Calculating Bag Equalities

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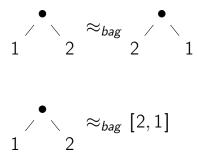
Equality up to reordering of elements, or equality when seen as bags:

$$\begin{array}{l} [1,2,1] \approx_{bag} [2,1,1] \\ [1,2,1] \not\approx_{bag} [2,1] \\ [1,2,1] \approx_{set} [2,1] \end{array}$$

Partial specification of sorting algorithm:

 \forall xs. sort xs \approx_{bag} xs

Not restricted to lists



Why?

Tree sort:

We can prove

$$\forall$$
 xs. tree-sort xs \approx_{bag} xs

by first proving

$$\forall xs. to-search-tree xs \approx_{bag} xs$$

 $\forall t. flatten t \approx_{bag} t$

Not restricted to finite things

$[1, 2, 1, 2, \ldots] \approx_{bag} [2, 1, 2, 1, \ldots]$



Assume semantics of grammar given by

 \mathcal{L} : Grammar \rightarrow Colist String

Language equivalence:

 $\mathcal{L} \ G_1 \ \approx_{set} \mathcal{L} \ G_2$

If we want to distinguish between ambiguous and unambiguous grammars:

$$\mathcal{L} \ G_1 \ \approx_{bag} \mathcal{L} \ G_2$$

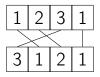
How is bag equality defined?

- ► Finite sequence of swaps of adjacent elements.
- Counting.
- Bags in the Boom hierarchy: append commutative.
- Bijections.
- ...

Bag equality via bijections

Bijection on positions which relates equal elements:

$$\begin{array}{rcl} xs \approx_{bag} ys \Leftrightarrow \\ \exists f : \text{positions of } xs \leftrightarrow \text{positions of } ys. \\ \forall p. \ lookup \ xs \ p \ = \ lookup \ ys \ (f \ p) \end{array}$$



Generalises to anything with positions and lookup.

New definition of bag equality, with the following properties:

- Many equalities provable using "bijectional reasoning", calculations with bijections instead of equalities.
- Works for arbitrary unary containers (lists, streams, trees, ...).
- Generalises to set equality and subset and subbag preorders.
- Works well in mechanised proofs.

Definition

Any (Morris)

Any P xs means that P x holds for some x in xs.

Any $P[1,2,3] = P1 + P2 + P3 + \bot$

Membership

$$\begin{array}{l} Any : (A \rightarrow Set) \rightarrow List \ A \rightarrow Set \\ Any \ P \left[\right] \qquad = \ \bot \\ Any \ P \left(x :: xs \right) \ = \ P \ x + Any \ P \ xs \end{array}$$

$$\begin{array}{l} _\in_: A \rightarrow \textit{List } A \rightarrow \textit{Set} \\ x \in xs \ = \ \textit{Any} \ (\lambda \ y. \ x \equiv y) \ xs \end{array}$$

$$\begin{array}{l} x \in [1,2,3] \; = \; (x \equiv 1) + (x \equiv 2) + (x \equiv 3) + \bot \\ x \in [1,1] \quad = \; (x \equiv 1) + (x \equiv 1) + \bot \end{array}$$

Bag equality

$$\begin{array}{l} _\in_: A \rightarrow \textit{List } A \rightarrow \textit{Set} \\ x \in xs \ = \ \textit{Any} \ (\lambda \ y. \ x \equiv y) \ xs \end{array}$$

$$\begin{array}{rcl} _\approx_{\mathit{bag}-} : \ \mathit{List} \ A \ \rightarrow \ \mathit{List} \ A \ \rightarrow \ \mathit{Set} \\ \mathsf{xs} \ \approx_{\mathit{bag}} \ \mathsf{ys} \ = \ \forall \ \mathsf{z}. \quad \mathsf{z} \in \mathsf{xs} \ \leftrightarrow \ \mathsf{z} \in \mathsf{ys} \end{array}$$

