

# A Hierarchy of Mendler style iteration/recursion combinators: taming recursive types with negative occurrences

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2011-11-04

Functional Programming Lab Seminar,  
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**Nax** language design: collaborating with

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# Context of the work: **Trellys Project**

- Dependently typed language aiming for both a programming language and a reasoning system
- Able to logically reason about programs and compute over data structure containing proofs



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# Characteristics of programming languages and reasoning systems

## Typed Functional Programming Languages (e.g., ML, Haskell)

- Can define arbitrary recursive types definition
- No guarantee for normalization

## Typed Logical Reasoning Systems (e.g., Coq, HOL)

- Can NOT define arbitrary recursive types (only positive ones)
- Guaranteed to normalize

# **Nax: a middle ground taking good properties of the both worlds**

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- Can define arbitrary recursive types definition
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Typed Logical Reasoning Systems (e.g., Coq, HOL)

- Can NOT define arbitrary recursive types (only positive ones)
- Guaranteed to normalize

*Spoiler: Aren't there well known calculi with such properties?*

*Like System F and  $F_\omega$  ...*

**Yes, Nax is going to be closely related to them.**

# Nax

- Named after Nax P. Mendler
- An extension of System F (or,  $F_\omega$ )
- Allow formation of any recursive types (i.e. both positive and negative recursive types)
- Strongly normalizing
  - A rich family of principled iteration/recursion combinators over recursive types
  - These combinators were discovered and designed following the style of Mendler's

# Why are we designing Nax?

*in the context of Trellys project*

- The goal of the Trellys project is to design and implement a unified system that is both

- a full functional programming language

Prog (programmatic sublanguage):  $G \vdash_{\text{Prog}} e : t$

- and, a sound logical reasoning system

Log (logical sublanguage):  $G \vdash_{\text{Log}} e : t$

- What are the minimal requirements of Log?

- Nax** {
- Normalizing (logical proof should be finite)
  - Support arbitrary Recursive Datatypes  
(to be able to refer to any program in Prog)

# Outline

- Background & Motivation
- **Preliminary Concepts**
  - **Recursive types**
  - Mender style iteration/recursion
- Current design of Nax
- Future work

# Recursive Types (a.k.a. Fixpoint Types)

- Solutions for recursive type equations
  - $X = 1 + X$  natural number
  - $X = 1 + (A \times X)$  list containing  $A$  element
  - $X = A + (X \times X)$  binary tree with  $A$  leaves
- That is, fixpoint  $\mu F$  such that  $\mu F = F(\mu F)$ 
  - $N(X) = 1 + X$  natural number type:  $\mu N$
  - $L(X) = 1 + (A \times X)$  list type:  $\mu L$
  - $T(X) = A + (X \times X)$  binary tree type:  $\mu T$



# Two styles of recursive types

- Equi-recursive (implicit conversion)

$$\frac{G \vdash e : \mu F}{G \vdash e : F(\mu F)} \mu\text{-elim}$$
$$\frac{G \vdash e : F(\mu F)}{G \vdash e : \mu F} \mu\text{-intro}$$

- Iso-recursive (explicit conversion)

$$\frac{G \vdash e : \mu F}{G \vdash \text{unIn } e : F(\mu F)} \mu\text{-elim}$$
$$\frac{G \vdash e : F(\mu F)}{G \vdash \text{In } e : \mu F} \mu\text{-intro}$$
$$\text{unIn } (\text{In } e) \rightarrow e$$

# Two styles of recursive types

- **Equi-recursive (implicit conversion)**

```
type X = Either () X
data Either a b = Left a | Right b
```

This is only an analogy ...  
cyclic type synonym is  
a type error in Haskell

- **Iso-recursive (explicit conversion)**

```
data N r = Z | S r
type Nat = Mu N
zero    = In Z
succ n  = In (S n)
newtype Mu f = In (f (Mu f)) -- definition of  $\mu$ 
unIn (In x) = x             -- recall the reduction rule
```

# Encoding of iso-recursive types a.k.a. two-level types

- Usual one-level recursive type definition `Nat` can be thought as an abstract interface `(Nat, zero, succ)` of the two-level implementation that hides more primitive constructs, that is, the recursion operator `(Mu, In, out)` and the base structure `(N, Z, S)`

```
data Nat = Zero | Succ Nat
```

---

```
data N r = Z | S r
```

```
type Nat = Mu N
```

```
zero = In Z
```

```
succ n = In (S n)
```

```
newtype Mu f = In (f (Mu f)) -- definition of  $\mu$ 
```

```
unIn (In x) = x -- recall the reduction rule
```

# Exercise on two-level types

- Natrual numbers

```
data Nat = Zero
         | Succ Nat
```

```
data N = Z | S r
type Nat = Mu N
zero    = In N
succ n  = In (S n)
```

- Lists

```
data List a = Nil
            | Cons a (List a)
```

```
data L a r = N | C a r
type List a = Mu (L a)
nil         = In N
cons x xs  = In (C x xs)
```

- Trees

```
data Tree a
  = Leaf a
  | Node (Tree a) (Tree a)
```

```
data T a r = L a | N r r
type Tree a = Mu (T a)
leaf x     = In (L x)
node tl tr = In (N tl tr)
```

