

COMP2012/G52LAC

Languages and Computation

Lecture 1

Administrative Details and Introduction

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Aims of the Course

- To familiarize you with key Computer Science **concepts** in central areas:
 - Automata Theory
 - Formal Languages
 - Models of Computation
 - Complexity Theory
- To equip you with **tools** with wide applicability in the fields of CS and IT.

Draws from: COMP1001/G51MCS

Feeds into: COMP3012/G53CMP,

COMP3001/G53COM, COMP4001/G54FOP

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Finding People and Information

- Venanzio Capretta
Room C05
- Henrik Nilsson
Room A08
- Moodle
- Main module web page:
www.cs.nott.ac.uk/~nhn/COMP2012
- Moodle forum!

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Organization (1)

- **Lectures:**
 - Two 1 h lectures per week (back to back).
 - Detailed but provisional schedule available on the module web page.
- **Coursework:**
 - 3 problem sets.
 - Made available via the module web page.
 - Best 2 counts.
 - Deadlines: 27/2, 20/3, 10/4.
 - Released a week prior to submission deadline.

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Organization (2)

- **Assessment:**
 - Coursework, 25 %
 - 2 hour written examination, 75 %
- However, **resits** are by 100 % written examination (standard School policy)

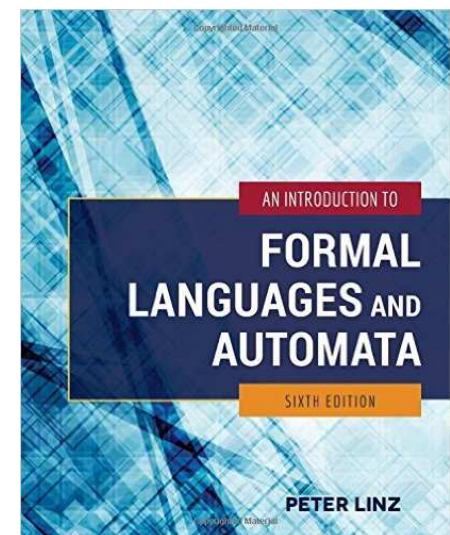
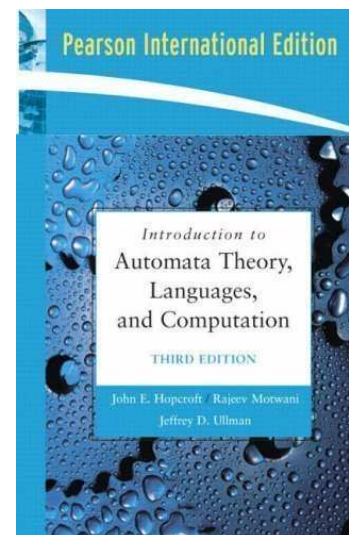
Literature (2)

- Supplementary material; e.g., slides, sample program code.
(Available via the module web page.)
- Your own notes from the lectures!
- The lecture schedule contains detailed lecture-by-lecture references to the literature.

Literature (1)

- Main reference: John E. Hopcroft, Rajeev Motwani, & Jeffrey D. Ullman.
Introduction to Automata Theory, Languages, and Computation, 3rd edition, Pearson, 2007.
- Alternative/complement: Linz. *An Introduction to Formal Languages and Automata, 6th edition*, Jones & Bartlett Publishers, 2017.
- The lecture notes by Altenkirch, Capretta, Nilsson (January 2019).
Available via the module web page.

Literature (3)



The Lecture Notes

- Comprehensive, typeset lecture notes. (At present around 160 pages.)
- Carefully aligned with the lectures.
- Covers everything said in the lectures (and more).
- Exercises with detailed model solutions (in response to student feedback).
- The exercises are quite similar to typical coursework problems.

Content (1)

- The notion of a formal language
- Description of different classes of languages:
 - Regular expressions
 - Grammars
- Recognition of different classes of languages:
 - Finite Automata
 - Push Down Automata
- Applications: Scanning and Parsing

Your Own Notes

You are strongly encourage to take your own notes as well during lectures because:

- Lectures may provide an alternative perspective, use different examples, etc.
- Research shows that note taking significantly aids learning.

Taking relevant notes is a lot easier if you familiarise yourself with the relevant parts of the typeset lecture notes prior to each lecture!

