# The University of Nottingham

SCHOOL OF COMPUTER SCIENCE

A LEVEL 3 MODULE, AUTUMN SEMESTER 2017–2018

# COMPILERS ANSWERS

Time allowed TWO hours

Candidates may complete the front cover of their answer book and sign their desk card but must NOT write anything else until the start of the examination period is announced.

### Answer ALL THREE questions

No calculators are permitted in this examination.

Dictionaries are not allowed with one exception. Those whose first language is not English may use a standard translation dictionary to translate between that language and English provided that neither language is the subject of this examination. Subject-specific translation directories are not permitted.

> No electronic devices capable of storing and retrieving text, including electronic dictionaries, may be used.

# Note: ANSWERS

**Knowledge classification:** Following School recommendation, the (sub)questions have been classified as follows, using a subset of Bloom's Taxonomy:

- K: Knowledge
- C: Comprehension
- A: Application

Note that some questions are closely related to the coursework. This is intentional and as advertised to the students; the coursework is a central aspect of the module and as such partly examined under exam conditions.

#### Question 1

(a) Explain and give examples of the following kinds of compile-time error:

- lexical error
- syntax error (context-free)
- $\bullet\,$  contextual error

(6)

### Answer: [C]

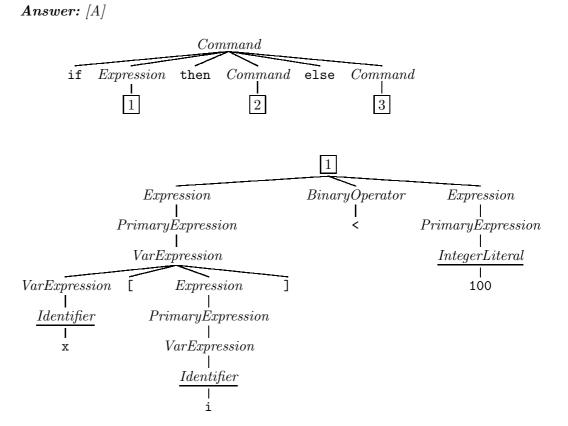
- A lexical error occurs when the input does not conform to the lexical syntax of a language. Examples include encountering a character that cannot be part of any valid lexeme, or an ill-formed string or numerical literal.
- A syntax error occurs when the input does not conform to the context-free syntax of a language. A typical example would be unbalanced parentheses, or missing terminating keyword, such as a repeat without an until.
- A contextual error occurs when contextual constraints are violated. Examples include type errors, such as adding a Boolean to an integer in a language that requires the terms of addition to be of the same type, and a missing declaration of a variable in a language that requires declaration before use.

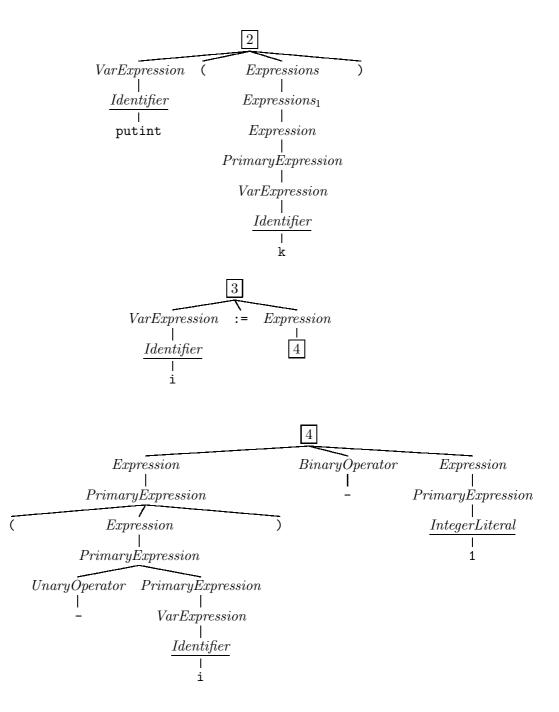
 $\mathbf{2}$ 

(b) Draw the *parse* (or *derivation*) tree for the following MiniTriangle fragment. The relevant grammar is given in Appendix A. Start from the production for "Command".

```
if x[i] < 100 then
    putint(k)
else
    i := (-i) - 1</pre>
```