# Recursive types and Normalization

- Unrestricted use of general recursion at term level can cause diverging computation
  - e.g. “let  $f\ x = f\ x$  in  $f\ 0$ ” loops
- Unrestricted formation and elimination (i.e., pattern matching) over recursive types can also cause diverging computation, even without any use recursion at term level
  - With recursive types, it is possible to encode diverging self application  $(\lambda x.xx)$   $(\lambda x.xx)$  of the untyped lambda calculus in a type correct way
    - Also observed by Nax P. Mendler

# Diverging computation just using Recursive types

- Mendler's example in Haskell: encoding of a classical self application  $(\lambda x.xx) (\lambda x.xx)$

```
data T = C (T -> ())
```

```
p :: T -> (T -> ())
```

```
p (C f) = f
```

```
w :: T -> ()
```

```
w x = (p x) x
```

```
w (C w)
```

```
~> (p (C w)) (C w)
```

```
~> w (C w)
```

```
~> (p (C w)) (C w)
```

```
~> ...
```

- Ability to pattern match (eliminate) freely over recursive types is enough to cause divergence
  - didn't have to use term level recursion at all

# Two design choices of normalization with recursive types

- Restrict Formation rule

$$\frac{G \vdash F : * \rightarrow *}{G \vdash \mu F : *} \text{--- } \mu\text{-form}$$

- Restrict Elimination rule

$$\frac{G \vdash e : \mu F}{G \vdash \text{unIn } e : F(\mu F)} \text{--- } \mu\text{-elim}$$

$$\frac{G \vdash e : F(\mu F)}{G \vdash \text{In } e : \mu F} \text{--- } \mu\text{-intro}$$

$$\text{unIn } (\text{In } e) \rightarrow e$$

# Positive vs. Negative occurrences in recursive types

- Interpreting  $(A \rightarrow B)$  logically as implication, which is equivalent to  $(\neg A \setminus / B)$
- So, left of  $\rightarrow$  is **negative position** and right of  $\rightarrow$  is **positive position**
- Positive datatype: all recursive occurrences are in positive position  
data Tree = Leaf Int | InfBranch (Nat  $\rightarrow$  Tree)
- Negative datatype: exist recursive occurrences in one or more negative positions  
data Exp = Lam (Exp  $\rightarrow$  Exp) | App Exp Exp



# Strictly Positive vs. Positive

- $\text{data } A = C ((A \rightarrow \text{Bool}) \rightarrow \text{Bool})$ 
  - Positive since  $A$  is in doubly negated position, but not strictly positive since  $A$  appears inside the left hand side of the top level  $\rightarrow$
  - Considered to be non-set theoretic since it asserts the proposition that powerset of powerset of  $A$  being isomorphic to  $A$ , which is a set theoretic nonsense
- All strictly positive types have set theoretic interpretation
- Some positive, but not strictly positive, types CAN be considered set theoretically
  - $\text{data } SN = SN (\forall b. b \rightarrow (SN \rightarrow b) \rightarrow b)$   
Scott Numerals - an encoding of natural numbers

# Diverging computation using Negative recursive types

- Mendler's example in Haskell: encoding of a classical self application  $(\lambda x.xx) (\lambda x.xx)$

```
data T = C (T → ())
```

```
p :: T → (T → ())
```

```
p (C f) = f
```

```
w :: T → ()
```

```
w x = (p x) x
```

```
w (C w)
```

```
≈ (p (C w)) (C w)
```

```
≈ w (C w)
```

```
≈ (p (C w)) (C w)
```

```
≈ ...
```

- Ability to pattern match (eliminate) freely over recursive types is enough to cause divergence
  - didn't have to use term level recursion at all

# Why care about negative datatypes? (Example 1: Reducibility)

- Definition of Reducibility for System T
  - $\text{Red}\{\text{Nat}\}(M)$  iff  $M$  reduce to canonical form of  $\text{Nat}$
  - $\text{Red}\{A \rightarrow B\}(M)$  iff for all  $N$ ,  $\text{Red}\{A\}(N)$  implies  $\text{Red}\{B\}(M N)$
- In proof assistants like Coq, this most natural definition will be rejected

Inductive Red: ty  $\rightarrow$  exp  $\rightarrow$  Prop  
:= RedN : forall n, Const n  $\rightarrow$  Red nat n  
| RedA : forall e A B, (forall A e', Red A e'  $\rightarrow$  Red B (e e'))  
           $\rightarrow$  Red (A  $\rightarrow$  B)

