

# LiU-FP2016: Lecture 7

## *Monads*

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Makes it a lot easier to understand large programs.
- The **BIG** problem with *pure* functional programming is  
    **“everything is explicit.”**  
Can add a lot of clutter, make it hard to maintain code



# Conundrum

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  - enhances modularity and reuse.

# Conundrum

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- Absence of effects
  - facilitates understanding and reasoning
  - makes lazy evaluation viable
  - allows choice of reduction order, e.g. parallel
  - enhances modularity and reuse.
- Effects (state, exceptions, ...) can
  - help making code concise
  - facilitate maintenance
  - improve the efficiency.

# Example: A Compiler Fragment (1)

**Identification** is the task of relating each applied identifier occurrence to its declaration or definition:

```
public class C {  
    int x, n;  
    void set(int n) { x = n; }  
}
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}
```

In the body of `set`, the one applied occurrence of

- `x` refers to the **instance variable** `x`
- `n` refers to the **argument** `n`.

# Example: A Compiler Fragment (2)

Consider an AST  $\text{Exp}$  for a simple expression language.  $\text{Exp}$  is a parameterized type: the **type parameter  $a$**  allows variables to be annotated with an attribute of type  $a$ .

```
data Exp a
  = LitInt      Int
  | Var         Id a
  | UnOpApp     UnOp (Exp a)
  | BinOpApp    BinOp (Exp a) (Exp a)
  | If          (Exp a) (Exp a) (Exp a)
  | Let         [(Id, Type, Exp a)] (Exp a)
```

# Example: A Compiler Fragment (3)

Example: The following code fragment

```
let int x = 7 in x + 35
```

would be represented like this (before identification):

```
Let [ ("x", IntType, LitInt 7) ]  
  (BinOpApp Plus  
    (Var "x" ())  
    (LitInt 35))
```



# Example: A Compiler Fragment (4)

Goals of the *identification* phase:

- Annotate each applied identifier occurrence with attributes of the corresponding variable declaration.

I.e., map unannotated AST **Exp** () to annotated AST **Exp Attr**.

- **Report** conflicting variable definitions and undefined variables.

identification ::

**Exp** () -> (Exp Attr, [ErrorMsg])



# Example: A Compiler Fragment (5)

## Example: Before Identification

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" ())  
         (LitInt 35))
```

# Example: A Compiler Fragment (5)

## Example: Before Identification

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" ())  
         (LitInt 35))
```

## After identification:

```
Let [("x", IntType, LitInt 7)]  
    (BinOpApp Plus  
         (Var "x" (1, IntType))  
         (LitInt 35))
```

# Example: A Compiler Fragment (6)

`enterVar` inserts a variable at the given scope level and of the given type into an environment.

- Check that no variable with same name has been defined at the same scope level.
- If not, the new variable is entered, and the **resulting environment** is returned.
- Otherwise an **error message** is returned.

```
enterVar :: Id -> Int -> Type -> Env  
         -> Either Env ErrorMessage
```



# Example: A Compiler Fragment (7)

Functions that do the real work:

```
identAux ::  
  Int -> Env -> Exp ()  
  -> (Exp Attr, [ErrorMsg])
```

```
identDefs ::  
  Int -> Env -> [(Id, Type, Exp ())]  
  -> ([ (Id, Type, Exp Attr) ],  
      Env,  
      [ErrorMsg])
```

# Example: A Compiler Fragment (8)

```
identDefs l env [] = ([], env, [])
identDefs l env ((i,t,e) : ds) =
  ((i,t,e') : ds', env'', ms1++ms2++ms3)
  where
    (e', ms1) = identAux l env e
    (env', ms2) =
      case enterVar i l t env of
        Left env'  -> (env', [])
        Right m    -> (env, [m])
    (ds', env'', ms3) =
      identDefs l env' ds
```

# Example: A Compiler Fragment (9)

Error checking and collection of error messages arguably added a lot of **clutter**. The **core** of the algorithm is this:

```
identDefs l env [] = ([], env)
identDefs l env ((i,t,e) : ds) =
  ((i,t,e') : ds', env'')
  where
    e'      = identAux l env e
    env'    = enterVar i l t env
    (ds', env'') = identDefs l env' ds
```

Errors are just a **side effect**.