(9)





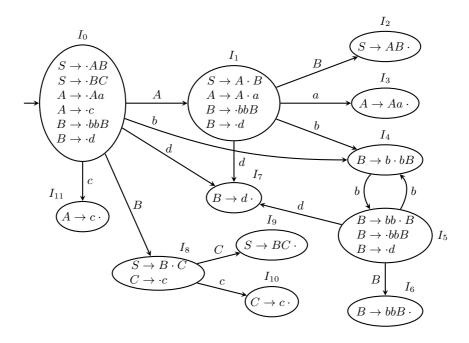
G53CMP-E1

(c) Consider the following context-free grammar (CFG):

$$\begin{array}{rcl} S & \rightarrow & AB \mid BC \\ A & \rightarrow & Aa \mid c \\ B & \rightarrow & bbB \mid d \\ C & \rightarrow & c \end{array}$$

S, A, B, and C are nonterminal symbols, S is the start symbol, and a, b, c, and d are terminal symbols.

The DFA below recognizes the viable prefixes for this CFG:



Show how an LR(0) shift-reduce parser parses the string *caabbbbd* by completing the following table (copy it to your answer book; do *not* write on the examination paper):

	State	Stack	Input	Move
-	$I_0$	$\epsilon$	caabbbbd	Shift
	$I_0 \\ I_{11}$	c	aabbbbd	Reduce by $A \to c$
	÷	:	•	÷
		S	$\epsilon$	Done

(10)

Answer: [A]

State	Stack	Input	Move
$I_0$	$\epsilon$	caabbbbd	Shift
$I_{11}$	c	aabbbbd	Reduce by $A \to c$
$I_1$	A	aabbbbd	Shift
$I_3$	Aa	abbbbd	Reduce by $A \to Aa$
$I_1$	A	abbbbd	Shift
$I_3$	Aa	bbbbd	Reduce by $A \to Aa$
$I_1$	A	bbbbd	Shift
$I_4$	Ab	bbbd	Shift
$I_5$	Abb	bbd	Shift
$I_4$	Abbb	bd	Shift
$I_5$	Abbbb	d	Shift
$I_7$	Abbbbd	$\epsilon$	Reduce by $B \to d$
$I_6$	AbbbbB	$\epsilon$	Reduce by $B \to bbB$
$I_6$	AbbB	$\epsilon$	Reduce by $B \to bbB$
$I_2$	AB	$\epsilon$	Reduce by $S \to AB$
	S	$\epsilon$	Done

#### Question 2

Consider the language given by the following abstract syntax:

C	$\rightarrow$		Commands:
		skip	Do nothing
		C; $C$	Sequencing
		x := E	Assignment
		$\texttt{if} \; E \; \texttt{then} \; C \; \texttt{else} \; C$	Conditional command
		while $E \; {\rm do} \; C$	while- $loop$
E	$\rightarrow$		Expressions:
		n	Literal integer
		x	Variable
	j	E + E	Addition
	ĺ	E = E	Comparison

For this question, you will develop code generation functions for the above language, targeting the Triangle Abstract Machine (TAM). See appendix B for a specification of the TAM instructions. Assume conventional (imperative) semantics for the above language constructs, along with the following:

- x is the syntactic category of variable identifiers, ranging over the 26 names a, b, ..., z. They refer to 26 global variables stored at SB + 0 (a) to SB + 25 (z).
- The while-loop has the following semantics: the loop expression E is evaluated; if the result is true, the loop body C is executed next and then the process is repeated from the evaluation of the loop expression; otherwise execution continues after the loop.

