

COMP3012/G53CMP: Lecture 6

Contextual Analysis: Scope II

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This Lecture

An Illustrative Identification Algorithm in Haskell

- LTXL Syntax and Semantics, particularly scope rules.
- Abstract syntax representation
- Environment/Symbol Table representation and operations.
- The Identification Algorithm

Recap: Identification

Identification is the task of relating each applied identifier occurrence to its declaration or definition:

```
public class C {  
    int x, n;  
    void set(int n) { x = n; }  
}
```

Recap: Identification

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In the body of `set`, the one applied occurrence of

- `x` refers to the **instance variable** `x`

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public class C {  
    int x, n;  
    void set(int n) { x = n; }  
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```

In the body of `set`, the one applied occurrence of

- `x` refers to the **instance variable** `x`
- `n` refers to the **argument** `n`.

Identification for LTXL

We are now going to study a concrete Haskell implementation of identification for **LTXL**:

Less Trivial eXpression Language

- LTXL \approx TXL + typed definitions + `if`-expression + new operators
- Slides only show highlights: complete code available on-line.

LTXL CFG (1)

$LTXLProgram \rightarrow Exp$

$Exp \rightarrow Exp \ || \ Exp \ | \ Exp \ \&\& \ Exp$
 $\ | \ Exp \ < \ Exp \ | \ Exp \ == \ Exp \ | \ Exp \ > \ Exp$
 $\ | \ Exp \ + \ Exp \ | \ Exp \ - \ Exp$
 $\ | \ Exp \ * \ Exp \ | \ Exp \ / \ Exp$
 $\ | \ PrimaryExp$

LTXL CFG (2)

Operator precedence and associativity is used to disambiguate. In increasing order of precedence:

1. `||`

2. `&&`

3. `<`, `==`, `>`

4. `+`, `-`

5. `*`, `/`

All left associative.

LTXL CFG (3)

$PrimaryExp \rightarrow$

- $LitInt$
- $Ident$
- $\backslash PrimaryExp$
- $- PrimaryExp$
- if** Exp **then** Exp **else** Exp
- (Exp)
- let** $Defs$ **in** Exp

LTXL CFG (4)

$Defs \rightarrow Def ; Defs$
 $\quad \quad | Def$

$Def \rightarrow Type \underline{Ident} = Exp$

$Type \rightarrow \mathbf{int}$
 $\quad \quad | \mathbf{bool}$

LTXL Example 1

```
let
  int a = 10;
  bool b = a < 2
in let
  int c = a * 10;
  bool a = a == 42;
  int d = if a then 1 else 2
in
  if a && b then c else 42
```

LTXL Scope Rules

1. The scope of a variable is all subsequent definitions and the body of the `let`-expression in which the definition of the variable occurs. A variable is **not** in scope in the RHS of its own definition.

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3. At most one definition may be given for a variable in the list of definitions of a `let`-expression.

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Which scope rules are used where?

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LTXL Example 2

What about this LTXL example?


```
let
  int a = 1;
  int b = c * 2;
  bool a = a < 1
in
  a + b
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Not defined



LTXL Example 2

What about this LTXL example?

```
let
  int a = 1;
  int b = c * 2;
  bool a = a < 1
in
  a + b
```

Not defined

Defined twice at same scope level

LTXL AST (1)

The following Haskell data types are used to represent LTXL programs.

