## Multi-level Lax Logic

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## Lax Logic [Men93, FM97]

- Given a base logic $\mathcal{B}$
- we can define a first-order logic, $\mathcal{L}$, equipped with
- a modality, $O$, and
- a unary connective $\iota$ that faithfully embeds propositions of $\mathcal{B}$ as formulae of $\mathcal{L}$.

The modality represents the idea that a statement can be validated relative to some - initially unspecified - constraint. The statement $O_{\phi}$ ('somehow $\phi$ ') is intended to mean 'for some constraint $c, \phi$ holds under $c^{\prime}$.

## History: Recent

- originally developed by Mendler [Men93] for extracting and reasoning about constraints during hardware verification and refinement.
- propositional lax logic (PLL) developed by Mendler and Fairtlough [FM97]
- two quantified versions (QLL, QLL+ ${ }^{+}$) developed by Fairtlough and Walton [FW97, Wal99]
- multi-level version (MLL) developed by Ed Lewis as part of his PhD work [LK06] - described below


## History: Ancient

With hindsight, $O$ has been studied in other contexts for æons.

- Earliest reference(?) is Curry's presentation of an elimination theorem in the presence of modality [Cur52]
- Aczel [Acz99] has identified lax modalities occurring as
- nuclei in locale theory
- strong monads on categories
- modalities in topos theory.
- Pfenning and Davies [PD99] showed lax logic is contained within modal logic via $\bigcirc P \equiv \diamond \square P$ with $P \rightarrow_{\mathcal{L}} Q \equiv(\square P) \rightarrow Q$.


## Base Logic

$\mathcal{B}$ should be many-sorted logic, with equality $=$, implication $\rightarrow$, quantification $\forall$, sorts $S$ (including propositions, $\Omega$ ) and operators $O$. Types

$$
\tau::=A|\mathbf{0}| \mathbf{1}|\tau+\tau| \tau \times \tau|\tau \Rightarrow \tau| \tau^{*} \mid \mathbb{N}
$$

where $A \in S$. Quantification is allowed over any type, e.g. $\neg \phi={ }_{\text {def }} \phi \rightarrow$ false where false $=_{\text {def }} \forall x^{\Omega} . x$.
Terms

$$
\begin{aligned}
t::= & x|f(t, \ldots, t)| t \rightarrow t|\forall x . t| t=t \mid \\
& *\left|\pi_{L} t\right| \pi_{R} t|(t, t)| \\
& t t|\lambda x . t| \square t \mid \text { in }_{L} t \mid \text { in }_{R} t \mid \text { case }_{x, y}(t, t, t) \mid \\
& {[]|t:: t| \text { fold }_{x, z}(t, t)|0| \text { succ } \text { iter }_{x}(t, t) }
\end{aligned}
$$

where $x, y, z$ are variables and $f \in O$.