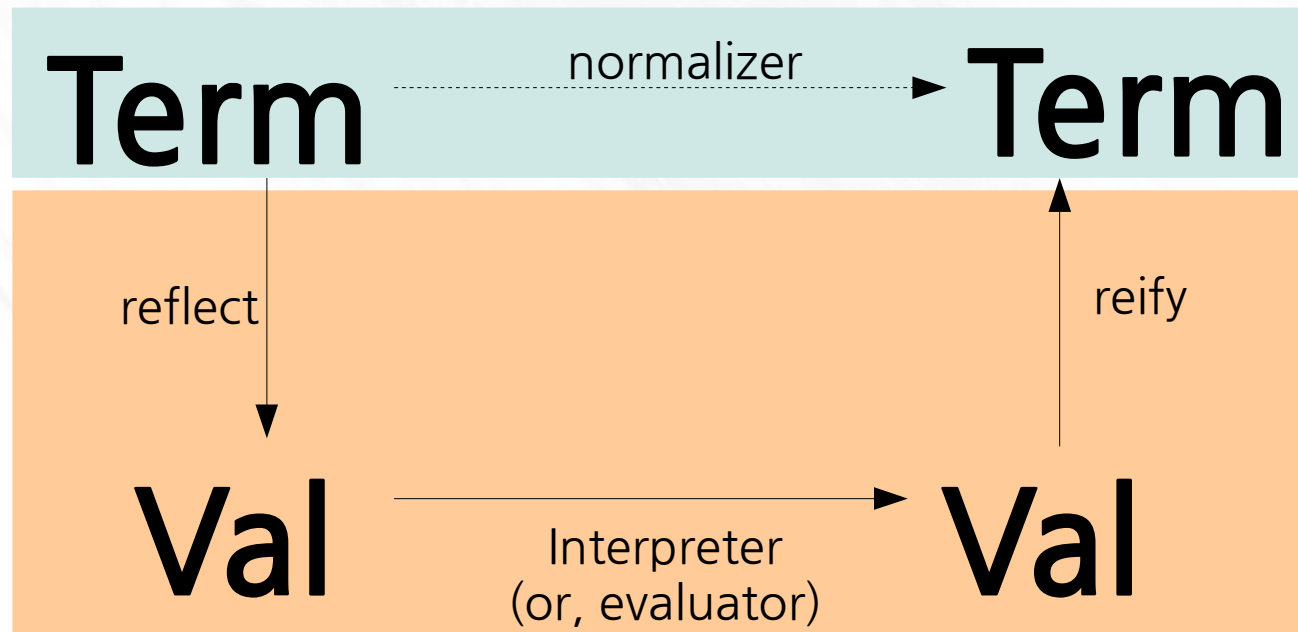
# Why care about negative datatypes? (Example 2: HOAS)

- HOAS for untyped lambda calculus (in Haskell)  
 $\text{data Exp} = \text{Lam (Exp} \rightarrow \text{Exp)} \mid \text{App Exp Exp}$ 
  - Since `Exp` models the untyped lambda calculus, its eval function  $\text{eval} :: \text{Exp} \rightarrow \text{Exp}$  is partial
  - But, there can be many useful total functions over `Exp`, such as  $\text{showExp} :: \text{Exp} \rightarrow \text{String}$  that formats an HOAS term into a printable string
- More complex transformations using HOAS for typed languages have been studied in the context of type preserving compilers

# Why care about negative datatypes?

## (Example 3: Normalization by Evaluation)

- Define normalization of terms (**positive** datatype) using evaluation of values (**negative** datatype)



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- **Preliminary Concepts**
  - Recursive types (equi/iso, positive/negative)
  - **Mendler style iteration/recursion**
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# Two styles of iteration/recursion

## Squiggol style vs. Mender style

- Developed in the context of Functional Programming Languages with Type Inference (Hindley-Milner type system)
    - No wonder why this style has been more popular in functional programming*
  - Iteration/Recursion well-defined for positive datatypes, but not for negative datatypes
  - Defined for regular datatypes, but not easy to generalize to non-regular datatypes (e.g. nested datatypes, GADTs)
- Developed in the context of Nuprl, an interactive theorem prover for constructive math, with a very powerful type system (dependent types, higher rank polymorphism)
  - Iteration/Recursion well-defined for arbitrary datatypes including negative datatypes
    - Mendler didn't notice this himself, later discovered by others*
  - Naturally generalize to non-regular datatypes (more generally to type constructors of arbitrary higher kinds)

# Exercise on two-level types

- Natrual numbers

```
data Nat = Zero
         | Succ Nat
```

```
data N = Z | S r
type Nat = Mu N
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- Lists

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- Trees

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data Tree a
  = Leaf a
  | Node (Tree a) (Tree a)
```

```
data T a r = L a | N r r
type Tree a = Mu (T a)
leaf x     = In (L x)
node tl tr = In (N tl tr)
```



# Iteration (a.k.a. catamorphism) in Squiggol style

```
iter :: Functor f =>
  (f a -> a) -> Mu f -> a
iter φ (In x) =
  φ (fmap (iter φ) x)
```

```
instance Functor (L x) where
  -- fmap :: (a -> b) -> L x a -> L x b
  fmap h N      = N
  fmap h (C x a) = C x (h a)
```

```
lenList = Mu (L x) -> Int
lenList = iter phi
where
  phi :: L x Int -> Int
  phi N      = 0
  phi (C x xslen) = 1 + xslen
```

- Generalization of folds, expressed using 2-level types
- Recursion is captured by iter at term level, and Mu at type level (non-recursive elsewhere)
- fmap guides where to invoke recursive calls
- User supplies  $\phi :: f a \rightarrow a$ , which defines how to process the base structure containing answers of the already processed subcomponents

# Iteration (a.k.a. catamorphism) in Mendler style

$$\text{miter} :: (\forall r. (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a$$
$$\text{miter } \varphi (\text{In } x) = \varphi (\text{miter } \varphi) x$$
$$\text{lenList} = \text{Mu } (L x) \rightarrow \text{Int}$$
$$\text{lenList} = \text{miter } \text{phi}$$

where

$$\text{phi} :: \forall r. (r \rightarrow \text{Int}) \rightarrow L x r \rightarrow \text{Int}$$
$$\text{phi } \text{len } N = 0$$
$$\text{phi } \text{len } (C x xs) = 1 + \text{len } xs$$

- Key idea:  $\varphi$  expects another argument, which **enables user to control recursive calls** instead of relying on predefined `fmap`
- No requirement on the base (`f`) being a positive functor
- Higher rank polymorphism ( $\forall r. \dots$ ) enforce recursive subcomponents in the base structure (`f r`) be abstract inside  $\varphi$ , which is Mendler's ingenious idea to guarantee normalization
- `phi` looks almost the same as what you'd write in a functional language with general recursion

# Does miter really normalize?

Isn't it dangerous to allow users to control recursive calls?

