Bag Equivalence via a Proof-Relevant Membership Relation

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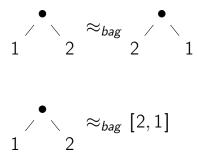
The research leading to these results has received funding from the European Research Council under the European Union's Seventh Framework Programme (FP7/2007-2013)/ERC grant agreement n° 24/219. This presentation does not necessarily reflect the views of the ERC or the EU. The EU is not liable for any use of the presented information. I do not hold the copyright to the EU emblem or the ERC logo. Equality up to reordering of elements, or equality when seen as bags:

$$\begin{array}{l} [1,2,1] \approx_{bag} [2,1,1] \\ [1,2,1] \not\approx_{bag} [2,1] \\ [1,2,1] \approx_{set} [2,1] \end{array}$$

Partial specification of sorting algorithm:

 \forall xs. sort xs \approx_{bag} xs

Not restricted to lists



Why?

Tree sort:

We can prove

$$\forall$$
 xs. tree-sort xs \approx_{bag} xs

by first proving

$$\forall xs. to-search-tree xs \approx_{bag} xs$$

 $\forall t. flatten t \approx_{bag} t$

Not restricted to finite things

$[1, 2, 1, 2, \ldots] \approx_{bag} [2, 1, 2, 1, \ldots]$



Assume semantics of grammar given by

 \mathcal{L} : Grammar \rightarrow Colist String

Language equivalence:

 $\mathcal{L} \ G_1 \ \approx_{set} \mathcal{L} \ G_2$

If we want to distinguish between ambiguous and unambiguous grammars:

$$\mathcal{L} \ G_1 \ \approx_{bag} \mathcal{L} \ G_2$$

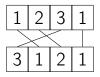
How is bag equivalence defined?

- ► Finite sequence of swaps of adjacent elements.
- Counting.
- Bijections.
- •

Bag equivalence via bijections

Bijection on positions which relates equal elements:

$$\begin{array}{rcl} xs \approx_{bag} ys \Leftrightarrow \\ \exists f : \text{positions of } xs \leftrightarrow \text{positions of } ys. \\ \forall p. \ lookup \ xs \ p \ = \ lookup \ ys \ (f \ p) \end{array}$$



Generalises to anything with positions and lookup.

New definition of bag equivalence, with the following properties:

- Many equivalences provable using "bijectional reasoning".
- Works for arbitrary unary containers (lists, streams, trees, ...).
- Generalises to set equivalence and subset and subbag preorders.
- ► Formalised in Agda, but the K rule is not used.

Definition

Any (Morris)

Any P xs means that P x holds for some x in xs.

Any $P[1,2,3] = P1 + P2 + P3 + \bot$

Membership

$$\begin{array}{l} Any : (A \rightarrow Set) \rightarrow List \ A \rightarrow Set \\ Any \ P \left[\right] \qquad = \ \bot \\ Any \ P \left(x :: xs \right) \ = \ P \ x + Any \ P \ xs \end{array}$$

$$\begin{array}{l} _\in_: A \rightarrow \textit{List } A \rightarrow \textit{Set} \\ x \in xs \ = \ \textit{Any} \ (\lambda \ y. \ x \equiv y) \ xs \end{array}$$

$$\begin{array}{l} x \in [1,2,3] = (x \equiv 1) + (x \equiv 2) + (x \equiv 3) + \bot \\ 2 \in [2,2] = (2 \equiv 2) + (2 \equiv 2) + \bot \end{array}$$

$$\begin{array}{l} _\in_: A \rightarrow \textit{List } A \rightarrow \textit{Set} \\ x \in xs \ = \ \textit{Any} \ (\lambda \ y. \ x \equiv y) \ xs \end{array}$$

$$\begin{array}{rcl} _\approx_{bag-} & : \ \textit{List } A \ \rightarrow \ \textit{List } A \ \rightarrow \ \textit{Set} \\ xs \ \approx_{bag} \ ys \ = \ \forall \ z. \quad z \in xs \ \leftrightarrow \ z \in ys \end{array}$$



What if there are several distinct proofs of $2 \equiv 2$?

$$2 \in [2,2] = (2 \equiv 2) + (2 \equiv 2) + \bot$$

The two definitions are equivalent (without K):

$$\begin{array}{rcl} xs \approx_{bag} ys \Leftrightarrow \\ \exists f : \text{positions of } xs \leftrightarrow \text{positions of } ys. \\ \forall p. \ lookup \ xs \ p = \ lookup \ ys \ (f \ p) \end{array}$$

$$\begin{array}{rcl} _{-}\approx_{\mathit{bag}-}: \ \mathit{List} \ A \ \rightarrow \ \mathit{List} \ A \ \rightarrow \ \mathit{Set} \\ xs \ \approx_{\mathit{bag}} \ \mathit{ys} \ = \ \forall \ \mathit{z}. \quad \mathit{z} \in \mathit{xs} \ \leftrightarrow \ \mathit{z} \in \mathit{ys} \end{array}$$

If \leftrightarrow is replaced by weak equivalence: *isomorphic*.