## Base: Induction principles and equality axioms

$$
\begin{aligned}
& \frac{\Gamma \vdash_{\mathcal{B} \Delta} \phi\{[] / z\} \quad \Gamma, \phi \vdash_{\mathcal{B} \Delta, x, z} \phi\{x:: z / z\}}{\Gamma \vdash_{\mathcal{B} \Delta} \forall z \cdot \phi} \text { ListInd } \\
& \frac{\Delta, x^{\mathbf{0}} \vdash_{\mathcal{B}} t: \tau}{\vdash_{\mathcal{B}} \Delta, x} \mathbf{0} \quad t=\square x, \\
& \vdash_{\mathcal{B}_{x} \sigma, y^{\tau}} \pi_{L}(x, y)=x \\
& \frac{\Delta \vdash_{\mathcal{B}} u: \sigma_{1} \quad \Delta, x \sigma_{1} \vdash_{\mathcal{B}} s: \tau \quad \Delta, y \sigma_{2} \vdash_{\mathcal{B}} t: \tau}{\vdash_{\mathcal{B} \Delta} \operatorname{case}_{x, y}\left({ }^{\left(\mathrm{in}_{L} u, s, t\right)}=s\{u / x\}\right.} \\
& \Delta \vdash_{\mathcal{B}} s: \tau \quad \Delta, x^{\tau} \vdash_{\mathcal{B}} t: \tau \\
& \vdash_{\mathcal{B} \Delta} \operatorname{iter}_{x}(s, t) 0=s \\
& \Delta \vdash_{\mathcal{B}} s: \sigma \quad \Delta, z^{\tau}, x^{\sigma} \vdash_{\mathcal{B}} t: \sigma \\
& \vdash_{\mathcal{B}} \Delta \text { fold }_{\boldsymbol{z}, x}(s, t)[]=s \\
& \Delta \vdash_{\mathcal{B}} s: \tau \quad \Delta, x^{\tau} \vdash_{\mathcal{B}} t: \tau \\
& \frac{\Delta \vdash_{\mathcal{B}} u: \sigma_{1} \quad \Delta, x^{\sigma_{1}} \vdash_{\mathcal{B}} s: \tau \quad \Delta, y \sigma_{2} \vdash_{\mathcal{B}} t}{\vdash_{\mathcal{B} \Delta} \operatorname{case}_{x, y}\left(\operatorname{in}_{R} u, s, t\right)=s\{u / y\}} \\
& \vdash_{\mathcal{B}_{x} \mathbf{1}} x=* \\
& \vdash_{\mathcal{B}_{x} \sigma \times \tau}\left(\pi_{L} x, \pi_{R} x\right)=x \\
& \frac{\Delta, z^{\sigma_{1}+\sigma_{2} \vdash_{\mathcal{B}} h: \tau}}{\vdash_{\mathcal{B}}{ }_{\Delta, z} \sigma_{1}+\sigma_{2} \operatorname{case}_{x, y}\left(z, h\left\{\operatorname{in}_{L} x / z\right\}, h\left\{\operatorname{in}_{R} y / z\right\}=h\right)} x^{\sigma_{1}, y} \sigma_{2} \notin \Delta \\
& \vdash_{\mathcal{B} \Delta, x}{ }^{s}=t \\
& \Delta \vdash_{\mathcal{B}} u: \sigma_{1}+\sigma_{2} \quad \vdash_{\mathcal{B}} \Delta, x \sigma_{1} s=s^{\prime} \quad \vdash_{\mathcal{B}} \Delta, y \sigma_{2} t=t^{\prime}
\end{aligned}
$$

## Lax: Formulae

The formulae $M$ of $\mathcal{L}$ are given by

$$
\begin{aligned}
M::= & \iota \phi \mid \text { true } \mid \text { false }|\bigcirc M| \\
& M \wedge M|M \vee M| M \rightarrow M|\forall x . M| \exists x . M
\end{aligned}
$$

where $\phi$ ranges over the propositions of $\mathcal{B}$ and $x$ ranges over variables. The role of each connective (i.e. whether it is in $\mathcal{B}$ or $\mathcal{L}$ ) is always clear from context.

## Lax: Deduction Rules

## Most of these rules are standard.

$$
\begin{aligned}
& \overline{t r u e} \text { trueI } \quad \frac{\text { false }}{M} \text { falseE } \quad \frac{M \quad N}{M \wedge N} \wedge I \quad \frac{M \wedge N}{M} \wedge E_{L} \quad \frac{M \wedge N}{N} \wedge E_{R} \\
& \frac{M}{M \vee N} \vee I_{L} \quad \frac{N}{M \vee N} \vee I_{R} \\
& \frac{M}{\forall x \cdot M} \forall I_{x} \quad \frac{\forall x \cdot M}{M\{t / x\}} \forall E_{t} \\
& \begin{array}{ccc}
{\left[x_{1}: M_{1}\right]} & {\left[x_{2}: M_{2}\right]} \\
\vdots & \vdots \\
M_{1} \vee M_{2} & N & N \\
\hline N &
\end{array} \\
& \begin{array}{ccc}
{[y: M]} \\
\exists x . M & \vdots \\
\hline & N \\
& \exists E_{y} & \frac{M\{t / x\}}{\exists x . M} \exists I_{t}
\end{array} \\
& \frac{\iota \phi_{1} \cdots \iota \phi_{k}}{\iota \psi} \iota\left(\text { side condition: } \phi_{1}, \ldots, \phi_{k} \vdash_{\mathcal{B}} \psi\right. \text { ) }
\end{aligned}
$$

## Lax: Deduction rules (cont.)

Mendler's lets-not-bother rule is a bit odd! Even though it provides no information, it still seems to be useful (worth investigating further).


## Lax: Constraint extraction

A proof of $O \phi$ is a pair $(c, p)$ where $c$ is a constraint and $p$ is a proof of $\phi$ under $c$. We need to find both $c$ and $p$. We first associate every closed $\mathcal{L}$-statement $M$ with a predicator $M^{\#}$.