$\text{miter} :: (\text{Mu } f \rightarrow a) \rightarrow f (\text{Mu } f) \rightarrow a \rightarrow \text{Mu } f \rightarrow a$  -- Naïve type

$\text{miter} :: (\forall r. (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a$  -- Mendler's type

$\text{cons} :: x \rightarrow \text{Mu } (L x) \rightarrow \text{Mu } (L x)$

$\text{lenListBad} = \text{mcata } \text{phi}$

where

$\text{phi } \text{len } N = 0$

$\text{phi } \text{len } (C x \text{ xs}) = 1 + \text{len } (\text{cons } x \text{ xs})$

The function `lenListBad` diverges when applied to a non-empty list, since it recursively calls on the same list (`cons x xs`) rather than its tail (`xs`).

- `lenListBad` will type check with the naïve type of `miter`
- $\text{len } (\text{cons } x \text{ xs})$  is a type error with Mendler's type

can't cons  
with xs

- `cons` expects its 2<sup>nd</sup> arg of type `Mu (L x)` but `xs :: r`, where `r` is parametric (or, abstract)

Won't work even  
if you could cons

- `len :: (r → a)` expects an arg of abstract type `r` but the result type of `cons` is `Mu (L x)`

# Does miter really normalize?

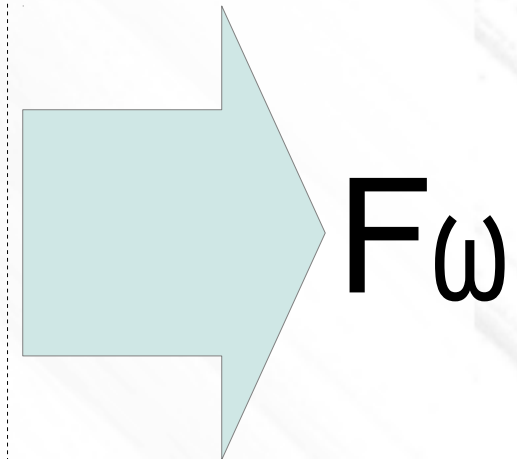
- Yes, we've seen the intuition
- The formal proof can be done by a reduction preserving embedding into a well known normalizing language  $F_\omega$  (i.e., one reduction step involving miter is simulated by one or more constantly bound reduction steps in  $F_\omega$ )

newtype Mu f = In (f (Mu f))

mcata :: ( $\forall r.(r \rightarrow a) \rightarrow f r \rightarrow a$ )  $\rightarrow$  Mu f  
 $\rightarrow a$

mcata  $\varphi$  (In x) =  $\varphi$  (mcata  $\varphi$ ) x

{- lambda abstraction, application, and non recursive base structures have trivial embeddings into  $F_\omega$  -}



$F_\omega$

# Primitive Recursion vs. Iteration

- Primitive Recursion gives you access to both the values of the subcomponents and the results of processing the subcomponents
- Iteration only gives you access to the results of processing the subcomponents
- Although miter looks like giving you access to the the subcomponent values, it really isn't. (try to define factorial if you are in doubt)

```
lenList = Mu (L x) → Int
lenList = miter phi
  where
    phi :: ∀r.(r→Int) → L x Int →
Int
    phi len N          = 0
    phi len (C x xs) = 1 + len xs
```

$xs :: r$  is an abstract handle to the tail whose only sensible use is to be applied to the abstract interface to the recursive call

