

Up-to Techniques Using Sized Types

Nils Anders Danielsson

Joint Stockholm–Göteborg type theory seminar,
Göteborg, 2017-10-25

When using a type theory with sized types to define bisimilarity a useful class of up-to techniques falls out naturally.

The
traditional
approach

The traditional approach

Traditional coinduction:

- ▶ F : A monotone function on a complete lattice.
- ▶ νF : Its greatest post-fixpoint.
- ▶ Coinduction: $R \leq F R$ implies $R \leq \nu F$.

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

Can be turned into a monotone function:

$$BR = \{ (P, Q) \mid \dots \}$$

R is a bisimulation iff $R \subseteq BR$.

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

Bisimilarity: $P \sim Q$ if $(P, Q) \in \nu B$.

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

Coinduction: $R \subseteq B R$ implies $R \subseteq \nu B$.

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

Coinduction: $R \subseteq B R$ implies $R \subseteq \nu B$.

Up-to techniques are used to make proofs easier.

G is an up-to technique if

$R \subseteq B(G R)$ implies $R \subseteq \nu B$ (for all R).

The traditional approach

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

R is a bisimulation up to bisimilarity:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' \sim R \sim Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' \sim R \sim Q' \end{array}$$

Coinductive data types

Coinduction without sized types

The delay monad, roughly $\nu X. A + X$:

mutual

```
data Delay (A : Set) : Set where
```

```
  now : A          → Delay A
```

```
  later : Delay' A → Delay A
```

```
record Delay' (A : Set) : Set where
```

```
  coinductive
```

```
  field force : Delay A
```

Corecursion using copatterns

`never` \approx `later` (`later` (`later` (...))):

`mutual`

`never` : $\forall \{A\} \rightarrow \text{Delay } A$
`never` = `later never'`

`never'` : $\forall \{A\} \rightarrow \text{Delay}' A$
`force never'` = `never`

Corecursion using copatterns

`never` \approx `later` (`later` (`later` (...))):

`never` : $\forall \{A\} \rightarrow \text{Delay } A$

`never` = `later` ($\lambda \{ .\text{force} \rightarrow \text{never} \}$)

Corecursion using copatterns

`never` \approx `later (later (later (...)))`:

`never` : $\forall \{A\} \rightarrow \text{Delay } A$

`never` = `later` ($\lambda \{ .\text{force} \rightarrow \text{never} \}$)

Guarded, productive.

Guardedness

Not guarded, rejected:

$$\text{unfold} : \forall \{X A\} \rightarrow \\ (X \rightarrow A + X) \rightarrow X \rightarrow \text{Delay } A$$

$$\text{unfold } f = \\ \text{in}_D \circ \text{map } (\lambda x \rightarrow \lambda \{ .\text{force} \rightarrow \text{unfold } f \ x \}) \circ f$$

$$\text{in}_D : \forall \{A\} \rightarrow A + \text{Delay}' A \rightarrow \text{Delay } A$$

$$\text{map} : \{X Y A : \text{Set}\} \rightarrow \\ (X \rightarrow Y) \rightarrow A + X \rightarrow A + Y$$

Sized types

Sized types

The delay monad:

mutual

```
data Delay (A : Set) (i : Size) : Set where
  now      : A           → Delay A i
  later    : Delay' A i → Delay A i
```

```
record Delay' (A : Set) (i : Size) : Set where
  coinductive
  field force : {j : Size < i} → Delay A j
```

Sized types

- ▶ Sizes can be thought of as ordinals.
- ▶ $\text{Delay}' A i$: Partially defined values.
- ▶ Deflationary iteration:

$$\text{Delay}' A i \approx \bigcap_{j < i} A + \text{Delay}' A j$$

- ▶ ∞ : Closure ordinal.
- ▶ $\text{Delay}' A \infty$: Fully defined values.

Sized types

The size is smaller in every corecursive call:

$$\begin{aligned} \text{unfold} &: \forall \{X A i\} \rightarrow \\ & \quad (X \rightarrow A + X) \rightarrow X \rightarrow \text{Delay } A \ i \\ \text{unfold } f &= \\ & \quad \text{in}_D \circ \text{map } (\lambda x \rightarrow \lambda \{ \text{.force} \rightarrow \text{unfold } f \ x \}) \circ f \end{aligned}$$

Sized types

The size is smaller in every corecursive call:

$$\begin{aligned} \text{unfold} &: \forall \{X A i\} \rightarrow \\ & \quad (X \rightarrow A + X) \rightarrow X \rightarrow \text{Delay } A \ i \\ \text{unfold } f &= \\ & \quad \text{in}_D \circ \\ & \quad \text{map } (\lambda x \rightarrow \lambda \{ \text{.force} \rightarrow \\ & \quad \quad \quad \text{unfold } f \ x \}) \circ \\ & \quad f \end{aligned}$$