Bijectional reasoning

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$\sum_{x \in A} : List A \to (A \to List B) \to List B$$

$$xs \gg f = concat (map f xs)$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \approx_{bag} \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$\begin{array}{l} [1,2] \gg (\lambda \ y. \ [y] \ + \ [y]) \approx_{bag} \\ ([1,2] \gg \lambda \ y. \ [y]) \ + \ ([1,2] \gg \lambda \ y. \ [y]) \end{array}$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array} \approx_{bag}$$

$$\begin{array}{l} [1,1,2,2] \approx_{bag} \\ ([1,2] \gg \lambda \ y. \ [y]) \ + \ ([1,2] \gg \lambda \ y. \ [y]) \end{array}$$

$$\begin{array}{l} xs \gg (\lambda \ y. \ f \ y \ + \ g \ y) \\ (xs \gg f) \ + \ (xs \gg g) \end{array}$$

$$[1, 1, 2, 2] \approx_{bag}$$

 $[1, 2, 1, 2]$

Bijectional reasoning combinators Any lemmas Left distributivity

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

$$\begin{array}{rcl} A & \leftrightarrow \langle p \rangle \\ B & \leftrightarrow \langle q \rangle \\ C & \Box \end{array}$$

$\begin{array}{cccc} -\Box & : & (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : & (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

$C \Box \quad : \quad C \; \leftrightarrow \; C$

$$\begin{array}{cccc} -\Box & : & (A : Set) \rightarrow A \leftrightarrow A \\ _{-} \leftrightarrow \langle_{-} \rangle_{-} & : & (A : Set) \{B \ C : Set\} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

 $B \leftrightarrow \langle q \rangle (C \Box) : B \leftrightarrow C$

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

 $A \leftrightarrow \langle p \rangle (B \leftrightarrow \langle q \rangle (C \Box)) : A \leftrightarrow C$

$$\begin{array}{cccc} -\Box & : (A : Set) \rightarrow A \leftrightarrow A \\ _{\rightarrow} \leftrightarrow \langle _{-} \rangle _{-} & : (A : Set) \{ B \ C : Set \} \rightarrow \\ & A \leftrightarrow B \rightarrow B \leftrightarrow C \rightarrow A \leftrightarrow C \end{array}$$

Assume $p : A \leftrightarrow B, q : B \leftrightarrow C$.

$$\begin{array}{rcl} A & \leftrightarrow \langle p \rangle \\ B & \leftrightarrow \langle q \rangle \\ C & \Box \end{array}$$

Bijectional reasoning combinators *Any* lemmas Left distributivity

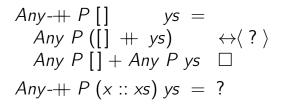
$\begin{array}{l} Any-\# : (P : A \rightarrow Set) (xs \ ys : List \ A) \rightarrow \\ Any \ P (xs \ \# \ ys) \ \leftrightarrow \ Any \ P \ xs + Any \ P \ ys \end{array}$

Any-# P xs ys = ?

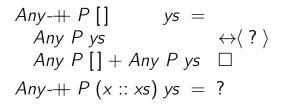
$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

Any + P[] ys = ?Any + P(x :: xs) ys = ?

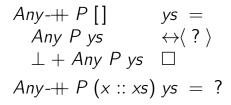
Any-# : (P : $A \rightarrow Set$) (xs ys : List A) \rightarrow Any $P(xs + ys) \leftrightarrow Any Pxs + Any Pys$



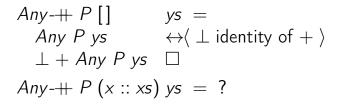
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Any-# : (P : $A \rightarrow Set$) (xs ys : List A) \rightarrow Any $P(xs + ys) \leftrightarrow Any Pxs + Any Pys$



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$$++-comm$$
 : (xs ys : List A) →
xs ++ ys ≈_{bag} ys ++ xs
++-comm xs ys = ?