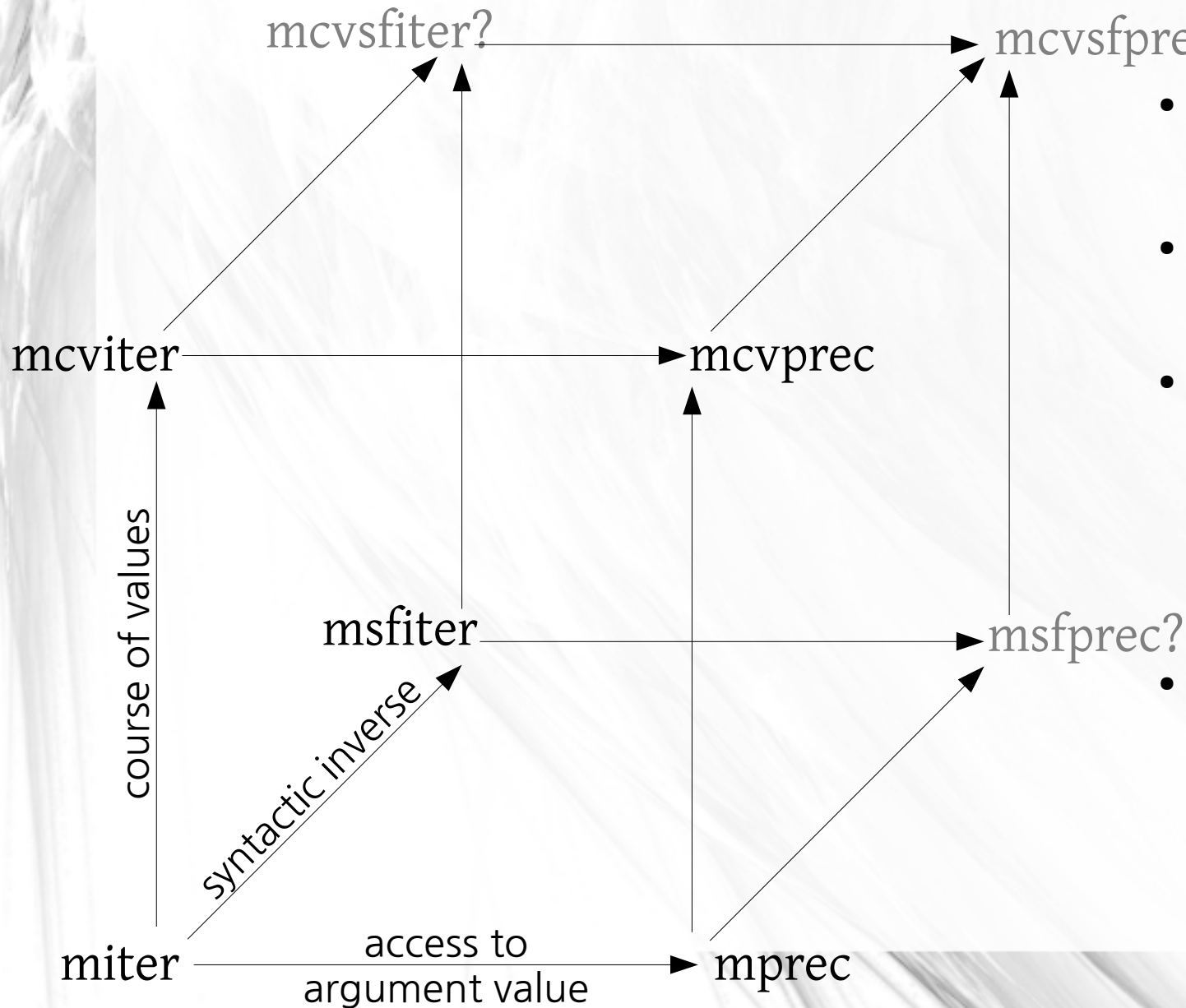
$len :: r \rightarrow Int$

# Mendler style Primitive Recursion

$$\begin{aligned} \text{miter} &:: (\forall r. (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a \\ \text{miter } \varphi (\text{In } x) &= \varphi (\text{miter } \varphi) x \end{aligned}$$
$$\begin{aligned} \text{mprec} &:: (\forall r. (r \rightarrow \text{Mu } f) \rightarrow (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a \\ \text{mprec } \varphi (\text{In } x) &= \varphi \text{ id } (\text{mprec } \varphi) x \end{aligned}$$
$$(\times) :: \text{Mu } N \rightarrow \text{Mu } N \rightarrow \text{Nat}$$
$$\text{fact} = \text{Mu } N \rightarrow \text{Nat}$$
$$\begin{aligned} \text{fact} = \text{mprec } \varphi \quad \text{where } \varphi &:: \forall r. (r \rightarrow \text{Mu } N) \rightarrow (r \rightarrow \text{Nat}) \rightarrow N r \rightarrow \text{Nat} \\ \varphi \text{ cast } \text{fac } Z &= 0 \\ \varphi \text{ cast } \text{fac } (S n) &= \text{succ}(\text{cast } n) \times \text{fac } n \end{aligned}$$

- $\varphi$  for `mprec` expects yet another argument, which is a **type casting function** from an abstract type ( $r$ ) to the concrete recursive type (`Mu f`)
- Mendler's original work (LICS '87) is about `mprec`

# A Hierarchy of Mendler style Iteration/Recursion Combinators



- `miter`, `msfiter` can be embedded into  $F_{\omega}$
- `mprec` embeds into  $\text{Fix}_{\omega}$  (Abel & Matthes CSL '04)
- `mfv-` combinators only normalize for positive datatypes (other non-cv combinators normalize for arbitrary datatypes)
- Naturally extends to higher kinds where `Mu` and related combinators are index by kinds  $\text{Mu}_*$ ,  $\text{In}_*$ , `miter`<sub>\*</sub>, ...  
 $\text{Mu}_{* \rightarrow *}$ ,  $\text{In}_{* \rightarrow *}$ , `miter`<sub>\* $\rightarrow$ \*</sub>, ..