```
type Id = String
```

```
data Type = IntType  
          | BoolType  
          | UnknownType
```

LTXL AST (2)

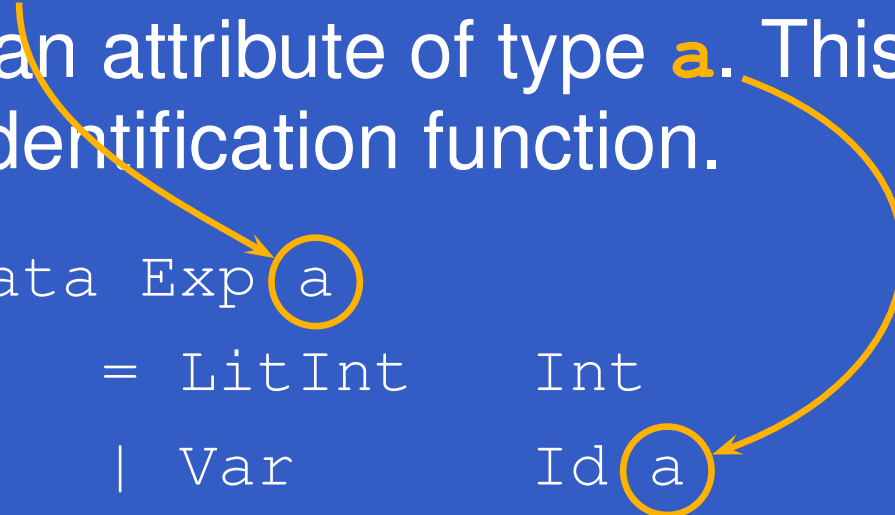
```
data UnOp = Not | Neg
```

```
data BinOp = Or  
           | And  
           | Less  
           | Equal  
           | Greater  
           | Plus  
           | Minus  
           | Times  
           | Divide
```

LTXL AST (3)

`Exp` is a *parameterized* type. The *type parameter* `a` allows variables to be *annotated* with an attribute of type `a`. This facility is used by the identification function.

```
data Exp a
  = LitInt      Int
  | Var         Id a
  | UnOpApp     UnOp (Exp a)
  | BinOpApp    BinOp (Exp a) (Exp a)
  | If          (Exp a) (Exp a) (Exp a)
  | Let         [(Id, Type, Exp a)] (Exp a)
```



LTXL AST (4)

Example: The LTXL program

```
let int x = 7 in x + 35
```

would be represented like this *before* identification (type `Exp ()`):

```
Let [ ("x", IntType, LitInt 7) ]  
  (BinOpApp Plus  
    (Var "x" ())  
    (LitInt 35))
```

(*After* identification, type will be `Exp Attr.`)

LTXL Environment (1)

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- By **prepending** new declarations to the list, and searching from the beginning, we will always find an identifier in the closest containing scope. For example:

```
lookup "x" [ ("x", a1), ("y", a2), ("x", a3) ]
```

```
⇒ a1
```

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```
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⇒ a1
```

- No need for a "close scope" operation. We are in a pure functional setting ⇒ persistent data.

LTXL Environment (2)

The environment associates identifiers with **variable attributes**. Our attributes are the **scope level** and the **declared type**.

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A diagram with two yellow circles around the words 'Int' and 'Type' in the code 'type Attr = (Int, Type)'. A yellow arrow points from the text 'scope level' in the paragraph above to the 'Int' circle. Another yellow arrow points from the text 'declared type' in the paragraph above to the 'Type' circle.

The environment is just an association list:

```
type Env = [(Id, Attr)]
```

LTXL Environment (2)

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```



The environment is just an association list:

```
type Env = [(Id, Attr)]
```

Note: our environment does **not** store variable **definitions**.

LTXL Environment (3)

Example:

```
let
  int a = 10;           (1)
  int b = a + 42
in let
  bool a = b < 20      (2)
in
  if a then b else 13
```

Env. after (1): [("a", (1, IntType))]

Env. after (2): [("a", (2, BoolType)),
("b", (1, IntType)), ("a", (1, IntType))]

LTXL Environment (4)

`enterVar` inserts a variable at the given scope level and of the given type into an environment.

```
enterVar :: Id -> Int -> Type -> Env  
          -> Either Env ErrorMessage
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- Otherwise an **error message** is returned.

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Aside: The Haskell Type `Either`

The standard Haskell type `Either` comes in handy when one needs to represent a value that has one of two possible types:

```
data Either a b = Left a | Right b
```

A typical example is when a function needs to return one of two kinds of results:

```
foo :: Int -> Either Bool String
foo x | x < 100    = Left (x < 0)
      | otherwise = Right "Too big"
```

LTXL Environment (5)

```
enterVar i l t env
  | not (isDefined i l env)
    = Left ((i, (l, t)) : env)
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where

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isDefined i l [] = False
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where

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isDefined i l [] = False
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```
isDefined i l ((i', (l', _)) : env)
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```
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where

