faithful. **Corollary:** All natural transformations $\alpha_X \in \mathrm{List}(X) \to \mathrm{List}(X)$ are given by

• a function on the length $f \in \mathbb{N} \to \mathbb{N}$

• a repotting function $u \in \Pi n \in \mathbb{N}$. $f(n) \to n$.



What is a list with a hole?

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$\operatorname{List}'(X) \simeq \operatorname{List}(X) \times \operatorname{List}(X)$

What is a list with a hole? 2 Lists !

 $List'(X) \simeq List(X) \times List(X)$ Can we construct F' more systematically?

Making holes (×)

Making holes (×)

$T(X) = F(X) \times \overline{G(X)}$

Making holes (×)

 $T(X) = F(X) \times G(X)$ $T'(X) = F'(X) \times G(X) + F(X) \times G'(X)$

Making holes (chain)



Making holes (chain)

T(X) = F(X, G(X))

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T(X) = F(X, G(X))

$T'(X) = F'_1(X, G(X)) + F'_2(X, G(X)) \times G'(X)$

Conor's observation



Conor's observation

The type of one-hole contexts of a datatype is its formal derivative.

 $F(X) = \mu Y.H(X,Y)$

 $F(X) = \mu Y.H(X,Y)$ $F(X) \simeq H(X,F(X))$

 $F(X) = \mu Y \cdot H(X, Y)$ $F(X) \simeq H(X, F(X))$ $F'(X) \simeq H'_1(X, F(X)) + H'_2(X, F(X)) \times F'(X)$
Derivative of μ

 $F(X) = \mu Y.H(X,Y)$ $F(X) \simeq H(X,F(X))$ $F'(X) \simeq H'_1(X,F(X)) + H'_2(X,F(X)) \times F'(X)$ $F'(X) \simeq \mu Y.H'_1(X,F(X)) + H'_2(X,F(X)) \times Y$

 $List(X) = \mu Y.H(X, Y)$ $H(X, Y) = 1 + X \times Y$

 $List(X) = \mu Y.H(X,Y)$ $H(X,Y) = 1 + X \times Y$ $H'_1(X,Y) = Y$ $H'_2(X,Y) = X$

 $\begin{aligned} \mathsf{List}(X) &= \mu Y.H(X,Y) \\ H(X,Y) &= 1 + X \times Y \\ H'_1(X,Y) &= Y \\ H'_2(X,Y) &= X \\ \mathsf{List}'(X) &= \mu Y.H'_1(X,\mathsf{List}(X)) + H'_2(X,\mathsf{List}(X)) \times Y \end{aligned}$

 $\begin{aligned} \mathsf{List}(X) &= \mu Y.H(X,Y) \\ H(X,Y) &= 1 + X \times Y \\ H_1'(X,Y) &= Y \\ H_2'(X,Y) &= X \\ \mathsf{List}'(X) &= \mu Y.H_1'(X,\mathsf{List}(X)) + H_2'(X,\mathsf{List}(X)) \times Y \\ &= \mu Y.\mathsf{List}(X) + X \times Y \end{aligned}$

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 $List(X) = \mu Y.H(X,Y)$ $H(X,Y) = 1 + X \times Y$ $H_1'(X,Y) = Y$ $H_2'(X,Y) = X$ $\text{List}'(X) = \mu Y.H'_1(X, \text{List}(X)) + H'_2(X, \text{List}(X)) \times Y$ $= \mu Y. \operatorname{List}(X) + X \times Y$ \simeq List $(X) \times \mu Y.1 + X \times Y$ = List $(X) \times$ List(X)

Derivative of a container



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 $F(X) = \Sigma s \in S.P(s) \to X$ $F'(X) = \Sigma(s, p) \in (\Sigma s \in S.P(s)).(\Sigma q \in P(s).p \neq q) \to X$



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$$F' = I \multimap F$$

Theorem: Containers with a decidable equality on positions are differentiable and this class of containers is closed under derivaties.



Which container has the property F'(X) = F(X)?

What is e^x

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Which container has the property F'(X) = F(X)? To give the answer we have to generalize the notion of a container slightly The answer can be found in our TLCA paper.

Generalizing Sets

Instead of Sets we consider locally cartesian closed categories (LCCCs) + extensive coproducts + locally finitely presentable (LFP)

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Instead of Sets we consider locally cartesian closed categories (LCCCs) + extensive coproducts + locally finitely presentable (LFP) We should be able to replace LFP by something nicer ...

Related work

- André Joyal Analytical functors and their derviatives.
- Paul Hoogendijk and Oege de Moor Containers in categories of relations.
- Bary Jay Shapely types.
- Peter Dybjer
 Inductive types as W-types.

What is this good for?

- Generic programming (e.g. the zipper)
- Reasoning about datatypes
- Reasoning about parametric programs
- Combinatorical representations of datatypes
- Optimizing functional programs

Forthcoming

Michael Abbott's PhD thesis Categories of Containers