# Answer to Conundrum: Monads (1)

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- Monads bridges the gap: allow effectful programming in a pure setting.
- Key idea: **Computational types**: an object of type  $MA$  denotes a **computation** of an object of type  $A$ .
- **Thus we shall be both pure and impure, whatever takes our fancy!**
- Monads originated in Category Theory.
- Adapted by
  - Moggi for structuring denotational semantics
  - Wadler for structuring functional programs

# Answer to Conundrum: Monads (2)

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# Answer to Conundrum: Monads (2)

## Monads

- promote disciplined use of effects since the type reflects which effects can occur;
- allow great flexibility in tailoring the effect structure to precise needs;
- support changes to the effect structure with minimal impact on the overall program structure;
- allow integration into a pure setting of *real* effects such as
  - I/O
  - mutable state.



# This Lecture

Pragmatic introduction to monads:

- Effectful computations
- Identifying a common pattern
- Monads as a *design pattern*

# Example 1: A Simple Evaluator

```
data Exp = Lit Integer
         | Add Exp Exp
         | Sub Exp Exp
         | Mul Exp Exp
         | Div Exp Exp
```

```
eval :: Exp -> Integer
```

```
eval (Lit n)      = n
```

```
eval (Add e1 e2) = eval e1 + eval e2
```

```
eval (Sub e1 e2) = eval e1 - eval e2
```

```
eval (Mul e1 e2) = eval e1 * eval e2
```

```
eval (Div e1 e2) = eval e1 `div` eval e2
```

# Making the Evaluator Safe (1)

```
data Maybe a = Nothing | Just a
```

```
safeEval :: Exp -> Maybe Integer
```

```
safeEval (Lit n) = Just n
```

```
safeEval (Add e1 e2) =
```

```
  case safeEval e1 of
```

```
    Nothing -> Nothing
```

```
    Just n1 ->
```

```
      case safeEval e2 of
```

```
        Nothing -> Nothing
```

```
        Just n2 -> Just (n1 + n2)
```

# Making the Evaluator Safe (2)

```
safeEval (Sub e1 e2) =  
  case safeEval e1 of  
    Nothing -> Nothing  
    Just n1 ->  
      case safeEval e2 of  
        Nothing -> Nothing  
        Just n2 -> Just (n1 - n2)
```

# Making the Evaluator Safe (3)

```
safeEval (Mul e1 e2) =  
  case safeEval e1 of  
    Nothing -> Nothing  
    Just n1 ->  
      case safeEval e2 of  
        Nothing -> Nothing  
        Just n2 -> Just (n1 * n2)
```

# Making the Evaluator Safe (4)

```
safeEval (Div e1 e2) =  
  case safeEval e1 of  
    Nothing -> Nothing  
    Just n1 ->  
      case safeEval e2 of  
        Nothing -> Nothing  
        Just n2 ->  
          if n2 == 0  
            then Nothing  
            else Just (n1 `div` n2)
```

# Any Common Pattern?

Clearly a lot of code duplication!  
Can we factor out a common pattern?

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- ***Sequencing*** of evaluations (or ***computations***).



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# Any Common Pattern?

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We note:

- **Sequencing** of evaluations (or **computations**).
- If one evaluation fails, fail overall.
- Otherwise, make result available to following evaluations.

# Sequencing Evaluations

```
evalSeq :: Maybe Integer  
        -> (Integer -> Maybe Integer)  
        -> Maybe Integer
```

```
evalSeq ma f =  
  case ma of  
    Nothing -> Nothing  
    Just a   -> f a
```

# Exercise 1: Refactoring safeEval

Rewrite `safeEval`, case `Add`, using `evalSeq`:

```
safeEval (Add e1 e2) =
```

```
  case safeEval e1 of
```

```
    Nothing -> Nothing
```

```
    Just n1 ->
```

```
      case safeEval e2 of
```

```
        Nothing -> Nothing
```

```
        Just n2 -> Just (n1 + n2)
```

```
evalSeq ma f =
```

```
  case ma of
```

```
    Nothing -> Nothing
```

```
    Just a -> f a
```

# Exercise 1: Solution

```
safeEval :: Exp -> Maybe Integer
```

```
safeEval (Add e1 e2) =
```

```
    evalSeq (safeEval e1)
```

```
        (\n1 -> evalSeq (safeEval e2)
```

```
            (\n2 -> Just (n1+n2)))
```

or

```
safeEval :: Exp -> Maybe Integer
```

```
safeEval (Add e1 e2) =
```

```
    safeEval e1 `evalSeq` (\n1 ->
```

```
    safeEval e2 `evalSeq` (\n2 ->
```

```
    Just (n1 + n2)))
```