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isDefined i l ((i', (l', _)) : env)
```

```
| l < l' = error "Should not happen!"
```

```
| l > l' = False
```

```
| i == i' = True
```

```
| otherwise = isDefined i l env
```

LTXL Environment (6)

Let

```
env = [ ("y", (2, IntType)),  
        ("x", (1, IntType)) ]
```

Then:

LTXL Environment (6)

Let

```
env = [ ("y", (2, IntType)),  
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```

Then:

```
enterVar "x" 2 BoolType env  
⇒ Left [ ("x", (2, BoolType)),  
         ("y", (2, IntType)),  
         ("x", (1, IntType)) ]
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LTXL Environment (6)

Let

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Then:

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         ("y", (2, IntType)),  
         ("x", (1, IntType)) ]
```

```
enterVar "y" 2 BoolType env  
⇒ Right "y already defined."
```

LTXL Environment (7)

`lookupVar` looks up a variable in an environment.

```
lookupVar :: Id -> Env  
           -> Either Attr  ErrorMsg
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```
lookupVar :: Id -> Env  
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`Attr`

`ErrorMsg`

```
lookupVar i [] = Right (i ++ " not defined.")
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lookupVar :: Id -> Env
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lookupVar i [] = Right (i ++ " not defined.")
lookupVar i ((i', a) : env)
  | i == i'    = Left a
```



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lookupVar i [] = Right (i ++ " not defined.")
lookupVar i ((i', a) : env)
  | i == i'    = Left a
  | otherwise  = lookupVar i env
```



Note: returns first decl. found, later decls. hidden!

LTXL Environment (8)

Let

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        ("y", (2, IntType)),  
        ("x", (1, IntType)) ]
```

Then:

LTXL Environment (8)

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env = [ ("x", (2, BoolType)),  
        ("y", (2, IntType)),  
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Then:

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lookupVar "y" env  
⇒ Left (2, IntType)
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LTXL Environment (8)

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```

Then:

```
lookupVar "y" env  
⇒ Left (2, IntType)  
lookupVar "x" env  
⇒ Left (2, BoolType)
```

LTXL Environment (8)

Let

```
env = [ ("x", (2, BoolType)),  
        ("y", (2, IntType)),  
        ("x", (1, IntType)) ]
```

Then:

```
lookupVar "y" env  
⇒ Left (2, IntType)  
lookupVar "x" env  
⇒ Left (2, BoolType)  
lookupVar "z" env  
⇒ Right "z not defined."
```

LTXL Identification (1)

Goals of LTXL identification phase:

```
identification ::  
  Exp () -> (Exp Attr, [ErrorMsg])
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- Annotate each applied identifier occurrence with attributes of the corresponding variable declaration.

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I.e., map unannotated AST **Exp ()** to annotated AST **Exp Attr**.

identification ::
Exp () -> **Exp Attr**, [ErrorMsg]

LTXL Identification (1)

Goals of LTXL identification phase:

- Annotate each applied identifier occurrence with attributes of the corresponding variable declaration.

I.e., map unannotated AST **Exp** () to annotated AST **Exp Attr**.

- **Report** conflicting variable definitions and undefined variables.

identification ::

Exp () -> (Exp Attr, [ErrorMsg])

LTXL Identification (2)

Example: Before Identification

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" ())  
         (LitInt 35))
```

LTXL Identification (2)

Example: Before Identification

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" ())  
         (LitInt 35))
```

After identification:

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" (1, IntType))  
         (LitInt 35))
```

LTXL Identification (3)

Main identification function:

```
identification :: Exp ()  
               -> (Exp Attr, [ErrorMsg])  
identification e = identAux 0 emptyEnv e
```

Type signature for auxiliary identification function:

```
identAux :: Int -> Env -> Exp ()  
         -> (Exp Attr, [ErrorMsg])
```

LTXL Identification (4)

Variable case:

```
identAux l env (Var i _) =  
  case lookupVar i env of  
    Left a   -> (Var i a, [])  
    Right m -> (Var i (0, UnknownType), [m])
```

LTXL Identification (5)

Binary operator application (typical recursive case):