The code generation functions should be specified through *code templates* in the style used in the lectures. Assume a function addr(x) that returns the address (of the form [SB + d]) for a variable x. Further, you will have to consider generation of fresh labels. Assume a monadic-style operation  $l \leftarrow fresh$  to bind a variable l to a distinct label that then can be used in jumps and as jump targets. For example:

$$execute \llbracket \text{if } E \text{ then } C_1 \text{ else } C_2 \rrbracket = \begin{array}{c} l_1 \leftarrow fresh \\ & \cdots \\ & J \text{UMP } l_1 \\ & \cdots \\ & l_1 \colon & \cdots \end{array}$$

G53CMP-E1

 $Turn \ Over$ 

(a) Write a code generation function *evaluate* that generates TAM code for evaluating an expression. The first case should start like:

 $evaluate \ \llbracket n \, \rrbracket \ = \ \ldots$ 

(4)

**Answer:** [A] The following function generates code for the specified expressions:

$evaluate \; [\![  n  ]\!]$	=	LOADL $n$
$evaluate \; [\![ x ]\!]$	=	LOAD $addr(x)$
$evaluate \llbracket E_1 + E_2 \rrbracket$	=	evaluate $E_1$
		evaluate $E_2$
		ADD
$evaluate \llbracket E_1 = E_2 \rrbracket$	=	evaluate $E_1$
		evaluate $E_2$
		EQL

Marking: 1 mark for each case.

(b) Write a code generation function *execute* that generates TAM code for executing commands. It should handle the five forms of commands specified by the abstract syntax above. (12)

Answer: [A]

execute [skip]	=		$\epsilon$
$execute \ \llbracket C_1 \ ; \ C_2 \ \rrbracket$	=		execute $C_1$
			execute $C_2$
$execute \llbracket x := E \rrbracket$	=		$evaluate \ E$
			STORE $addr(x)$
$execute \ \llbracket \verb"if" E \verb"then" C_1 \verb"else" C_2 \ \rrbracket$	=		$else \leftarrow fresh$
			$endif \leftarrow fresh$
			$evaluate \ E$
			JUMPIFZ else
			execute $C_1$
			JUMP endif
		else:	execute $C_2$
		endif:	
$execute \ [\![ while \ E \ do \ C \ ]\!]$	=		$loop \leftarrow fresh$
			$out \leftarrow fresh$
		loop:	$evaluate \ E$
		-	JUMPIFZ out
			$execute \ C$
			JUMP loop
		out:	*

Marking: 1 mark each for skip, sequence; 2 for assignment; 4 marks for conditional; 4 marks for while-loop.

(c) Now assume we wish to extend the language with the commands break and continue:

C	$\rightarrow$		Commands:
		 break	Terminate innermost loop
		continue	Continue with next loop iteration

The semantics is that **break** will terminate the innermost loop, with execution continuing immediately after the loop, while **continue** will skip whatever remains of the loop body, and continue execution directly with the next loop iteration.

Modify and extend *execute* to generate code for the extended language. Note that *execute* will need (an) extra argument(s) for contextual information to keep track of the current innermost loop. You may assume that using **break** or **continue** outside any loop is a *static* error. Thus your code generator does not need to handle that case. Your answer should include the modified *execute* cases for **if** and **while**, as well as the cases for the two new commands. (9)

#### Answer: [A]

$execute \ bl \ cl \ [\![ if E \ then \ C_1 \ else \ C_2 \ ]\!]$	=	else : endif :	$else \leftarrow fresh$ $endif \leftarrow fresh$ evaluate E JUMPIFZ $else$ $execute \ bl \ cl \ C_1$ JUMP $endif$ $execute \ bl \ cl \ C_2$
$execute  bl  cl  [\![  \texttt{while}  E  \texttt{do}  C  ]\!]$	=		$\begin{array}{l} loop \leftarrow fresh\\ out \leftarrow fresh \end{array}$
		loop:	evaluate E JUMPIFZ out execute out loop C JUMP loop
		out:	
$execute \ bl \ cl \ [ break \ ]$	=		JUMP bl
execute bl cl [continue ]	=		JUMP $cl$

Marking: 3 marks for getting the general idea; 2 marks for correct calls to execute in case for if; 2 marks for correct call to execute in case for while; 1 mark each for correct code for break and continue.