Sized types

The size is smaller in every corecursive call:

$$\begin{aligned} \text{unfold} &: \forall \{X A i\} \rightarrow \\ &\quad (X \rightarrow A + X) \rightarrow X \rightarrow \text{Delay } A \ i \\ \text{unfold } \{i = i\} f &= \\ &\quad \text{in}_D \circ \\ &\quad \text{map } (\lambda x \rightarrow \lambda \{ \text{.force } \{j = j\} \rightarrow \\ &\quad \quad \quad \text{unfold } \{i = j\} f x \}) \circ \\ &\quad f \end{aligned}$$

Greatest
post-
fixpoints

Index-preserving functions

Functions that preserve the index:

$$\begin{aligned} \underline{\subseteq} &: \{X : \text{Set}\} \rightarrow \\ & (X \rightarrow \text{Set}) \rightarrow (X \rightarrow \text{Set}) \rightarrow \text{Set} \\ R \subseteq S &= \forall \{x\} \rightarrow R\ x \rightarrow S\ x \end{aligned}$$

Containers

- ▶ Indexed containers, representing strictly positive functors:

$$\mathbf{Container} : \mathbf{Set} \rightarrow \mathbf{Set}_1$$

- ▶ Interpretation:

$$\begin{aligned} \llbracket _ \rrbracket : \forall \{X\} \rightarrow \\ \mathbf{Container} \ X \rightarrow \\ (X \rightarrow \mathbf{Set}) \rightarrow (X \rightarrow \mathbf{Set}) \end{aligned}$$

- ▶ Map function:

$$\begin{aligned} \mathbf{map} : \forall \{X\} (C : \mathbf{Container} \ X) \{A \ B\} \rightarrow \\ A \subseteq B \rightarrow \llbracket C \rrbracket A \subseteq \llbracket C \rrbracket B \end{aligned}$$

Greatest post-fixpoints

mutual

$\nu : \forall \{X\} \rightarrow \text{Container } X \rightarrow \text{Size} \rightarrow (X \rightarrow \text{Set})$
 $\nu C i = \llbracket C \rrbracket (\nu' C i)$

record $\nu' \{X\} (C : \text{Container } X) (i : \text{Size})$
 $(x : X) : \text{Set where}$

coinductive

field force : $\{j : \text{Size} < i\} \rightarrow \nu C j x$

Greatest post-fixpoints

$$\begin{aligned} \text{out} &: \forall \{X\} (C : \text{Container } X) \rightarrow \\ &\quad \nu C \infty \subseteq \llbracket C \rrbracket (\nu C \infty) \\ \text{out } C &= \text{map } C (\lambda x \rightarrow \text{force } x) \end{aligned}$$
$$\begin{aligned} \text{unfold} &: \forall \{X \ A \ i\} (C : \text{Container } X) \rightarrow \\ &\quad A \subseteq \llbracket C \rrbracket A \rightarrow A \subseteq \nu C \ i \\ \text{unfold } C \ f &= \\ &\quad \text{map } C (\lambda a \rightarrow \lambda \{ \text{.force} \rightarrow \text{unfold } C \ f \ a \}) \circ f \end{aligned}$$

CCS

A variant of a fragment of CCS:

```
data Label : Set where
  ● : Label
```

A variant of a fragment of CCS:

mutual

data Proc : Set where

\emptyset : Proc

$\underline{\quad} | \underline{\quad}$: Proc \rightarrow Proc \rightarrow Proc

\bullet : Proc' \rightarrow Proc

record Proc' : Set where

coinductive

field force : Proc

A variant of a fragment of CCS:

data $_[_] \rightarrow _ : \text{Proc} \rightarrow \text{Label} \rightarrow \text{Proc} \rightarrow \text{Set}$ where
 action $\quad : \forall \{P\} \rightarrow \bullet P [\bullet] \rightarrow \text{force } P$

par-left $\quad : \forall \{P P' Q \mu\} \rightarrow$
 $P [\mu] \rightarrow P' \rightarrow P \mid Q [\mu] \rightarrow P' \mid Q$

par-right $\quad : \forall \{P Q Q' \mu\} \rightarrow$
 $Q [\mu] \rightarrow Q' \rightarrow P \mid Q [\mu] \rightarrow P \mid Q'$

Bisimilarity

R is a bisimulation:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' & R & Q' \end{array}$$

Bisimilarity

R is a bisimulation iff $R \subseteq \mathbf{B} R$:

record \mathbf{B} ($R : \text{Proc} \times \text{Proc} \rightarrow \text{Set}$)
($PQ : \text{Proc} \times \text{Proc}$) : **Set** **where**
field

left-to-right :

$$\begin{aligned} &\forall \{\mu P'\} \rightarrow \text{fst } PQ \ [\mu] \rightarrow P' \rightarrow \\ &\exists \lambda Q' \rightarrow \text{snd } PQ \ [\mu] \rightarrow Q' \times R (P', Q') \end{aligned}$$

right-to-left :

$$\begin{aligned} &\forall \{\mu Q'\} \rightarrow \text{snd } PQ \ [\mu] \rightarrow Q' \rightarrow \\ &\exists \lambda P' \rightarrow \text{fst } PQ \ [\mu] \rightarrow P' \times R (P', Q') \end{aligned}$$