# Mendler style

## course of values Iteration

$$\begin{aligned} \text{miter} &:: (\forall r. (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a \\ \text{miter } \varphi (\text{In } x) &= \varphi (\text{miter } \varphi) x \end{aligned}$$

$$\begin{aligned} \text{mcviter} &:: (\forall r. (r \rightarrow f r) \rightarrow (r \rightarrow a) \rightarrow f r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a \\ \text{mcviter } \varphi (\text{In } x) &= \varphi \text{ unIn } (\text{mcviter } \varphi) x \end{aligned}$$

$$(+ :: \text{Mu } N \rightarrow \text{Mu } N \rightarrow \text{Nat})$$

$$\text{fib} = \text{Mu } N \rightarrow \text{Nat}$$

$$\text{fib} = \text{mcviter } \varphi \text{ where } \varphi :: \forall r. (r \rightarrow N r) \rightarrow (r \rightarrow \text{Nat}) \rightarrow N r \rightarrow \text{Nat}$$

$$\varphi \text{ out } \text{fib } Z = \text{succ zero}$$

$$\varphi \text{ out } \text{fib } (S n) = \text{case out } n \text{ of } Z \rightarrow \text{succ zero}$$

$$S n' \rightarrow \text{fib } n + \text{fib } n'$$

- $\varphi$  for mcviter expects yet another argument, which is an abstract eliminator  $\text{out} :: r \rightarrow f r$  passing around  $\text{unIn} :: \text{Mu } f \rightarrow f (\text{Mu } f)$ , giving the ability to abstractly eliminate (i.e., pattern match away) In constructor of Mu

only normalizes for positive datatype.

A counter example for negative datatype in our ICFP'11 paper.



# Mendler style

## Sheard-Fegaras Iteration

data Mu' f a = In' (f (Mu' f a)) | Inverse a -- Mu with syntactic inverse

msfiter :: ( $\forall r. (a \rightarrow r a) \rightarrow (r a \rightarrow a) \rightarrow f (r a) \rightarrow a$ )  $\rightarrow (\forall a. \text{Mu}' f a) \rightarrow a$

msfiter  $\varphi$  (In' x) =  $\varphi$  Inverse (msfiter  $\varphi$ ) x

msfiter  $\varphi$  (Inverse x) = x

data E r = A r r | L (r  $\rightarrow$  r) -- base structure for HOAS

type Exp =  $\forall a. \text{Mu}' E a$

countVar :: Exp  $\rightarrow$  Int -- count the no. of variable use.  $(\lambda x.xx)$  is 2,  $(\lambda x.\lambda y.x)$  is 1

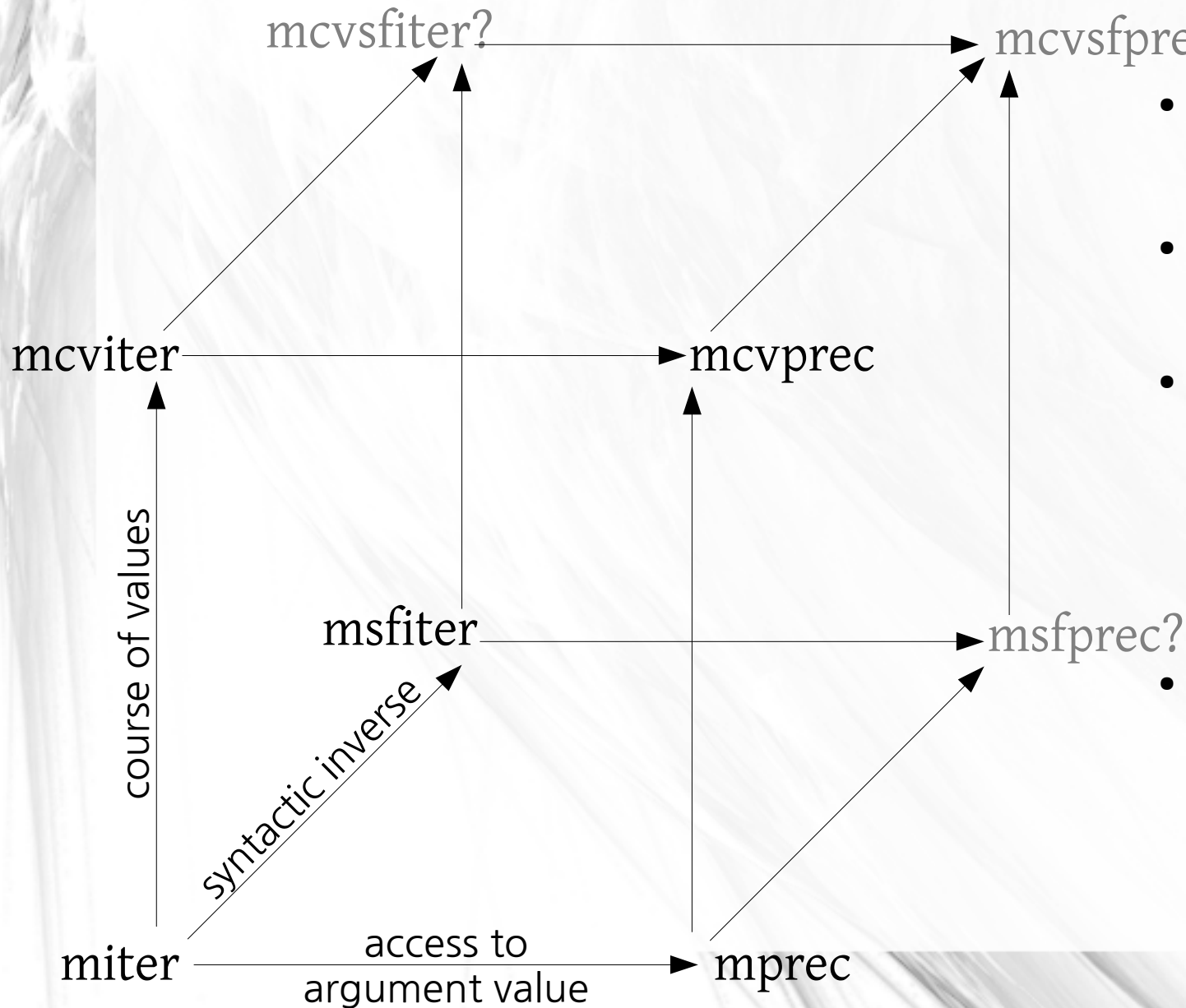
countVar = msfiter phi where phi ::  $\forall r. (\text{Int} \rightarrow r \text{Int}) \rightarrow (r \text{Int} \rightarrow \text{Int}) \rightarrow E (r \text{Int}) \rightarrow \text{Int}$