# Aside: Scope Rules of $\lambda$ -abstractions

The scope rules of  $\lambda$ -abstractions are such that parentheses can be omitted:

```
safeEval :: Exp -> Maybe Integer
```

```
...
```

```
safeEval (Add e1 e2) =
```

```
  safeEval e1 `evalSeq` \n1 ->
```

```
  safeEval e2 `evalSeq` \n2 ->
```

```
  Just (n1 + n2)
```

```
...
```

# Refactored Safe Evaluator (1)

```
safeEval :: Exp -> Maybe Integer
safeEval (Lit n) = Just n
safeEval (Add e1 e2) =
    safeEval e1 `evalSeq` \n1 ->
    safeEval e2 `evalSeq` \n2 ->
    Just (n1 + n2)
safeEval (Sub e1 e2) =
    safeEval e1 `evalSeq` \n1 ->
    safeEval e2 `evalSeq` \n2 ->
    Just (n1 - n2)
```

# Refactored Safe Evaluator (2)

```
safeEval (Mul e1 e2) =
  safeEval e1 `evalSeq` \n1 ->
  safeEval e2 `evalSeq` \n2 ->
  Just (n1 * n2)

safeEval (Div e1 e2) =
  safeEval e1 `evalSeq` \n1 ->
  safeEval e2 `evalSeq` \n2 ->
  if n2 == 0
  then Nothing
  else Just (n1 `div` n2)
```



# Inlining evalSeq (1)

```
safeEval (Add e1 e2) =  
  safeEval e1 `evalSeq` \n1 ->  
  safeEval e2 `evalSeq` \n2 ->  
  Just (n1 + n2)
```

# Inlining evalSeq (1)

```
safeEval (Add e1 e2) =  
  safeEval e1 `evalSeq` \n1 ->  
  safeEval e2 `evalSeq` \n2 ->  
  Just (n1 + n2)
```

=

```
safeEval (Add e1 e2) =  
  case (safeEval e1) of  
    Nothing -> Nothing  
    Just a -> (\n1 -> safeEval e2 ...) a
```

# Inlining evalSeq (2)

=

```
safeEval (Add e1 e2) =  
  case (safeEval e1) of  
    Nothing -> Nothing  
    Just n1 -> safeEval e2 `evalSeq` (\n2 -> ...)
```

# Inlining evalSeq (2)

=

```
safeEval (Add e1 e2) =  
  case (safeEval e1) of  
    Nothing -> Nothing  
    Just n1 -> safeEval e2 `evalSeq` (\n2 -> ...)
```

=

```
safeEval (Add e1 e2) =  
  case (safeEval e1) of  
    Nothing -> Nothing  
    Just n1 -> case safeEval e2 of  
      Nothing -> Nothing  
      Just a -> (\n2 -> ...) a
```

# Inlining evalSeq (3)

=

```
safeEval (Add e1 e2) =  
  case (safeEval e1) of  
    Nothing -> Nothing  
    Just n1 -> case safeEval e2 of  
                  Nothing -> Nothing  
                  Just n2 -> (Just n1 + n2)
```

Good exercise: verify the other cases.

# Maybe Viewed as a Computation (1)

- Consider a value of type `Maybe a` as denoting a **computation** of a value of type `a` that **may fail**.

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- Consider a value of type `Maybe a` as denoting a **computation** of a value of type `a` that **may fail**.
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- I.e. **failure is an effect**, implicitly affecting subsequent computations.



# Maybe Viewed as a Computation (1)

- Consider a value of type `Maybe a` as denoting a **computation** of a value of type `a` that **may fail**.
- When sequencing possibly failing computations, a natural choice is to fail overall once a subcomputation fails.
- I.e. **failure is an effect**, implicitly affecting subsequent computations.
- Let's generalize and adopt names reflecting our intentions.

# Maybe Viewed as a Computation (2)

Successful computation of a value:

```
mbReturn :: a -> Maybe a
mbReturn = Just
```

Sequencing of possibly failing computations:

```
mbSeq :: Maybe a -> (a -> Maybe b) -> Maybe b
mbSeq ma f =
  case ma of
    Nothing -> Nothing
    Just a   -> f a
```

# Maybe Viewed as a Computation (3)

Failing computation:

```
mbFail :: Maybe a
mbFail = Nothing
```

# The Safe Evaluator Revisited

```
safeEval :: Exp -> Maybe Integer
```

```
safeEval (Lit n) = mbReturn n
```

```
safeEval (Add e1 e2) =
```

```
    safeEval e1 `mbSeq` \n1 ->
```

```
    safeEval e2 `mbSeq` \n2 ->
```

```
    mbReturn (n1 + n2)
```

