

Isomorphisms for context-free types

joint work with Wouter Swierstra

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What is an isomorphism?

Given types A, B , an isomorphism is given by 2 functions

$$\phi \in A \rightarrow B$$

$$\psi \in B \rightarrow A$$

s.t.

$$\psi \circ \phi = \text{id}_A$$

$$\phi \circ \psi = \text{id}_B$$

We say that A and B are **isomorphic** ($A \simeq B$), if there is an isomorphism between them.

Examples:

- $\mathbb{N} \simeq \mathbb{N} + \mathbb{N}$
- $\mathbb{N} \simeq \mathbb{N} \times \mathbb{N}$
- $\mathbb{N} \not\simeq \mathbb{N} \rightarrow \mathbb{N}$

Type variables

Many interesting isomorphisms involve type variables, e.g.

$$\text{List}(1 + X) \simeq \text{List } X \times \text{List}(\text{List } X)$$

Types with variables (no \rightarrow) give rise to functors F :

$$\frac{A \in \mathbf{Type}}{F A \in \mathbf{Type}} \quad \frac{f \in A \rightarrow B}{F f \in F A \rightarrow F B}$$

such that

$$\begin{aligned} F \text{id}_A &= \text{id}_{F A} \\ F(f \circ g) &= F f \circ F g \end{aligned}$$

Isomorphisms with variables

A **natural isomorphism** between functors F, G is given by an assignment:

$$\frac{A \in \mathbf{Type}}{\begin{array}{l} \Phi_A \in F A \rightarrow G A \\ \Psi_A \in G A \rightarrow F A \end{array}}$$

such that

- Φ_A, Ψ_A are an isomorphism between $F A$ and $G A$.
- The assignment is *natural*, for any function $f \in A \rightarrow B$ we have that

$$\begin{aligned} G f \circ \Psi_A &= \Psi_A \circ F f \\ F f \circ \Phi_A &= \Phi_A \circ G f \end{aligned}$$

Exercise: Show that we only need one of the two equations.

We write $F \simeq G$ if there is a natural isomorphism between the functors F, G .

Why study isomorphisms?

- Curry-Howard correspondence:
 - Proofs \sim Programs
 - Propositions \sim Types
 - Logical Equivalence \sim Isomorphism
- We can replace any type in our program by an isomorphic type (change of representation).
- We can replace any type operator by a naturally isomorphic operator.
- When searching for data by type, we may only want to specify the type upto isomorphism.

List (1 + X) \simeq List X \times List (List X)

$\phi :: [\text{Maybe } a] \rightarrow ([a], [[a]])$

$\phi [] = ([], [])$

$\phi (ma : mas) =$

case *ma* **of**

Nothing $\rightarrow ([], as : aas)$

Just a $\rightarrow (a : as, aas)$

where $(as, aas) = \phi mas$

$\psi :: ([a], [[a]]) \rightarrow [\text{Maybe } a]$

$\psi ([], []) = []$

$\psi ([], as : aas) = \text{Nothing} : \psi (as, aas)$

$\psi (b : bs, aas) = \text{Just } b : \psi (bs, aas)$

Naturality? All polymorphic functions definable in Haskell are natural.

How to prove non-isomorphisms?

$\text{List } X \not\cong \text{List } X \times \text{List } X$

What are context-free types?

Given a finite set of parameters P and a finite set of recursive variables X we define the set of context-free types $\text{CF}_X P$ inductively by the following rules:

$$\frac{p \in P}{p \in \text{CF}_X P} \quad \frac{x \in X}{x \in \text{CF}_X P}$$
$$\frac{}{0, 1 \in \text{CF}_X P} \quad \frac{\sigma, \tau \in \text{CF}_X P}{\sigma + \tau \in \text{CF}_X P}$$
$$\frac{\sigma \times \tau \in \text{CF}_X P}{\sigma \times \tau \in \text{CF}_X P}$$
$$\frac{\sigma \in \text{CF}_{X+X} P}{\mu X. \sigma \in \text{CF}_X P}$$

We write $\text{CF } P$ for $\text{CF}_\emptyset P$.