Bisimilarity

- ▶ B can also be defined as a container.
- ▶ Bisimilarity:

$$\begin{aligned} [_]_ \sim _ &: \text{Size} \rightarrow \text{Proc} \rightarrow \text{Proc} \rightarrow \text{Set} \\ [i] P \sim Q &= \nu B\ i\ (P, Q) \end{aligned}$$

$$\begin{aligned} [_]_ \sim' _ &: \text{Size} \rightarrow \text{Proc} \rightarrow \text{Proc} \rightarrow \text{Set} \\ [i] P \sim' Q &= \nu' B\ i\ (P, Q) \end{aligned}$$

Examples

Examples

\emptyset is a left and right identity of parallel composition:

\emptyset -left-identity : $\forall \{i P\} \rightarrow [i] \emptyset \mid P \sim P$

left-to-right \emptyset -left-identity (par-left ())

left-to-right \emptyset -left-identity (par-right tr) =

($_ , tr , \lambda \{ .force \rightarrow \emptyset$ -left-identity $\}$)

right-to-left \emptyset -left-identity tr =

($_ , par$ -right tr , $\lambda \{ .force \rightarrow \emptyset$ -left-identity $\}$)

\emptyset -right-identity : $\forall \{i P\} \rightarrow [i] P \mid \emptyset \sim P$

-- Similarly.

Examples

Prefixing preserves bisimilarity:

$$\bullet\text{-cong} : \forall \{i P Q\} \rightarrow$$
$$\left[\begin{array}{l} i \\ i \end{array} \right] \text{force } P \sim' \text{force } Q \rightarrow$$
$$\left[\begin{array}{l} \bullet \\ \bullet \end{array} \right] P \sim \left[\begin{array}{l} \bullet \\ \bullet \end{array} \right] Q$$

$$\text{left-to-right } (\bullet\text{-cong } p) \text{ action} = (_ , \text{action} , p)$$

$$\text{right-to-left } (\bullet\text{-cong } p) \text{ action} = (_ , \text{action} , p)$$

Note that the proof is size-preserving.

Examples

Bisimilarity is symmetric and transitive:

$$\text{sym} : \forall \{i P Q\} \rightarrow \\ [i] P \sim Q \rightarrow [i] Q \sim P$$

$$\text{trans} : \forall \{i P Q R\} \rightarrow \\ [i] P \sim Q \rightarrow [i] Q \sim R \rightarrow [i] P \sim R$$

Note that the proofs are size-preserving.

Examples

Two processes:

$P \ Q : \text{Proc}$

$P = \emptyset \quad \mid \bullet (\lambda \{ .\text{force} \rightarrow P \})$

$Q = \bullet (\lambda \{ .\text{force} \rightarrow Q \}) \mid \emptyset$

P and Q are bisimilar:

$P \sim Q : \forall \{i\} \rightarrow [i] P \sim Q$

$P \sim Q = \text{trans } \emptyset\text{-left-identity } ($
 $\quad \text{trans } (\bullet\text{-cong } \lambda \{ .\text{force} \rightarrow P \sim Q \})$
 $\quad (\text{sym } \emptyset\text{-right-identity}))$

Examples

P and Q are bisimilar:

$$P \sim Q : \forall \{i\} \rightarrow [i] P \sim Q$$

$$P \sim Q = \text{trans } \emptyset\text{-left-identity } (\\ \text{trans } (\bullet\text{-cong } \lambda \{ .\text{force} \rightarrow P \sim Q \}) \\ (\text{sym } \emptyset\text{-right-identity}))$$

Compare to “up to context and bisimilarity”:

$$\begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' \sim C[R] \sim Q' & & \end{array} \qquad \begin{array}{ccc} P & R & Q \\ \mu \downarrow & & \downarrow \mu \\ P' \sim C[R] \sim Q' & & \end{array}$$

Some further comments

- ▶ Pous has identified a useful class of up-to techniques: functions below the companion.
- ▶ This class seems to be closely related to size-preserving functions.

Some further comments

- ▶ Weak bisimulations up to weak bisimilarity are not in general contained in weak bisimilarity.
- ▶ Transitivity is not in general size-preserving for weak bisimilarity.

Conclusion

When using a type theory with sized types to define bisimilarity a useful class of up-to techniques falls out naturally.

Extra
material

Containers

record Container ($X : \text{Set}$) : Set_1 where

constructor $_ \triangleleft _$

field

Shape : $X \rightarrow \text{Set}$

Position : $\forall \{x\} \rightarrow \text{Shape } x \rightarrow X \rightarrow \text{Set}$

$\llbracket _ \rrbracket : \forall \{X\} \rightarrow$

Container $X \rightarrow (X \rightarrow \text{Set}) \rightarrow (X \rightarrow \text{Set})$

$\llbracket S \triangleleft P \rrbracket A = \lambda x \rightarrow \exists \lambda (s : S \ x) \rightarrow P \ s \subseteq A$

map : $\forall \{X\} (C : \text{Container } X) \{A \ B\} \rightarrow$

$A \subseteq B \rightarrow \llbracket C \rrbracket A \subseteq \llbracket C \rrbracket B$

map $_ f (s , g) = (s , f \circ g)$