...

```
safeEval (Div e1 e2) =
```

```
    safeEval e1 `mbSeq` \n1 ->
```

```
    safeEval e2 `mbSeq` \n2 ->
```

```
    if n2 == 0 then mbFail
```

```
    else mbReturn (n1 `div` n2))
```

# Example 2: Numbering Trees

```
data Tree a = Leaf a | Node (Tree a) (Tree a)
```

```
numberTree :: Tree a -> Tree Int
```

```
numberTree t = fst (ntAux t 0)
```

where

```
ntAux :: Tree a -> Int -> (Tree Int, Int)
```

```
ntAux (Leaf _) n = (Leaf n, n+1)
```

```
ntAux (Node t1 t2) n =
```

```
  let (t1', n') = ntAux t1 n
```

```
  in let (t2', n'') = ntAux t2 n'
```

```
  in (Node t1' t2', n'')
```

# Observations

- Repetitive pattern: threading a counter through a **sequence** of tree numbering **computations**.

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Can we do better?



# Stateful Computations (1)

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- The following type synonym captures this idea:

```
type S a = Int -> (a, Int)
```

(Only `Int` state for the sake of simplicity.)

# Stateful Computations (1)

- A **stateful computation** consumes a state and returns a result along with a possibly updated state.
- The following type synonym captures this idea:

```
type S a = Int -> (a, Int)
```

(Only `Int` state for the sake of simplicity.)

- A value (function) of type `S a` can now be viewed as denoting a stateful computation computing a value of type `a`.

# Stateful Computations (2)

- When sequencing stateful computations, the resulting state should be passed on to the next computation.

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- When sequencing stateful computations, the resulting state should be passed on to the next computation.
- I.e. ***state updating is an effect***, implicitly affecting subsequent computations.  
(As we would expect.)

# Stateful Computations (3)

Computation of a value without changing the state (For ref.:  $S\ a = \text{Int} \rightarrow (a, \text{Int})$ ):

`sReturn :: a -> S a`

`sReturn a = ???`

# Stateful Computations (3)

Computation of a value without changing the state (For ref.:  $S\ a = \text{Int} \rightarrow (a, \text{Int})$ ):

$s\text{Return} :: a \rightarrow S\ a$

$s\text{Return}\ a = \backslash n \rightarrow (a, n)$

# Stateful Computations (3)

Computation of a value without changing the state (For ref.:  $S\ a = Int \rightarrow (a, Int)$ ):

$sReturn :: a \rightarrow S\ a$

$sReturn\ a = \backslash n \rightarrow (a, n)$

Sequencing of stateful computations:

$sSeq :: S\ a \rightarrow (a \rightarrow S\ b) \rightarrow S\ b$

$sSeq\ sa\ f = ???$



# Stateful Computations (3)

Computation of a value without changing the state (For ref.:  $S\ a = \text{Int} \rightarrow (a, \text{Int})$ ):

```
sReturn :: a -> S a
```

```
sReturn a = \n -> (a, n)
```

Sequencing of stateful computations:

```
sSeq :: S a -> (a -> S b) -> S b
```

```
sSeq sa f = \n ->
```

```
  let (a, n') = sa n
```

```
  in f a n'
```

# Stateful Computations (4)

Reading and incrementing the state

(For ref.:  $S\ a = Int \rightarrow (a, Int)$ ):

```
sInc :: S Int
```

```
sInc = \n -> (n, n + 1)
```

# Numbering trees revisited

```
data Tree a = Leaf a | Node (Tree a) (Tree a)
```

```
numberTree :: Tree a -> Tree Int
```

```
numberTree t = fst (ntAux t 0)
```

where

```
ntAux :: Tree a -> S (Tree Int)
```

```
ntAux (Leaf _) =
```

```
  sInc `sSeq` \n -> sReturn (Leaf n)
```

```
ntAux (Node t1 t2) =
```

```
  ntAux t1 `sSeq` \t1' ->
```

```
  ntAux t2 `sSeq` \t2' ->
```

```
  sReturn (Node t1' t2')
```

# Observations

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- The “plumbing” has been captured by the abstractions.
- In particular:
  - counter no longer manipulated directly
  - no longer any risk of “passing on” the wrong version of the counter!

# Comparison of the examples

- Both examples characterized by sequencing of effectful computations.