Examples of context-free types

Natural numbers

$$\mathbb{N} = \mu X. 1 + X \in \text{CF } \emptyset$$

Lists

$$\text{List } A = \mu X. 1 + A \times X \in \text{CF } \{A\}$$

Binary trees

$$\begin{aligned} \text{BT } A B &= \\ \mu X. A + B \times X^2 &= \\ \mu X. A + B \times X \times X &\in \text{CF } \{A, B\} \end{aligned}$$

Spine trees

$$\begin{aligned} \text{ST } A B &= \\ \mu X. B \times \text{List } (A \times X) &= \\ \mu X. B \times \mu Y. 1 + (A \times X) \times Y &\in \text{CF } \{A, B\} \end{aligned}$$

Exercise: Show that $\text{BT} \simeq \text{ST}$.

Grammars vs Types

Context-free types	Context-free grammars
parameters	terminal symbols
recursive variables	non-terminal symbols
$\sigma + \tau$	$V + W$
$\sigma \times \tau$	VW
isomorphism (\simeq)	language equivalence (\sim_L) ???

Isomorphism vs. language equivalence

Commutativity of \times

$$\sigma \times \tau \simeq \tau \times \sigma$$

but

$$V \times W \not\sim_L W \times V$$

Idempotence of $+$

$$V + V \sim_L V$$

but

$$\sigma + \sigma \not\sim \sigma$$

Finite sets and multisets

$\mathcal{P}_{<\omega} A$ = finite sets over A

\mathbb{N}^+ = $\mathbb{N} + \{\omega\}$

$\mathcal{M} A$ = finite multi-sets over A

$\mathcal{M}^+ A$ = finite multi-sets using \mathbb{N}^+ instead of \mathbb{N} .

Parsing languages and multisets

Given $\sigma \in \text{CF } P$:

Languages

Parser

$$\llbracket \sigma \rrbracket \in \text{List } P \rightarrow \text{Bool}$$

Partial parser

$$\llbracket \sigma \rrbracket_{\text{partial}}^L \in \text{List } P \rightarrow \mathcal{P}_{<\omega}(\text{List } P)$$

Multisets

Parser

$$\llbracket \sigma \rrbracket^M \in \mathcal{M} P \rightarrow \mathbb{N}^+$$

Partial parser

$$\llbracket \sigma \rrbracket_{\text{partial}}^M \in \mathcal{M} P \rightarrow \mathcal{M}^+(\mathcal{M} P)$$

Relating multisets and types

For simplicity let $P = A$ then $\llbracket \sigma \rrbracket^M \in \mathbb{N} \rightarrow \mathbb{N}^+$.

We can recover the type-theoretic interpretation of σ as a functor

$$\llbracket \sigma \rrbracket^F \in \mathbf{Type} \rightarrow \mathbf{Type}$$

by

$$\llbracket \sigma \rrbracket^F X = \sum_{i \in \mathbb{N}} \llbracket \sigma \rrbracket^M \times X^i$$

Theorem:

$$\llbracket \sigma \rrbracket^F \simeq \llbracket \tau \rrbracket^F \iff \llbracket \sigma \rrbracket^M = \llbracket \tau \rrbracket^M$$

Corollary: Isomorphism of context-free types is semidecidable.

Sketch of the proof

- 1 Define a notion of morphisms between the multi-set interpretation $\mathbb{N} \rightarrow \mathbb{N}^+$ giving rise to a category.
- 2 Show that two objects in this category are isomorphic iff they are equal.
The category is skeletal.
- 3 Every morphism gives rise to a natural transformation between the associated functors.
- 4 Vice versa: every natural transformation gives rise to a morphism.
The interpretation is full and faithful.

- Is isomorphism between context-free types decidable?
- Is isomorphism between regular types (only List instead of μ) decidable?
- Have Kleene algebras with commutative \times and non-idempotent $+$ been studied?