#### Question 3

This question concerns code improvement (optimisation) and internal representations that facilitate analysis and code improvement.

 (a) Explain the code improvement technique common subexpression elimination, illustrating with an example. Also discuss when the technique cannot be applied, again illustrating with an example.
 (6)

**Answer:** [K] The idea is to avoid evaluating the "same expression" more than once. For example, the statements:

can be transformed to:

 $\begin{array}{l} t := 7 \, * \, z \, + \, 42; \\ x1 := \, y1 \, + \, t; \\ x2 := \, y2 \, + \, t; \end{array}$ 

thus avoiding evaluating 7 \* z + 42 twice.

However, it has to be ensured that the expressions actually have the same meaning and not just are syntactically the same, and that they do not have any side effects as eliminating an effect generally will change the meaning of a program. For example, in

let x = y + 1 in let y = 10 in let z = y + 1

the two expressions y + 1 are not the same as they refer to two different variables that both happen to have the name y. For an example involving effects, consider a C-like increment operation applied to a variable: i++. Computing this expression only once and replacing multiple occurrences of it by the result will clearly change the meaning of the program.

(b) Show how the following program fragment involving a C-like for-loop might be transformed by means of *loop unrolling* in a situation where the loop bound **n** is *not* statically known:

Also discuss the potential advantages and disadvantages of this transformation. (9)

Answer: [K,A]

```
b[0] := a[0];
for (i := 1; i < ((n-1)/2)*2; i := i + 2) do begin
            b[i] := b[i-1] + a[i];
            b[i + 1] := b[i] + a[i + 1]
end;
if (i < n) then begin
            b[i] := b[i-1] + a[i];
            i++ end;
```

Benefits include that the number of iterations are reduced (here halved), meaning fewer jumps (which can be expensive) and a larger loop body that may open up for other optimisation's and improved register allocation. Drawbacks include that the code becomes larger, which could have an impact on cache performance.

(c) Transform the following code fragment into *static single assignment* (SSA) form:

```
a := 0;
b := 1;
i := 2;
while i < n do begin
    c := a + b;
    a := b;
    b := c;
    i := i + 1
end
```

(10)

## Answer: [A]

 $\begin{array}{l} a_1 := 0; \\ b_1 := 1; \\ i_1 := 2; \\ while (i_2 = \phi(i_1, i_3), a_2 = \phi(a_1, a_3), b_2 = \phi(b_1, b_3), i_2 < n) \ do \ begin \\ c := a_2 + b_2; \\ a_3 := b_2; \\ b_3 := c; \\ i_3 := i_2 + 1 \\ end \end{array}$ 

### Appendix A: MiniTriangle Grammars

This appendix contains the grammars for the MiniTriangle lexical, concrete, and abstract syntax. The following typographical conventions are used to distinguish between terminals and non-terminals:

- nonterminals are written like *this*
- terminals are written like this
- $\bullet$  terminals with  $variable\ spelling\ and\ special\ symbols\ are\ written\ like\ <math display="inline">\underline{this}$