Bijectional reasoning

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$\sum_{x \in A} : List A \to (A \to List B) \to List B$$

$$xs \gg f = concat (map f xs)$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \approx_{bag} \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$\begin{array}{l} [1,2] \gg (\lambda \ y. \ [y] \ + \ [y]) \approx_{bag} \\ ([1,2] \gg \lambda \ y. \ [y]) \ + \ ([1,2] \gg \lambda \ y. \ [y]) \end{array}$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array} \approx_{bag}$$

$$\begin{array}{l} [1,1,2,2] \approx_{bag} \\ ([1,2] \gg \lambda \ y. \ [y]) \ + \ ([1,2] \gg \lambda \ y. \ [y]) \end{array}$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$[1, 1, 2, 2] \approx_{bag}$$

 $[1, 2, 1, 2]$

Bijectional reasoning combinators Removing structure from *Any*'s list argument Left distributivity

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

$$\begin{array}{rcl} A & \leftrightarrow \langle p \rangle \\ B & \leftrightarrow \langle q \rangle \\ C & \Box \end{array}$$

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Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

$C \Box \quad : \quad C \; \leftrightarrow \; C$

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Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

 $B \leftrightarrow \langle q \rangle (C \Box) : B \leftrightarrow C$

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

 $A \leftrightarrow \langle p \rangle (B \leftrightarrow \langle q \rangle (C \Box)) : A \leftrightarrow C$

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

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Bijectional reasoning combinators Removing structure from *Any*'s list argument Left distributivity

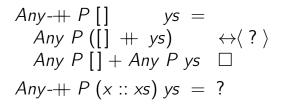
$\begin{array}{l} Any-\# : (P : A \rightarrow Set) (xs \ ys : List \ A) \rightarrow \\ Any \ P (xs \ \# \ ys) \ \leftrightarrow \ Any \ P \ xs + Any \ P \ ys \end{array}$

Any-# P xs ys = ?

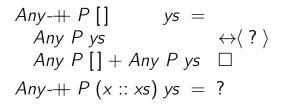
$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

Any + P[] ys = ?Any + P(x :: xs) ys = ?

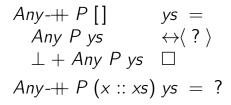
Any-# : (P : $A \rightarrow Set$) (xs ys : List A) \rightarrow Any $P(xs + ys) \leftrightarrow Any Pxs + Any Pys$



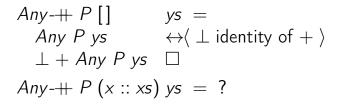
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Any-# : (P : $A \rightarrow Set$) (xs ys : List A) \rightarrow Any $P(xs + ys) \leftrightarrow Any Pxs + Any Pys$



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$$++-comm$$
 : (xs ys : List A) →
xs ++ ys ≈_{bag} ys ++ xs
++-comm xs ys = ?

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

 $\begin{array}{rl} ++-comm : (xs ys : List A) \rightarrow \\ & xs + ys \approx_{bag} ys + xs \\ ++-comm xs ys = \lambda z. \\ z \in xs + ys \leftrightarrow \langle ? \rangle \\ z \in ys + xs \Box \end{array}$

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

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(With $P = \lambda y. z \equiv y.$)

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

 $\begin{array}{rl} \text{++-comm} : (xs \ ys \ : \ List \ A) \rightarrow \\ & xs \ + ys \ \approx_{bag} \ ys \ + \ xs \\ \text{++-comm} \ xs \ ys \ = \ \lambda \ z. \\ & z \ \in \ xs \ + \ ys \qquad \leftrightarrow \langle \ Any - + \ \rangle \\ & z \ \in \ xs \ + \ z \ \in \ ys \qquad \leftrightarrow \langle \ 2 \ \rangle \\ & z \ \in \ ys \ + \ z \ \in \ xs \qquad \leftrightarrow \langle \ Any - + \ \rangle \end{array}$

 $z \in ys + xs$

(With $P = \lambda y. z \equiv y.$)

