

**G53KRR 2016-17**  
**Answers to the exercise on syntax and semantics of FOL**  
**Set in lecture 4, 13 October 2016.**

In the exercises below,  $P$  is a unary predicate symbol,  $R$  is a binary predicate symbol, and  $f$  is a unary function symbol.

1. Consider an interpretation  $J = (D, I)$  where  $D = \{1, 2, 3\}$ ,  $I(P) = \{1, 2\}$  and  $I(R) = \{\langle 2, 2 \rangle, \langle 2, 3 \rangle\}$ . Which of the following formulas are true in  $J$  under the variable assignment  $\mu$  which assigns 1 to  $x$  and 2 to  $y$ :
  - (a)  $P(x)$   
*Answer:* yes,  $J, \mu \models P(x)$  because  $\mu(x) = 1$  and 1 is in  $I(P)$ .
  - (b)  $R(x, y)$   
*Answer:* no,  $J, \mu \not\models R(x, y)$ , because  $\mu(x) = 1$ ,  $\mu(y) = 2$ , and  $\langle 1, 2 \rangle$  is not in  $I(R)$ .
  - (c)  $\exists x R(x, x)$ :  
*Answer:* yes,  $J, \mu \models \exists x R(x, x)$ , because there is a value (2) which we can assign to  $x$  instead of the value that  $\mu$  assigns to  $x$ , and for the resulting assignment  $\mu\{2; x\}$  it holds that  $J, \mu\{2; x\} \models R(x, x)$ .
  - (d)  $\exists x \exists y (\neg(x = y) \wedge R(x, y))$   
*Answer:* yes,  $J, \mu \models \exists x \exists y (\neg(x = y) \wedge R(x, y))$  because  $J, \mu\{2; x, 3; y\} \models \neg(x = y) \wedge R(x, y)$ .
  - (e)  $\exists x \forall y \neg R(x, y)$   
*Answer:* yes,  $J, \mu \models \exists x \forall y \neg R(x, y)$ : if  $x$  is given value 1, then for all possible values of  $y$ ,  $R(x, y)$  is false, so  $\neg R(x, y)$  is true.
2. Construct some interpretation where  $\forall x \forall y (R(x, y) \supset R(y, x))$  is true.  
*Answer:* Infinitely many correct answers are possible. The minimal one is a model with one element in the domain, for example  $D = \{1\}$ . It doesn't even matter whether  $\langle 1, 1 \rangle \in I(R)$  or not. Since there is only one value that can be assigned to  $x$  and  $y$ , the implication holds trivially.
3. Construct some interpretation where  $\forall x \forall y (R(x, y) \supset R(y, x))$  is false.  
*Answer:* Infinitely many correct answers are possible. The minimal one is a model with two elements in the domain, for example  $D = \{1, 2\}$  where  $\langle 1, 2 \rangle \in I(R)$  and  $\langle 2, 1 \rangle \notin I(R)$ . *You don't have to come up with minimal counterexamples in the exam, unless asked explicitly.*
4. Let  $J_1 = (D_1, I_1)$ , where  $D_1 = \{a, b\}$ ,  $I_1(f)$  is the identity function ( $I_1(f)(a) = a$  and  $I_1(f)(b) = b$ ), and  $I_1(R) = \{\langle a, a \rangle, \langle b, a \rangle\}$ . An assignment  $\mu_1$  is such that  $\mu_1(x) = a$ .  
 Does it hold that  $J_1, \mu_1 \models \exists x R(x, f(x))$ ?  
*Answer:* Yes, we don't even need to find a different value for  $x$ ,  $\mu_1(x)$  works because it assigns  $a$  to  $x$ ,  $f(x)$  is also  $a$  because  $f$  is identity function, and  $\langle a, a \rangle \in I(R)$ .

5. Come up with an interpretation which makes  $\forall x \exists y R(x, f(y))$  true.  
*Answer:* Infinitely many correct answers are possible.  $J_1$  above works because when  $x$  is  $a$ , we can find a value for  $y$ , which is  $a$ . When  $x$  is  $b$ , then the value for  $y$  is  $a$ .
6. Come up with an interpretation which makes  $\forall x \exists y R(x, f(y))$  false.  
*Answer:* Infinitely many correct answers are possible. We can change  $J_1$  so that  $I(R)$  only contains  $\langle a, a \rangle$ . Then there is no value for  $y$  which would work when  $x$  has value  $b$ .
7. (difficult - only do this if you actually like it). Find an interpretation where the three sentences below are true together. Is there a finite interpretation (one with a finite domain  $D$ ) where they are all true?

- (a)  $\forall x \neg R(x, x)$   
 (b)  $\forall x \exists y R(x, y)$   
 (c)  $\forall x \forall y \forall z (R(x, y) \wedge R(y, z) \supset R(x, z))$

*Answer:* Infinitely many correct answers to the first part of the question are possible. For example,  $D$  can be all natural numbers, and  $R$  can be interpreted as  $<$ . For the second part of the question: it is not possible to have a finite interpretation which satisfies all three sentences. Consider an arbitrary element  $a_1$  in our hypothetical finite interpretation. It is not possible that  $R(a_1, a_1)$  (I will write this instead of  $\langle a_1, a_1 \rangle \in I(R)$  for brevity) holds, because of the first sentence (irreflexivity). So there should be  $a_2$  such that  $R(a_1, a_2)$  holds (because of the second sentence, seriality). Because  $\forall x \forall y \forall z (R(x, y) \wedge R(y, z) \supset R(x, z))$  (transitivity) is true, it is not possible that  $R(a_2, a_1)$  holds, because otherwise  $R(a_1, a_1)$  would hold, contradicting the first sentence. So we need some element  $a_3$  to satisfy  $R(a_2, a_3)$  (seriality), where  $a_3$  is different from  $a_1$  and  $a_2$ , and so on. We can show by induction that for any finite set of elements  $D$ , we either don't have an  $R$ -successor for some element in it, violating seriality, or we have a cycle  $a_1 R a_2 R a_3 R \dots R a_1$  which by transitivity means we have  $R(a_1, a_1)$ , violating irreflexivity.