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

 $\begin{array}{rl} ++-comm : (xs ys : List A) \rightarrow \\ & xs + ys \approx_{bag} ys + xs \\ ++-comm xs ys = \lambda z. \\ z \in xs + ys \leftrightarrow \langle ? \rangle \\ z \in ys + xs \Box \end{array}$

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

 $\begin{array}{rl} \text{++-comm} : (xs \ ys \ : \ List \ A) \rightarrow & \\ & xs \ + ys \ \approx_{bag} \ ys \ + \ xs \\ \text{++-comm} \ xs \ ys \ = \ \lambda \ z. \\ & z \ \in \ xs \ + \ ys & \leftrightarrow \langle \ Any \ + \ \rangle \\ & z \ \in \ xs \ + \ z \ \in \ ys & \leftrightarrow \langle \ ? \ \rangle \\ & z \ \in \ ys \ + \ xs & \Box \end{array}$

(With $P = \lambda y. z \equiv y.$)

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

 $\begin{array}{rl} \text{++-comm} : (xs \ ys \ : \ List \ A) \rightarrow \\ & xs \ + ys \ \approx_{bag} \ ys \ + \ xs \\ \text{++-comm} \ xs \ ys \ = \ \lambda \ z. \\ & z \ \in \ xs \ + \ ys \qquad \leftrightarrow \langle \ Any - + \ \rangle \\ & z \ \in \ xs \ + \ z \ \in \ ys \qquad \leftrightarrow \langle \ 2 \ \rangle \\ & z \ \in \ ys \ + \ z \ \in \ xs \qquad \leftrightarrow \langle \ Any - + \ \rangle \end{array}$

 $z \in ys + xs$

(With $P = \lambda y. z \equiv y.$)

$$\begin{array}{rcl} Any-++ & : & (P : A \rightarrow Set) (xs \ ys : \ List \ A) \rightarrow \\ Any \ P (xs \ ++ \ ys) \ \leftrightarrow \ Any \ P \ xs + \ Any \ P \ ys \end{array}$$

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(With $P = \lambda y. z \equiv y.$)

Proof of bind lemma:

Any
$$P(xs \gg f)$$
 $\leftrightarrow \langle \text{ by definition } \rangle$ Any $P(\text{concat } (\text{map } f xs))$ $\leftrightarrow \langle \text{ concat } \rangle$ Any $(Any P)(\text{map } f xs)$ $\leftrightarrow \langle \text{ map } \rangle$ Any $(Any P \circ f) xs$ \Box

Any $P xs \leftrightarrow \exists z. P z \times z \in xs$

Bijectional reasoning combinators Any lemmas Left distributivity

$$xs \gg (\lambda y. f y + g y) \approx_{bag} (xs \gg f) + (xs \gg g)$$

$z \in xs \gg (\lambda y. f y + g y) \quad \leftrightarrow \langle ? \rangle$ $z \in (xs \gg f) + (xs \gg g) \quad \Box$

$\begin{array}{ll} Any (_\equiv_z) (xs \gg (\lambda \ y. \ f \ y + g \ y)) & \leftrightarrow \langle ? \rangle \\ z \in (xs \gg f) + (xs \gg g) & \Box \end{array}$

$$\begin{array}{ll} Any (_\equiv_z) (xs \gg (\lambda \ y. \ f \ y + g \ y)) & \leftrightarrow \langle \text{ bind } \rangle \\ Any (Any (_\equiv_z) \circ (\lambda \ y. \ f \ y + g \ y)) xs & \leftrightarrow \langle ? \rangle \\ z \ \in \ (xs \gg f) \ + \ (xs \gg g) & \Box \end{array}$$

$\begin{array}{ll} Any (\lambda \ y. \ P \ y + Q \ y) \ xs & \leftrightarrow \langle \ ? \ \rangle \\ Any \ P \ xs + Any \ Q \ xs & \Box \end{array}$

Membership defined in terms of Any,

used Any lemmas,

to reduce left distributivity to

$$\begin{array}{ll} (A+B)\times C & \leftrightarrow \ A\times C \ + \ B\times C, \\ (\exists \ y. \ P \ y + Q \ y) \ \leftrightarrow \ (\exists \ y. \ P \ y) + (\exists \ y. \ Q \ y). \end{array}$$

Variations

Variations

► Set equivalence:

$$xs \approx_{set} ys = \forall z. z \in xs \Leftrightarrow z \in ys$$

Subset preorder:

$$xs \lesssim_{set} ys = \forall z. z \in xs \rightarrow z \in ys$$

► Subbag preorder:

$$xs \lesssim_{bag} ys = \forall z. z \in xs \rightarrow z \in ys$$

Other types: Change the definition of Any.

$$\begin{array}{rcl} _\approx_{\mathit{bag-}} & : \ \mathit{List} \ A \ \rightarrow \ \mathit{Tree} \ A \ \rightarrow \ \mathit{Set} \\ \times s \ \approx_{\mathit{bag}} \ t \ = \ \forall \ z. \quad z \in_{\mathit{List}} \ xs \ \leftrightarrow \ z \in_{\mathit{Tree}} \ t \end{array}$$

Works for arbitrary unary containers (Abbot et al.; compare Hoogendijk & de Moor).

Conclusions

- Bag equivalence.
- Bijectional reasoning.
- Arbitrary unary containers.
- Set equivalence and subset and subbag preorders.

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