## Lax: Constraint extraction (cont.)

Next we find any proof of $O \phi$ and translate it using these rules:

|  | O $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ $=$ | ```\(\stackrel{*}{\square}[a]\) ([a], [b]) \(\pi_{L}[a]\) \(\pi_{R}[a]\) \(\operatorname{in}_{L}\) [a] \(\operatorname{in}_{R}[a]\) case \(_{1}, x_{2}\left([a],\left[b_{1}\right],\left[b_{2}\right]\right)\) \(\lambda x .[a]\) [a] \(t\) \([b]\left\{\pi_{L}[a] / x\right\}\left\{\pi_{R}[a] / y\right\}\) ( \(t,[a]\) ) * \(\lambda x .[a]\) [a] [b] \(\left(\pi_{L}\left([b]\left\{\pi_{R}[a] / x\right\}\right) @ \pi_{L}[a], \pi_{R}\left([b]\left\{\pi_{R}[a] / x\right\}\right)\right)\) ([ ], [a]) \(\left(\left(\pi_{L} \pi_{R}[a]\right) @\left(\pi_{L}[a]\right), \pi_{R} \pi_{R}[a]\right)\) ( \(\left.\pi_{L}[a],[b]\left\{\pi_{R}[a] / x\right\}\right)\) [b] natrec ([a], \(\lambda n \cdot \lambda x \cdot[b])\) listrec \(([a], \lambda h \cdot \lambda l \cdot \lambda x \cdot[b])\)``` |
| :---: | :---: | :---: |

## Example

Consider the formula

$$
S P E C==_{\operatorname{def}} \forall m^{\mathbb{N}} \cdot \circ \iota \exists n^{\mathbb{N}} \cdot(m=\text { succ } n) .
$$

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$$
S P E C==_{\operatorname{def}} \forall m^{\mathbb{N}} \cdot \bigcirc \iota \exists n^{\mathbb{N}} \cdot(m=\text { succ } n)
$$

We expect to extract ' $m \neq 0$ '. Given any constraint term $z$, we get

$$
\begin{aligned}
\text { SPEC }^{\#} z & =\left(\forall m^{\mathbb{N}} \cdot \bigcirc \iota \exists n^{\mathbb{N}} \cdot(m=\text { succ } n)\right)^{\#} z \\
& =\forall m^{\mathbb{N}} \cdot\left(\left(\bigcirc \iota \exists n^{\mathbb{N}} \cdot(m=\text { succ } n)\right)^{\#}(z m)\right) \\
& =\forall m^{\mathbb{N}} \cdot\left(\left(\left(\iota \exists n^{\mathbb{N}} \cdot(m=\text { succ } n)\right)^{\#} \pi_{R}(z m)\right)^{\pi_{L}(z m)}\right) \\
& =\forall m^{\mathbb{N}} \cdot\left(\left(\exists n^{\mathbb{N}} \cdot(m=\text { succ } n)\right)^{\pi_{L}(z m)}\right) \\
& =\forall m^{\mathbb{N}} \cdot\left(\phi^{\pi_{L}(z m)}\right)
\end{aligned}
$$

where $\phi={ }_{\text {def }} \exists n^{\mathbb{N}}$. $(m=$ succ $n)$, so the constraint in question is given by the subterm $\pi_{L}(z m)$.

## Example (cont).

Different proofs of SPEC yield different choices for $z$. Let's use the following proof.

## Example (cont.)

This translates into the constraint term

$$
\begin{aligned}
z & =\left[\text { NatInd }_{m, m}\right]\left(\left[\forall E_{\left.\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right]}\right]([w]),[\bigcirc I]([\iota]([\ldots]))\right) \\
& =\text { natrec }\left(\left[\forall E_{\left.\left.\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right](?), \lambda m \cdot \lambda m^{\prime} \cdot[\bigcirc I]([\iota]([\ldots]))\right)}\right.\right. \\
& =\text { natrec }\left(?\left(\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right), \lambda m \cdot \lambda m^{\prime} \cdot([],[\iota]([\ldots]))\right) \\
& =\text { natrec }\left(\left(\left[\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right], *\right), \lambda m \cdot \lambda m^{\prime} \cdot([], *)\right)
\end{aligned}
$$

and the required constraint, $\pi_{L}(z m)$, is

$$
\pi_{L}(z m) \equiv \pi_{L}\left(\operatorname{natrec}\left(\left(\left[\exists n^{\mathbb{N}} \cdot(0=\operatorname{succ} n)\right], *\right), \lambda m \cdot \lambda m^{\prime} .([], *)\right) m\right)
$$