phi inv count (L g) = count (g (inv 1))

phi inv count (A e1 e2) = count e1 + count e2

- $\varphi$  for msfiter expects yet another argument, which is a **syntactic Inverse** allowing you to instantly create an abstract recursive value (inv 1 :: r Int) from an expected result value (1 :: Int) so that you can supply it to a function (g :: r Int  $\rightarrow$  r Int) expecting an abstract recursive value

# A Hierarchy of Mendler style Iteration/Recursion Combinators



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# Outline

- Background & Motivation
- Preliminary Concepts
  - Recursive types (equi/iso, positive/negative)
  - Mendler style iteration/recursion
    - Well-defined for negative datatypes
    - Naturally generalize to non-regular datatypes
    - Variations of iteration/recursion schemes (course of values, syntactic inverse) have been discovered and studied
- **Current design of Nax**
- Future work

# Some trivia

Why design a new language when you can embed that new language into  $F_\omega$  or  $\text{Fix}_\omega$ ?

Why not just use  $F_\omega$  or  $\text{Fix}_\omega$ ?

Same reason you don't want to use Turing machine or plain lambda calculus instead of programming in high level languages

- Embedding into  $F_\omega$  or  $\text{Fix}_\omega$  is only a tool for showing normalization
- Encoding datatypes in  $F_\omega$  or  $\text{Fix}_\omega$  is tedious
- Some language design decisions can make type inference/checking more convenient
- Some recursion combinators can be simplified when we define them as language constructs

# Mendler style

## Sheard-Fegaras Iteration

data Mu' f a = In' (f (Mu' f a)) | Inverse a -- Mu with syntactic inverse

msfiter :: ( $\forall r. (a \rightarrow r a) \rightarrow (r a \rightarrow a) \rightarrow f (r a) \rightarrow a$ )  $\rightarrow$  ( $\forall a. \text{Mu}' f a$ )  $\rightarrow$  a  
msfiter  $\varphi$  (In' x) =  $\varphi$  Inverse (msfiter  $\varphi$ ) x  
msfiter  $\varphi$  (Inverse x) = x

Instead of above implementation in Haskell, we can define msfiter as a Nax language primitive of the following type using one same Mu, and reduction rules defined as follows:

msfiter :: ( $\forall r. (a \rightarrow r) \rightarrow (r \rightarrow a) \rightarrow f r \rightarrow a$ )  $\rightarrow$  Mu f  $\rightarrow$  a

msfiter  $\varphi$  (In' x)  $\rightarrow$   $\varphi$  Inverse (msfiter  $\varphi$ ) x

msfiter  $\varphi$  (Inverse x)  $\rightarrow$  x

Inverse is a transient term, which only appear during computation but cannot appear in the source code

# Syntax:

## Curry style System F with some extensions

$$Dec ::= F \bar{X} X. \{ \bar{C} \bar{T} \}$$
$$Decs ::= \cdot \mid Dec; Decs$$
$$T ::= F \bar{T} T \mid T \rightarrow T \mid X \mid \forall X. T \mid \mu(F \bar{T})$$
$$M ::= x \mid C \mid \text{case } M \{ \bar{C} \bar{x}. \bar{M} \} \mid \lambda x. M \mid M M$$
$$\mid \text{in } M \mid \text{mit } M \mid \text{mrec } M \mid \text{mcvit } M \mid \text{mcvrec } M \mid \text{msfit } M$$
$$\mid \text{out} \mid \text{inv} \quad \text{-- these are transient objects cannot appear in source code}$$
$$Program ::= Decs; M$$

- This description is still at a level of a calculus
- More concrete syntax is being designed by trying out a prototype implementation