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

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(With $P = \lambda y. z \equiv y.$)

Proof of bind lemma:

Any
$$P(xs \gg f)$$
 $\leftrightarrow \langle \text{ by definition } \rangle$ Any $P(\text{concat (map f xs)})$ $\leftrightarrow \langle \text{ concat } \rangle$ Any $(Any P)(map f xs)$ $\leftrightarrow \langle map \rangle$ Any $(Any P \circ f) xs$ \Box

Any $P xs \leftrightarrow \exists z. P z \times z \in xs$

Bijectional reasoning combinators Removing structure from *Any*'s list argument Left distributivity

$$xs \gg (\lambda y. f y + g y) \approx_{bag} (xs \gg f) + (xs \gg g)$$

$z \in xs \gg (\lambda y. f y + g y) \quad \leftrightarrow \langle ? \rangle$ $z \in (xs \gg f) + (xs \gg g) \quad \Box$

$\begin{array}{ll} Any (_\equiv_z) (xs \gg (\lambda \ y. \ f \ y + g \ y)) & \leftrightarrow \langle ? \rangle \\ z \in (xs \gg f) + (xs \gg g) & \Box \end{array}$

$$\begin{array}{ll} Any (_\equiv_z) (xs \gg (\lambda \ y. \ f \ y + g \ y)) & \leftrightarrow \langle \text{ bind } \rangle \\ Any (Any (_\equiv_z) \circ (\lambda \ y. \ f \ y + g \ y)) xs & \leftrightarrow \langle ? \rangle \\ z \ \in \ (xs \gg f) \ + \ (xs \gg g) & \Box \end{array}$$

$\begin{array}{ll} Any (\lambda \ y. \ P \ y + Q \ y) \ xs & \leftrightarrow \langle \ ? \ \rangle \\ Any \ P \ xs + Any \ Q \ xs & \Box \end{array}$

Membership defined in terms of Any,

used Any lemmas,

to reduce left distributivity to

$$\begin{array}{ll} (A+B)\times C & \leftrightarrow \ A\times C + \ B\times C, \\ (\exists \ y. \ P \ y + Q \ y) & \leftrightarrow \ (\exists \ y. \ P \ y) + (\exists \ y. \ Q \ y). \end{array}$$

Variations

Variations

► Set equality:

$$xs \approx_{set} ys = \forall z. z \in xs \Leftrightarrow z \in ys$$

Subset preorder:

$$xs \lesssim_{set} ys = \forall z. z \in xs \rightarrow z \in ys$$

► Subbag preorder:

$$xs \lesssim_{bag} ys = \forall z. z \in xs \rightarrow z \in ys$$

Other types: Change the definition of Any.

$$\begin{array}{rcl} _\approx_{\mathit{bag-}} & : \ \mathit{List} \ A \ \rightarrow \ \mathit{Tree} \ A \ \rightarrow \ \mathit{Set} \\ \times s \ \approx_{\mathit{bag}} \ t \ = \ \forall \ z. \quad z \in_{\mathit{List}} \ xs \ \leftrightarrow \ z \in_{\mathit{Tree}} \ t \end{array}$$

Works for arbitrary unary containers (Abbot et al.; compare Hoogendijk & de Moor).

Conclusions

- Bag equality.
- Bijectional reasoning.
- Arbitrary unary containers.
- Set equality and subset and subbag preorders.
- Mechanised proofs.

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Bonus slides

Naive definition (coinductive):

$$\frac{xs + x :: y :: ys \approx_{bag} zs}{xs \approx_{bag} xs} \qquad \frac{xs + x :: y :: ys \approx_{bag} zs}{xs + y :: x :: ys \approx_{bag} zs}$$

Problem: All streams equal. Can build infinite derivation showing $xs \approx_{bag} zs$.