## Example (cont.)

This is equivalent to ( $m \neq 0$ ), as required: For the base case $(m=0)$, we have

$$
\begin{aligned}
\pi_{L}(z 0) & \equiv \pi_{L}\left(\text { natrec }\left(\left(\left[\exists n^{\mathbb{N}} \cdot(0=\operatorname{succ} n)\right], *\right), \lambda m \cdot \lambda m^{\prime} \cdot([], *)\right) 0\right) \\
& \equiv \pi_{L}\left(\left[\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right], *\right) \\
& \equiv\left[\exists n^{\mathbb{N}} \cdot(0=\text { succ } n)\right]
\end{aligned}
$$

and for $m=$ succ $k$ we have

$$
\begin{aligned}
\pi_{L}(z(\operatorname{succ} k)) & \equiv \pi_{L}\left(\operatorname{natrec}\left(\left(\left[\exists n^{\mathbb{N}} \cdot(0=\operatorname{succ} n)\right], *\right), \lambda m \cdot \lambda m^{\prime} \cdot([], *)\right)(\operatorname{succ} k)\right) \\
& \equiv \pi_{L}\left(\left(\lambda m \cdot \lambda m^{\prime} \cdot([], *)\right) k \text { natrec }\left(\left(\left[\exists n^{\mathbb{N}} \cdot(0=\operatorname{succ} n)\right], *\right), \lambda m \cdot \lambda m^{\prime} \cdot([], *)\right) k\right) \\
& \equiv \pi_{L}([], *) \\
& \equiv[]
\end{aligned}
$$

## Notions of Constraint

Central to the idea of constraint extraction is a notion $C$ of constraint, a set including a unit constraint 1 , together with a function under: $\mathcal{B} \times C \rightarrow \mathcal{B}$ satisfying the following conditions:

- $\phi$ under 1 is always equivalent to $\phi$
- constraints can be combined, so that for any constraints $c, d$ there is a constraint $c . d$ such that $\phi$ under $c$ under $d$ is always equivalent to $\phi$ under c.d.
- the application of constraints preserves implication: if $\phi$ implies $\psi$, then $\phi$ under $c$ implies $\psi$ under $c$, for every constraint $c$.


## General constraints

The constraints relative to which $\bigcirc$ is interpreted form a monoidal action $\mathbf{C} \equiv(\mathbf{C}, 1, .$, under $)$ which preserves implication. Since different choices of $\mathbf{C}$ lead to different notions of logical refinement and constraint extraction, Mendler's original formulation of lax logic is rather general. In the standard interpretation $\mathbf{C}$ is the sets of lists $\left[c_{1}, \ldots, c_{n}\right]$ with members from some subset of statements, constraint composition is list concatenation, the void constraint is the empty list, and under is:

$$
\phi \text { under }\left[c_{1}, \ldots, c_{n}\right] \equiv c_{n} \rightarrow \cdots \rightarrow c_{1} \rightarrow \phi
$$

We can replace the constraint list $C=\left[c_{1}, \ldots, c_{k}\right]$ of the standard interpretation by the single constraint $\Pi C$ where
$\Pi={ }_{\text {def }}$ fold $_{z, x}($ true, $z \wedge x)$.

## Multiple constraint levels

The idea behind multi-level lax logic (MLL) is to allow multiple notions of constraint to operate simultaneously. Currently, all constraints must belong to the same underlying monoid.

We could instead use product notions, for example, but no general-purpose composition of constraint notions has been investigated, but it is reasonable to expect the cardinality $\left|\mathbf{C} \times \mathbf{C}^{\prime}\right|$ to be of the order of $|\mathbf{C}| \times\left|\mathbf{C}^{\prime}\right|$ or (even infinitely) worse. Consequently, if we attempt to solve systems defined relative to multiple notions of constraint, we are likely to run into combinatorial explosion problems and a consequent lack of scalability.