# Type System

$$\begin{array}{c} \text{in} \frac{\Gamma \vdash M : F \bar{T} (\mu(F \bar{T}))}{\Gamma \vdash \text{in } M : \mu(F \bar{T})} \quad \text{mit} \frac{\Gamma, X : \star \vdash M : (X \rightarrow T') \rightarrow F \bar{T} X \rightarrow T'}{\Gamma \vdash \text{mit } M : \mu(F \bar{T}) \rightarrow T'} \\ \\ \text{mrec} \frac{\Gamma, X : \star \vdash M : (X \rightarrow \mu(F \bar{T})) \rightarrow (X \rightarrow T') \rightarrow F \bar{T} X \rightarrow T'}{\Gamma \vdash \text{mrec } M : \mu(F \bar{T}) \rightarrow T'} \\ \\ \text{mcvit} \frac{\Gamma, X : \star, \vdash M : (X \rightarrow F \bar{T} X) \rightarrow (X \rightarrow T') \rightarrow F \bar{T} X \rightarrow T' \quad F \text{ is positive}}{\Gamma \vdash \text{mcvit } M : \mu(F \bar{T}) \rightarrow T'} \\ \\ \text{mcrec} \frac{\Gamma, X : \star, \vdash M : (X \rightarrow F \bar{T} X) \rightarrow (X \rightarrow F \bar{T} X) \rightarrow (X \rightarrow T') \rightarrow F \bar{T} X \rightarrow T' \quad F \text{ is positive}}{\Gamma \vdash \text{mcrec } M : \mu(F \bar{T}) \rightarrow T'} \\ \\ \text{msfit} \frac{\Gamma, X : \star \vdash M : (T' \rightarrow X) \rightarrow (X \rightarrow T') \rightarrow F \bar{T} X \rightarrow T'}{\Gamma \vdash \text{msfit } M : \mu(F \bar{T}) \rightarrow T'} \end{array}$$

# Reduction

$$(\lambda x.M)M' \longrightarrow M[M'/x]$$

$$\text{mit } M \text{ (in } M') \longrightarrow M \text{ (mit } M) M'$$

$$\text{mrec } M \text{ (in } M') \longrightarrow M (\lambda x.x) (\text{mrec } M) M'$$

$$\text{mcvit } M \text{ (in } M') \longrightarrow M \text{ out (mcvit } M) M'$$

$$\text{mcvrec } M \text{ (in } M') \longrightarrow M (\lambda x.x) \text{ out (mcvrec } M) M'$$

$$\text{out (in } M') \longrightarrow M'$$

$$\text{msfit } M \text{ (in } M') \longrightarrow M \text{ inv (msfit } M) M'$$

$$\text{msfit } M \text{ (inv } M') \longrightarrow M'$$

$$\frac{M \longrightarrow M'}{E[M] \longrightarrow E[M']}$$

$E ::= \dots$



# Outline

- Background & Motivation
- Preliminary Concepts
  - Recursive types (equi/iso, positive/negative)
  - Mendler style iteration/recursion
- Current design of Nax
- **Future work**

# Future Work

- Try to write more interesting examples involving negative datatypes using `msfiter` (e.g., Normalization by Evaluation for a simple calculus)
  - Extend the language from  $F$  rather than  $F_\omega$  (non-regular datatypes, or datatypes of higher kinds)
  - Add indexed types (in spirit of GADTs) and user defined kinds lifted from user defined types
  - Concrete syntax and type checking/inference
- 
- Dependent types and Induction principle? (i.e., dependent version of recursion combinators)
  - Explore more iteration/recursion combinators

# Current status of Nax

**data** Tag = E | O -- values of 1st order type can be lifted to index

flip E = O

flip O = E

**gadt** P : (Tag -> Nat -> \*) -> Tag -> Nat -> \* where

Base : P r {E} {zero}

StepO : r {O} {i} -> P r {E} {succ i}

StepE : r {E} {i} -> P r {O} {succ i}

**type** Proof t n = Mu (Tag -> Nat -> \*) P t n

**type** Even n = Proof {E} n

base = In (Tag -> Nat -> \*) Base

stepO x = In (Tag -> Nat -> \*) (StepO x)

**type** Odd n = Proof {O} n

stepE x = In (Tag -> Nat -> \*) (StepE x)

-- stepProof : Proof {t} {i} -> Proof {flip t} {succ i}

stepProof pf = miter {t i . Proof {flip t} {succ i}} pf

where phi Base = stepE base

phi (StepO p) = stepE(phi p)

phi (StepE p) = stepO(phi p)

-- evenORodd : Vec a {n} -> Either (Even {n}) (Odd {n})

# Mendler style Induction for positive datatypes

$$\begin{aligned} \text{mprec} &:: (\forall r. (r \rightarrow \text{Mu } f) \rightarrow (r \rightarrow a) \rightarrow f\ r \rightarrow a) \rightarrow \text{Mu } f \rightarrow a \\ \text{mprec } \varphi (\text{In } x) &= \varphi \text{ id } (\text{mprec } \varphi) x \end{aligned}$$
$$\begin{aligned} \text{mind} &:: (\forall r. (\text{cast}: r \rightarrow \text{Mu } f) \rightarrow ((x:r) \rightarrow a (\text{cast } x)) \\ &\quad \rightarrow (y:f\ r) \rightarrow a (f\text{map } \text{cast } y)) \rightarrow (z:\text{Mu } f) \rightarrow a\ z \\ \text{mind } \varphi (\text{In } x) &= \varphi \text{ id } (\text{mprec } \varphi) x \end{aligned}$$

- Just as the conventional style, we can define induction as a dependent version of primitive recursion on positive datatypes (note the use of `fmap`)
- We don't know yet how to formulate induction for negative datatypes

# Conclusion

- We want a core language with both normalization and arbitrary recursive types
- We know that this is possible by discovering a new family of Mendler style iteration combinator `msfiter`
- We are designing Nax to realize this idea