### MiniTriangle Lexical Syntax:

Program	$\rightarrow$	$(Token \mid Separator)^*$
Token	$\rightarrow$	Keyword   Identifier   IntegerLiteral   Operator   ,   ;   :   :=   =   (   )   [   ]   <u>eot</u>
Keyword	$\rightarrow$	begin   const   do   else   end   fun   if   in   let   out   proc   then   var   while
Identifier	$\rightarrow$	Letter   Identifier Letter   Identifier Digit except Keyword
IntegerLiteral	$\rightarrow$	Digit   IntegerLiteral Digit
Operator	$\rightarrow$	^   *   /   +   -   <   <=   ==   !=   >=   >   &&         !
Letter	$\rightarrow$	$A   B   \dots   Z   a   b   \dots   z$
Digit	$\rightarrow$	0   1   2   3   4   5   6   7   8   9
Separator	$\rightarrow$	$Comment \mid \underline{space} \mid \underline{eol}$
Comment	$\rightarrow$	// (any character except $\underline{eol}$ )* $\underline{eol}$

# MiniTriangle Concrete Syntax:

Program	$\rightarrow$	Command
Commands	$\rightarrow$	Command Command ; Commands
Command	$ \rightarrow \\   \\   \\   \\   \\   \\   \\   $	VarExpression := Expression VarExpression ( Expressions ) if Expression then Command else Command while Expression do Command let Declarations in Command begin Commands end
Expressions	$\rightarrow$	$\epsilon$ Expressions <sub>1</sub>
$Expressions_1$	$\rightarrow$	$Expression \\ Expression , Expressions_1$
Expression	$\rightarrow$	PrimaryExpression Expression BinaryOperator Expression
Primary Expression	$  \\   \\   \\   \\   \\   \\   \\   $	IntegerLiteral VarExpression UnaryOperator PrimaryExpression VarExpression ( Expressions ) [ Expressions ] ( Expression )
VarExpression	$\rightarrow$	$\frac{Identifier}{VarExpression} \ [ \ Expression \ ]$
Binary Operator	$\rightarrow$	^   *   /   +   -   <   <=   ==   !=   >=   >   &&
UnaryOperator	$\rightarrow$	-   !

Declarations		Declaration Declaration ; Declarations
Declaration		<pre>const Identifier : TypeDenoter = Expression var Identifier : TypeDenoter var Identifier : TypeDenoter := Expression fun Identifier ( ArgDecls ) : TypeDenoter = Expression proc Identifier ( ArgDecls ) Command</pre>
ArgDecls	$\rightarrow$	$\epsilon$ ArgDecls <sub>1</sub>
$ArgDecls_1$	$\rightarrow$	ArgDecl $ArgDecl$ , $ArgDecls_1$
ArgDecl		<u>Identifier</u> : TypeDenoter in <u>Identifier</u> : TypeDenoter out <u>Identifier</u> : TypeDenoter var <u>Identifier</u> : TypeDenoter
TypeDenoter		<u>Identifier</u> TypeDenoter [ <u>IntegerLiteral</u> ]

Note that the productions for *Expression* make the grammar as stated above ambiguous. Operator precedence and associativity for the *binary* operators as defined in the following table are used to disambiguate:

Operator	Precedence	Associativity
^	1	$\operatorname{right}$
* /	2	left
+ -	3	left
< <= == != >= >	4	non
\$	5	left
	6	left

A precedence level of 1 means the highest precedence, 2 means second highest, and so on.

16

# **MiniTriangle Abstract Syntax:** <u>Name</u> = <u>Identifier</u> $\cup$ <u>Operator</u>.

Program	$\rightarrow$	Command	Program
Command		Expression := Expression Expression ( Expression* ) begin Command* end if Expression then Command else Command	CmdAssign CmdCall CmdSeq CmdIf
	 	while Expression do Command let Declaration* in Command	CmdWhile CmdLet
Expression		<u>IntegerLiteral</u> <u>Name</u> Expression ( Expression* ) [ Expression* ] Expression [ Expression ]	ExpLitInt ExpVar ExpApp ExpAry ExpIx
Declaration	$\rightarrow$	<pre>const <u>Name</u> : TypeDenoter = Expression var <u>Name</u> : TypeDenoter</pre>	DeclConst DeclVar
		(:= Expression   $\epsilon$ ) fun <u>Name</u> (ArgDecl*) : TypeDenoter = Expression	DeclFun
ArgDecl	$\rightarrow$	proc <u>Name</u> ( ArgDecl <sup>*</sup> ) Command ArgMode <u>Name</u> : TypeDenoter	DeclProc ArgDecl
ArgMode	$\rightarrow$     	$\epsilon$ in out var	ByValue ByRefIn ByRefOut ByRefVar
TypeDenoter	$\rightarrow$ $\rightarrow$	<u>Name</u> TypeDenoter [ <u>IntegerLiteral</u> ]	TDBaseType TDArray