```
identAux l env (BinOpApp op e1 e2) =  
  (BinOpApp op e1' e2', ms1 ++ ms2)
```

where

```
(e1', ms1) = identAux l env e1
```

```
(e2', ms2) = identAux l env e2
```


LTXL Identification (6)

Reminder: LTXL scope rules

1. The scope of a variable is all subsequent definitions and the body of the `let`-expression in which the definition of the variable occurs. A variable is **not** in scope in the RHS of its definition.
2. A definition of a variable hides, for the extent of its scope, any definition of a variable with the same name from an outer `let`-expression.
3. At most one definition may be given for a variable in the list of definitions of a `let`-expression.

LTXL Identification (7)

Block of definitions (let):

```
identAux l env (Let ds e) =  
  (Let ds' e', ms1 ++ ms2)  
  where
```

```
(e', ms2) = identAux l' env' e
```

LTXL Identification (7)

Block of definitions (let):

```
identAux l env (Let ds e) =  
  (Let ds' e', ms1 ++ ms2)
```

where

```
  l' = l + 1
```

```
  (e', ms2) = identAux l' env' e
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LTXL Identification (7)

Block of definitions (let):

```
identAux l env (Let ds e) =  
  (Let ds' e', ms1 ++ ms2)
```

where

```
l' = l + 1
```

```
(ds', env', ms1) = identDefs l' env ds
```

```
(e', ms2) = identAux l' env' e
```

LTXL Identification (7)

Block of definitions (let):

```
identAux l env (Let ds e) =  
  (Let ds' e', ms1 ++ ms2)
```

where

```
l' = l + 1
```

```
(ds', env', ms1) = identDefs l' env ds
```

```
(e', ms2) = identAux l' env' e
```

Note that `identDefs` returns an **updated environment** to be **used** when checking the **body** of the `let` (rule 1).

LTXL Identification (8)

```
identDefs l env [] = ([], env, [])
```

LTXL Identification (8)

```
identDefs l env [] = ([], env, [])  
identDefs l env ((i,t,e) : ds) =  
  ((i,t,e') : ds', env'', ms1++ms2++ms3)
```

LTXL Identification (8)

```
identDefs l env [] = ([], env, [])
```

```
identDefs l env ((i,t,e) : ds) =
```

```
  ((i,t,e') : ds', env'', ms1++ms2++ms3)
```

where

i not in scope (rule 1)

```
  (e', ms1) = identAux l env e
```


LTXL Identification (8)

```
identDefs l env [] = ([], env, [])
```

```
identDefs l env ((i,t,e) : ds) =
```

```
  ((i,t,e') : ds', env'', ms1++ms2++ms3)
```

where

i not in scope (rule 1)

```
(e', ms1) = identAux l env e
```

```
(env', ms2) =
```

impl./checks rules 2 & 3

```
case enterVar i l t env of
```

```
  Left env' -> (env', [])
```

```
  Right m   -> (env, [m])
```

LTXL Identification (8)

```
identDefs l env [] = ([], env, [])
```

```
identDefs l env ((i,t,e) : ds) =
```

```
  ((i,t,e') : ds', env'', ms1++ms2++ms3)
```

where i **not** in scope (rule 1)

```
(e', ms1) = identAux l env e
```

```
(env', ms2) = impl./checks rules 2 & 3
```

```
  case enterVar i l t env of
```

```
    Left env' -> (env', [])
```

```
    Right m    -> (env, [m])
```

```
(ds', env'', ms3) = i in scope (rule 1)
```

```
  identDefs l env' ds
```

Efficient Symbol Table Implementation

Lists don't make for very efficient symbol tables. Insertion (at head) is fast, $O(1)$, but lookup is $O(n)$, where n is the number of symbols.

Some more efficient options:

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Some more efficient options:

- Balanced trees:
 - Insertion and lookup are both $O(\log n)$.
 - One way of handling nested scopes would be a stack of trees.

Efficient Symbol Table Implementation

- Hash tables:

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 - Insertion and lookup are both $O(1)$ as long as the ratio between the number of symbols and the hash table size is kept below a small constant factor.

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See e.g. Aho, Sethi, Ullman (1986) for further details.