Bag equality for streams

For streams Any can be defined inductively:

$$\frac{P \times}{Any P (x :: xs)} \qquad \frac{Any P xs}{Any P (x :: xs)}$$

$$\begin{array}{l} _\in_: A \rightarrow Stream A \rightarrow Set \\ x \in xs = Any (\lambda \ y. \ x \equiv y) \ xs \end{array}$$

$$\begin{array}{rcl} _{-}\approx_{bag-} : & Stream \ A \ \rightarrow & Stream \ A \ \rightarrow & Set \\ xs \ \approx_{bag} & ys \ = \ \forall \ z. & z \in xs \ \leftrightarrow & z \in ys \end{array}$$

$$\begin{array}{l} Any - \# : \{A : Set\} (P : A \rightarrow Set) (xs \ ys : List \ A) \rightarrow \\ Any \ P (xs \ \# \ ys) \leftrightarrow Any \ P \ xs + Any \ P \ ys \\ Any - \# \ P \ [] \ ys = \\ Any \ P \ ys \qquad \leftrightarrow \langle \ sym + -left - identity \ \rangle \\ \bot + Any \ P \ ys \qquad \Box \\ Any - \# \ P \ (x :: xs) \ ys = \\ P \ x + Any \ P \ (xs \ \# \ ys) \qquad \leftrightarrow \langle \ + -cong \ (P \ x \ \Box) \\ (Any - \# \ P \ xs \ ys) \ \rangle \\ P \ x + (Any \ P \ xs + Any \ P \ ys) \qquad \leftrightarrow \langle \ + -assoc \ \rangle \\ (P \ x + Any \ P \ xs) + Any \ P \ ys \ \Box \end{array}$$

Can define parametrised notion of equality:

$$\begin{array}{l} _\sim\sim[_]_{-} : Set \to Kind \to Set \to Set \\ A \sim\sim[implication] B = A \to B \\ A \sim\sim[equivalence] B = A \Leftrightarrow B \\ A \sim\sim[injection] B = A \mapsto B \\ A \sim\sim[bijection] B = A \leftrightarrow B \end{array}$$

$$\begin{array}{rcl} _\sim [_]_ & : \ List \ A \ \rightarrow \ Kind \ \rightarrow \ List \ A \ \rightarrow \ Set \\ xs \sim [k] ys = \ \forall \ z. \quad z \in xs \ \rightsquigarrow [k] \ z \in ys \end{array}$$

Can prove preservation properties uniformly:

$$\begin{array}{l} \gg -cong : (xs \ ys : \ List \ A) (f \ g : A \rightarrow \ List \ B) \rightarrow \\ & xs \sim [k] \ ys \rightarrow (\forall \ x. \ f \ x \sim [k] \ g \ x) \rightarrow \\ & xs \gg f \sim [k] \ ys \gg g \\ \end{array}$$

$$\begin{array}{l} \gg -cong \ xs \ ys \ f \ g \ eq_1 \ eq_2 \ = \ \lambda \ z. \\ & z \in xs \gg f \qquad \leftrightarrow \langle \ bind \ \rangle \\ & Any \ (\lambda \ x. \ z \in f \ x) \ xs \qquad \rightsquigarrow \langle \ Any-cong \ \rangle \\ & Any \ (\lambda \ x. \ z \in g \ x) \ ys \qquad \leftrightarrow \langle \ bind \ \rangle \\ & z \in ys \gg g \qquad \Box \end{array}$$



Parser:

parse : Grammar A \rightarrow String \rightarrow List A

Semantics of grammar G:

Semantics G x s

A predicate stating when x is one possible result of parsing s.



Correctness of parser:

 \forall s. parse G s \approx_{bag} $(x \mid Semantics G \times s)$



Correctness of parser:

 $\forall s. parse G s \approx_{bag} [x | Semantics G x s]$ What does [...] mean? How is _ \approx_{bag} defined? $\forall s x. x \in_{list} parse G s \leftrightarrow Semantics G x s$ The research leading to these results has received funding from the European Research Council under the European Union's Seventh Framework Programme (FP7/2007-2013)/ERC grant agreement n° 247219.

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