Content (2)

Leading to:

- General notions of computation:
 - Turing machines
 - Lambda calculus
- Fundamental questions such as
 - What can be computed at all?
 - What can be computed efficiently?

Example: Languages and Grammars (1)

Consider the following Java fragment:

```
class Foo {
    int n;
    void printNSqrd() {
        System.out.println(n * n);
    }
}
```

- Fundamentally a string of characters.
- But lots of structure to valid Java code, e.g.:
 - Keywords, identifiers, operators
 - Nesting; e.g. method inside class

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Noam Chomsky (1)

Noam Chomsky (1928–):

- American linguist who introduced **Context Free Grammars** in an attempt to describe natural languages formally.
- Also introduced the **Chomsky Hierarchy** which classifies grammars and languages and their descriptive power.

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Example: Languages and Grammars (2)

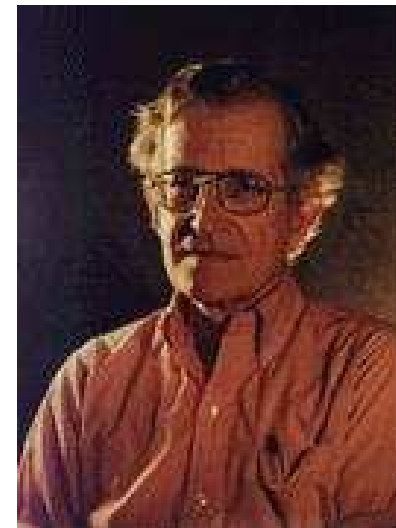
- How to describe the set of strings that are valid Java?
- Given a string, how to determine if it is a valid Java program or not?
- How to recover the structure of a Java program from a “flat” string?

We will study:

- **Regular expressions** and **grammars**: precise descriptions of languages.
- Various kinds of **automata**: decide if a string belongs to a language or not.

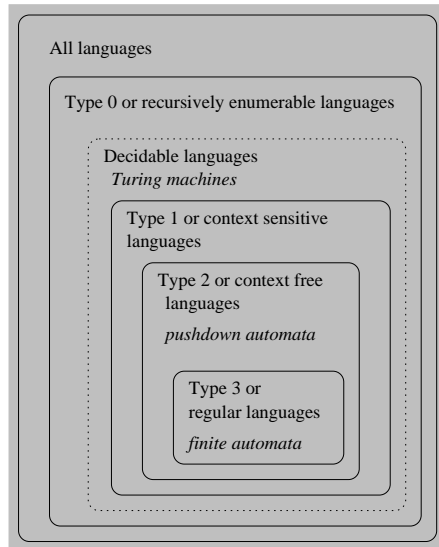
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Noam Chomsky (2)



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The Chomsky Hierarchy



Example: The Halting Problem (1)

Consider the following program. Does it terminate for all values of $n \geq 1$?

```
while (n > 1) {  
    if even(n) {  
        n = n / 2;  
    } else {  
        n = n * 3 + 1;  
    }  
}
```

Example: The Halting Problem (2)

Not as easy to answer as it might first seem.

Say we start with $n = 7$, for example:

7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5,
16, 8, 4, 2, 1

The sequence involved is known as the **hailstone sequence** and **Collatz conjecture** says that the number 1 will always be reached.

In fact, for all numbers that have been tried (**up to 2^{60} !**), it does terminate ...

...but so far, **no proof!** (See e.g. Wikipedia.)

Example: The Halting Problem (3)

The following important decidability result should then perhaps not come as a total surprise:

It is impossible to write a program that decides if another, arbitrary, program terminates (halts) or not.

This was first proved by the British mathematician **Alan Turing** using Turing Machines.

Alan Turing (1)

Alan Turing (1912–1954):

- Introduced an abstract model of computation, **Turing Machines** (1936), to give a precise definition of what problems are “effectively calculable” (can be solved mechanically).
- Instrumental in the success of British code breaking efforts during WWII.
- PhD student of Alonzo Church

Alan Turing (2)



Example: the λ -Calculus

- λ -calculus is a theory of pure functions:

$$(\lambda x.x)(\lambda y.y)$$

- Functional programming languages like Haskell implements the λ -calculus.
- Both the Turing machine and the λ -calculus are **universal models of computation**: equivalent in capabilities.