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  - A function constructing a computation by sequencing computations

# Comparison of the examples

- Both examples characterized by sequencing of effectful computations.
- Both examples could be neatly structured by introducing:
  - A type denoting computations
  - A function constructing an effect-free computation of a value
  - A function constructing a computation by sequencing computations
- In fact, both examples are instances of the general notion of a **MONAD**.

# Monads in Functional Programming

A monad is represented by:

- A type constructor

$$M :: * \rightarrow *$$

$M \ T$  represents computations of a value of type  $T$ .

- A polymorphic function

$$\text{return} :: a \rightarrow M \ a$$

for lifting a value to a computation.

- A polymorphic function

$$(>>=) :: M \ a \rightarrow (a \rightarrow M \ b) \rightarrow M \ b$$

for sequencing computations.

## Exercise 2: `join` and `fmap`

Equivalently, the notion of a monad can be captured through the following functions:

```
return :: a -> M a
```

```
join :: (M (M a)) -> M a
```

```
fmap :: (a -> b) -> (M a -> M b)
```

`join` “flattens” a computation, `fmap` “lifts” a function to map computations to computations.

Define `join` and `fmap` in terms of `>>=` (and `return`), and `>>=` in terms of `join` and `fmap`.

```
(>>=) :: M a -> (a -> M b) -> M b
```

# Exercise 2: Solution

```
join :: M (M a) -> M a
```

```
join mm = mm >>= id
```

```
fmap :: (a -> b) -> M a -> M b
```

```
fmap f m = m >>= \a -> return (f a)
```

**or:**

```
fmap :: (a -> b) -> M a -> M b
```

```
fmap f m = m >>= return . f
```

```
(>>=) :: M a -> (a -> M b) -> M b
```

```
m >>= f = join (fmap f m)
```

# Monad laws

Additionally, the following **laws** must be satisfied:

$$\text{return } x \gg= f = f x$$

$$m \gg= \text{return} = m$$

$$(m \gg= f) \gg= g = m \gg= (\lambda x \rightarrow f x \gg= g)$$

I.e., `return` is the right and left identity for `>>=`,  
and `>>=` is associative.

# Exercise 3: The Identity Monad

The *Identity Monad* can be understood as representing *effect-free* computations:

```
type I a = a
```

1. Provide suitable definitions of `return` and `>>=`.
2. Verify that the monad laws hold for your definitions.



# Exercise 3: Solution

```
return :: a -> I a
```

```
return = id
```

```
(>>=) :: I a -> (a -> I b) -> I b
```

```
m >>= f = f m
```

```
-- or: (>>=) = flip ($)
```

Simple calculations verify the laws, e.g.:

$$\begin{aligned} \text{return } x \gg= f &= \text{id } x \gg= f \\ &= x \gg= f \\ &= f x \end{aligned}$$

# Monads in Category Theory (1)

The notion of a monad originated in Category Theory. There are several equivalent definitions (Benton, Hughes, Moggi 2000):

- ***Kleisli triple/triple in extension form:*** Most closely related to the  $>>=$  version:

A ***Kleisli triple*** over a category  $\mathcal{C}$  is a triple  $(T, \eta, \_*)$ , where  $T : |\mathcal{C}| \rightarrow |\mathcal{C}|$ ,  $\eta_A : A \rightarrow TA$  for  $A \in |\mathcal{C}|$ ,  $f^* : TA \rightarrow TB$  for  $f : A \rightarrow B$ .

(Additionally, some laws must be satisfied.)

# Monads in Category Theory (2)

- **Monad/triple in monoid form:** More akin to the `join/fmap` version:

A **monad** over a category  $\mathcal{C}$  is a triple  $(T, \eta, \mu)$ , where  $T : \mathcal{C} \rightarrow \mathcal{C}$  is a functor,  $\eta : \text{id}_{\mathcal{C}} \rightarrow T$  and  $\mu : T^2 \rightarrow T$  are natural transformations.

(Additionally, some commuting diagrams must be satisfied.)

# Reading

- Philip Wadler. The Essence of Functional Programming. *Proceedings of the 19th ACM Symposium on Principles of Programming Languages (POPL'92)*, 1992.
- Nick Benton, John Hughes, Eugenio Moggi. Monads and Effects. In *International Summer School on Applied Semantics 2000*, Caminha, Portugal, 2000.
- *All About Monads.*  
[http://www.haskell.org/all\\_about\\_monads](http://www.haskell.org/all_about_monads)