## Operational MLL [LK06]

Write $p \triangleleft M$ to mean that $p$ is a proof(-term) for the statement $M$. Ed's work considers expressions of the form

$$
p_{1} \triangleleft p_{2} \triangleleft \ldots \triangleleft p_{n} \triangleleft \phi
$$

and shows how to extract constraints at each level. These constraints satisfy statements of the form this constraint allows us to deduce that that constraint allows us to deduce that the next constraint ....allows us to deduce $\phi$. His approach is 'operational' in the following sense: he defines multi-level versions of the logical connectives and deduction rules, and then extends the translation rules given above for 'level-one' lax logic.

## Example: $\bigcirc_{n}$

Having defined the operators let and val, Lewis defines deduction rules for the $\bigcirc$ operator.

$$
\begin{gathered}
\frac{\Gamma \vdash p_{1} \triangleleft \ldots \triangleleft p_{n} \triangleleft P}{\Gamma \vdash \operatorname{val}_{n, 1} p_{1} \triangleleft \ldots \triangleleft \operatorname{val}_{n, n} p_{n} \triangleleft \mathrm{O}_{n} P} \bigcirc_{n} I \\
\frac{\Gamma \vdash p_{1} \triangleleft \ldots \triangleleft p_{n} \triangleleft \bigcirc_{n} P \quad \Gamma, z_{1} \triangleleft \ldots \triangleleft z_{n} \triangleleft P \vdash q_{1} \triangleleft \ldots \triangleleft q_{n} \triangleleft \mathrm{O}_{n} Q}{\Gamma \vdash \operatorname{let}_{n, 1} z_{n} \ldots z_{1} \Leftarrow p_{n} \ldots p_{1} \text { in } q_{n} \ldots q_{1} \triangleleft \ldots \triangleleft} \bigcirc_{n} E \\
\operatorname{let}_{n, n-1} z_{n} z_{n-1} \Leftarrow p_{n} p_{n-1} \text { in } q_{n} q_{n-1} \triangleleft \\
\operatorname{let}_{n, n} z_{n} \Leftarrow p_{n} \text { in } q_{n} \triangleleft \mathrm{O}_{n} Q
\end{gathered}
$$

## Consolidation

Because Ed's rules are defined one connective at a time, he cannot guarantee a priori that his logic makes sense as a whole, but has to prove this. He has implemented the rules using both Lego and Isabelle, at the same time showing that his logic has 'sensible properties'. He has a translation T taking each $(n+1)$-level expression into an equivalent $n$-level expression. Ultimately, his approach appears to rely on the following claim (currently being checked):
Claim: Given any level $n$ formula $\phi$, we have $\vdash_{\mathcal{B}} T^{n} \phi$ if and only if $\vdash_{n} \phi$.

## Recursive MLL

Another approach! Any suitably rich base logic $\mathcal{B}$ can be extended to a lax logic $\mathcal{L}$ : write $\Psi$ for this (essentially algorithmic) procedure. Build a transfinite lax hierarchy by defining (for ordinals $\nu$ and limit ordinals $\mu$ )

$$
\begin{array}{rll}
\mathcal{L}_{0} & =\operatorname{def} & \mathcal{B} \\
\mathcal{L}_{1} & ={ }_{\operatorname{def}} \quad \mathcal{L} \\
\mathcal{L}_{\nu+1} & =\operatorname{def} \quad \Psi \mathcal{L}_{\nu} \\
\mathcal{L}_{\mu} & =\operatorname{def} \quad \bigcup\left\{\mathcal{L}_{\nu} \mid \nu<\mu\right\}
\end{array}
$$

Taking MLL to be $\bigcup_{n<\omega} \mathcal{L}_{n}$ gives the multi-level logic we seek. Easy result: If $\mathcal{B}$ is consistent, so is $\bigcup_{n<\omega} \mathcal{L}_{n}$. Note. Each laxification can be w.r.t. a different notion of constraint - the MLL type system may depend upon the choices made at each level.

## Advice, please...

- Which approach to MLL makes more sense?
- Should the two approaches give equivalent logics?
- Is there any role for a transfinite version of MLL? What might we use it for?
- What about the lets-not-bother rule? How come a semantically empty rule is actually useful?!


## Further Reading

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## Thank you!

- Which approach to MLL makes more sense?
- Should the two approaches give equivalent logics?
- Is there any role for a transfinite version of MLL? What might we use it for?
- What about the lets-not-bother rule? How come a semantically empty rule is actually useful?!
- Any other questions worth addressing as well (or instead)?

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