Alonzo Church (1)

Alonzo Church (1903–1995):

- Alan Turing’s PhD advisor
- Introduced the **λ -calculus** (1936) to give a precise definition of what problems are “effectively calculable”.
- Church-Turing thesis: What is “effectively calculable” is exactly what can be computed by a Turing machine.

Alonzo Church (2)



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Example: P versus NP (1)

“Can every problem whose solution can be **checked** quickly by a computer also be **solved** quickly by a computer?”

- Likely the most famous open problem in computer science, dating back to the 1950s.
- “Quickly” here means in time proportional to a **polynomial** in the size of the problem.
- There is an abundance of important problems where solutions can be checked quickly, but where the best **known** algorithm for finding a solution is **exponential** in the size of the problem.

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Example: P versus NP (2)

Subset sum problem: Does some non-empty subset of given set of integers sum to zero?

E.g. given $\{3, -2, 8, -5, 4, 9\}$, the non-empty subset $\{-5, -2, 3, 4\}$ sums to 0.

- Easy to check proposed solution: just add all numbers. (How long would it take for set of size n ?)
- But for finding a solution, no better way known than essentially trying each possible subset in turn. (How long would it take for set of size n ? How many subsets are there?)

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Introduction to Languages

The terms **language** and **word** are used in a strict technical sense in this course:

- A **language** is a (possibly infinite) set of words.
- A **word** is a **finite** sequence (or string) of symbols.

ϵ denotes the **empty word**, the sequence of zero symbols.

The term **string** is often used interchangeably with the term **word**.

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Symbols and Alphabets

What is a symbol, then?

Anything, but it has to come from an **alphabet** Σ which is a **finite** set.

A common (and important) instance is $\Sigma = \{0, 1\}$.

ϵ , the empty word, is **never** a symbol of an alphabet.

All Words Over an Alphabet (1)

Given an alphabet Σ we define the set Σ^* as set of words (or sequences) over Σ :

- The empty word $\epsilon \in \Sigma^*$.
- given a symbol $x \in \Sigma$ and a word $w \in \Sigma^*$, $xw \in \Sigma^*$.
- These are all elements in Σ^* .

This is called an **inductive definition**.

Is Σ^* always non-empty? Always infinite?

Languages: Examples

alphabet	$\Sigma = \{a, b\}$
words	? $\epsilon, a, b, aa, ab, ba, bb, aaa, aab, aba, abb, baa, bab, \dots$
languages	? $\emptyset, \{\epsilon\}, \{a\}, \{b\}, \{a, aa\}, \{\epsilon, a, aa, aaa\}, \{a^n n \geq 0\}, \{a^n b^n n \geq 0, n \text{ even}\}$

Note the distinction between ϵ , \emptyset , and $\{\epsilon\}$!

All Words over an Alphabet (2)

Example: Given $\Sigma = \{0, 1\}$, some elements of Σ^* are

- ϵ (the empty word)
- 0, 1
- 00, 10, 01, 11
- 000, 100, 010, 110, 001, 101, 011, 111
- ...

We are just applying the inductive definition.

Note: although there are infinitely many words in Σ^* (when $\Sigma \neq \emptyset$), each word has a **finite** length!

Examples of Languages (1)

Some examples of languages:

- The set $\{0010, 00000000, \epsilon\}$ is a language over $\Sigma = \{0, 1\}$.
This is an example of a **finite** language.
- The set of words with odd length over $\Sigma = \{1\}$. (Finite or infinite?)
- The set of words that contain the same number of 0s and 1s is a language over $\Sigma = \{0, 1\}$. (Finite or infinite?)

Examples of Languages (2)

- The set of palindromes (words that read the same forwards and backwards, like `abba`) is a language for any alphabet.
- The set of correct Java programs. This is a language over the set of UNICODE characters.
- The set of programs that, if executed successfully on a Windows machine, prints the text “Hello World!” in a window. This is a language over $\Sigma = \{0, 1\}$.

Language Membership

Fundamental question for a language L : $w \in L$?

- L finite: Easy! (Enumerate L and check)
- L infinite: ?

We need:

- A **finite** (and preferably concise) formal **description** of L .
- An algorithmic **method to decide** if $w \in L$ given a suitable description.

Various approaches to achieve this will be key a theme throughout the module.