

COMP2012/G52LAC
Languages and Computation
Lecture 3

Non-deterministic Finite Automata (NFA)

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Recap: Formal Definition of DFA

Formally, a **Deterministic Finite Automaton** or **DFA** is defined by a 5-tuple

$$(Q, \Sigma, \delta, q_0, F)$$

where

Q : **Finite** set of States

Σ : Alphabet (finite set of symbols)

$\delta \in Q \times \Sigma \rightarrow Q$: Transition Function

$q_0 \in Q$: Initial or Start State

$F \subseteq Q$: Accepting (or Final) States

Recap: Extended Transition Function

The **Extended Transition Function** is defined on a state and a **word** (string of symbols) instead of on a single symbol.

For a DFA $A = (Q, \Sigma, \delta, q_0, F)$, the extended transition function is defined by:

$$\begin{aligned}\hat{\delta} &\in Q \times \Sigma^* \rightarrow Q \\ \hat{\delta}(q, \epsilon) &= q \\ \hat{\delta}(q, xw) &= \hat{\delta}(\delta(q, x), w)\end{aligned}$$

where $q \in Q$, $x \in \Sigma$, $w \in \Sigma^*$.

Recap: Language of a DFA

The **language** $L(A)$ defined by a DFA A is the set of words **accepted** by the DFA. For a DFA

$$A = (Q, \Sigma, \delta, q_0, F)$$

the language is defined by

$$L(A) = \{ w \in \Sigma^* \mid \hat{\delta}(q_0, w) \in F \}$$

Formal Definition of NFA (1)

Formally, a **Nondeterministic Finite Automaton** or **NFA** is defined by a 5-tuple

$$(Q, \Sigma, \delta, S, F)$$

where

- Q : Finite set of States
- Σ : Alphabet (finite set of symbols)
- $\delta \in Q \times \Sigma \rightarrow \mathcal{P}(Q)$: Transition Function
- $S \subseteq Q$: Initial States
- $F \subseteq Q$: Accepting (or Final) States

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- The transition function maps a state and an input symbol to **zero or more** successor states. Thus an NFA has “choice”; hence “nondeterministic”.
- However, nothing ambiguous about the **language** defined by an NFA! **Not** the case that some word $w \in L(A)$ sometimes, and $w \notin L(A)$ other times for some NFA A .
- How? By considering **all possible** states simultaneously.

Extended Transition Function

For an NFA, The **Extended Transition Function** is defined on a **set** of states and a **word** (string of symbols).

For a NFA $A = (Q, \Sigma, \delta, S, F)$, the extended transition function is defined by:

$$\hat{\delta} \in \mathcal{P}(Q) \times \Sigma^* \rightarrow \mathcal{P}(Q)$$

$$\hat{\delta}(P, \epsilon) = P$$

$$\hat{\delta}(P, xw) = \hat{\delta}\left(\bigcup\{\delta(q, x) \mid q \in P\}, w\right)$$

where $P \in \mathcal{P}(Q)$ (or $P \subseteq Q$), $x \in \Sigma$, $w \in \Sigma^*$.

Language of an NFA

The **language** $L(A)$ defined by an NFA A is the set of words **accepted** by the NFA. For an NFA

$$A = (Q, \Sigma, \delta, S, F)$$

the language is defined by

$$L(A) = \{ w \in \Sigma^* \mid \hat{\delta}(S, w) \cap F \neq \emptyset \}$$