Appendix B:	Triangle Abstract	Machine	(TAM)	Instructions
-------------	-------------------	---------	-------	--------------

Meta variable	Meaning	
a	Address: one of the forms specified by table be-	
	low when part of an instruction, specific stack	
	address when on the stack	
b	Boolean value (false $= 0$ or true $= 1$ )	
ca	Code address; address to routine in the code seg-	
	ment	
d	Displacement; i.e., offset w.r.t. address in regis-	
	ter or on the stack	
l	Label name	
m,n,p	Integer	
x,y,z	Any kind of stack data	
$x^n$	Vector of $n$ items, $n \ge 0$ , here any kind	

Address form	Description
[SB + d]	Address given by contents of register SB
[SB - d]	(Stack Base) $+/-$ displacement $d$
[LB + d]	Address given by contents of register LB
[LB - d]	(Local Base) $+/-$ displacement $d$
[ST + d]	Address given by contents of register ST
[ST - <i>d</i> ]	(Stack Top) $+/-$ displacement $d$

Instruction	Stack effect	Description	
	Label		
LABEL <i>l</i>	—	Pseudo instruction: symbolic loca-	
		tion	
	Load and store		
LOADL $n$	$\dots \Rightarrow n, \dots$	Push literal integer $n$ onto stack	
LOADCA $l$	$\ldots \Rightarrow \operatorname{addr}(l), \ldots$	Push address of label $l$ (code seg-	
		ment) onto stack	
LOAD $a$	$\dots \Rightarrow [a], \dots$	Push contents at address $a$ onto	
		stack	
LOADA $a$	$\ldots \Rightarrow a, \ldots$	Push address $a$ onto stack	
LOADI $d$	$a, \ldots \Rightarrow [a+d], \ldots$	Load indirectly; push contents at	
		address $a + d$ onto stack	
STORE $a$	$n, \ldots \Rightarrow \ldots$	Pop value $n$ from stack and store at	
		address $a$	
STOREI $d$	$a, n, \ldots \Rightarrow \ldots$	Store indirectly; store $n$ at address	
		a+d	

Instruction	Stack effect	Description
	Block operatio	ns
LOADLB $m n$	$\dots \Rightarrow m^n, \dots$	Push block of $n$ literal integers $m$
		onto stack
LOADIB $n$	$a, \ldots \Rightarrow$	Load block of size $n$ indirectly
	$[a + (n - 1)], \ldots, [a + 0], \ldots$	
STOREIB $n$	$a, x^n, \ldots \Rightarrow \ldots$	Store block of size $n$ indirectly
POP $m n$	$x^m, y^n, \dots \Rightarrow x^m, \dots$ Arithmetic opera	Pop $n$ values below top $m$ values
	Arithmetic opera	tions
ADD	$n_2, n_1, \ldots \Rightarrow n_1 + n_2, \ldots$	Add $n_1$ and $n_2$ , replacing $n_1$ and
		$n_2$ with the sum
SUB	$n_2, n_1, \ldots \Rightarrow n_1 - n_2, \ldots$	Subtract $n_2$ from $n_1$ , replacing $n_1$
		and $n_2$ with the difference
MUL	$n_2, n_1, \ldots \Rightarrow n_1 \cdot n_2, \ldots$	Multiply $n_1$ by $n_2$ , replacing $n_1$
		and $n_2$ with the product
DIV	$n_2, n_1, \ldots \Rightarrow n_1/n_2, \ldots$	Divide $n_1$ by $n_2$ , replacing $n_1$ and
		$n_2$ with the (integer) quotient
NEG	$n, \ldots \Rightarrow -n, \ldots$	Negate $n$ , replacing $n$ with the re-
		sult
(	Comparison & logical operations	(false = 0, true = 1)
LSS	$n_2, n_1, \ldots \Rightarrow n_1 < n_2, \ldots$	Check if $n_1$ is smaller than $n_2$ ,
		replacing $n_1$ and $n_2$ with the
		Boolean result
EQL	$n_2, n_1, \ldots \Rightarrow n_1 = n_2, \ldots$	Check if $n_1$ is equal to $n_2$ , replac-
		ing $n_1$ and $n_2$ with the Boolean
		result
GTR	$n_2, n_1, \ldots \Rightarrow n_1 > n_2, \ldots$	Check if $n_1$ is greater than $n_2$ ,
		replacing $n_1$ and $n_2$ with the
		Boolean result
AND	$b_2, b_1, \ldots \Rightarrow b_1 \wedge b_2, \ldots$	Logical conjunction of $b_1$ and
		$b_2$ , replacing $b_1$ and $b_2$ with the
	7 7 . 7 7	Boolean result
OR	$b_2, b_1, \ldots \Rightarrow b_1 \lor b_2, \ldots$	Logical disjunction of $b_1$ and $b_2$ ,
		replacing $b_1$ and $b_2$ with the
	1 . 1	Boolean result
NOT	$b, \ldots \Rightarrow \neg b, \ldots$	Logical negation of $b$ , replacing $b$
		with the result

Instruction	Stack effect	Description
	Control tra	nnsfer
JUMP <i>l</i>		Jump unconditionally to location
		identified by label $l$
JUMPIFZ $l$	$n, \ldots \Rightarrow \ldots$	Jump to location identified by label
		l if $n = 0$ (i.e., $n$ is false)
JUMPIFNZ $l$	$n, \ldots \Rightarrow \ldots$	Jump to location identified by label
		$l$ if $n \neq 0$ (i.e., $n$ is true)
CALL $l$	$\ldots \Rightarrow PC+1, LB, 0, \ldots$	Call global subroutine at location $l$ :
		Activation record set up by pushing
		static link (0 for global level), dy-
		namic link (value of LB), and return
		address (PC+1, address of instruc-
		tion after the call instruction) onto
		the stack; $PC = l$ ; $LB = $ start of acti-
		vation record (address of static link)
CALLI	$ca, sl, \ldots \Rightarrow$	Call subroutine indirectly:
	PC + 1, LB, $sl$ ,	address of routine $(ca)$ and static
		link to use $(sl)$ on top of the stack;
		activation record and new $\ensuremath{\texttt{PC}}$ and $\ensuremath{\texttt{LB}}$
		as for CALL
RETURN $m n$	$x^m, y^p, ra, olb, sl, y^n, \ldots$	Return from subroutine,
	$\Rightarrow x^m, \dots$	replacing activation record by re-
		sult, jumping to return address
		(PC = ra), and restoring the old lo-
		cal base $(LB = olb)$
-	Input/Output	
PUTINT	$n, \ldots \Rightarrow \ldots$	Print $n$ to the terminal as a decimal
		integer
PUTCHR	$n, \ldots \Rightarrow \ldots$	Print the character with character
a=====		code $n$ to the terminal
GETINT	$\dots \Rightarrow n, \dots$	Read decimal integer $n$ from the ter-
		minal and push onto the stack
GETCHR	$\dots \Rightarrow n, \dots$	Read character from the terminal
		and push its character code $n$ onto
		the stack
	TAM Cor	
HALT		Stop execution and halt the machine