

Abstract Interpretations For Free. Introduction

Kevin Backhouse and Roland Backhouse
September 2001

Outline

- Abstract Interpretation
- Theorems For Free
- (Generic) Galois Connections
- Safety is “For Free”
- Discussion

Abstract Interpretation

Abstract interpretation is a technique for approximating the execution behaviour of a computer program.

For example, abstract interpretation aims to answer questions like

is $231 \times 15 \times 44 \times 26 \times 179 \times 182$ even? and

is $231 \times 15 \times 44 \times 26 \times 179 \times 182$ divisible by six?

without performing the multiplications.

NB The examples are *deliberately* simple.

Even

$231 \times 15 \times 44 \times 26 \times 179 \times 182$ is even

\equiv

$F \vee F \vee T \vee T \vee F \vee T$

- Interpret each number as true or false depending on whether the number is even or not.
- Interpret multiplication as logical “or”.
- In this case, the result is *exact*.

Divisible by Six

$231 \times 15 \times 44 \times 26 \times 179 \times 182$ is divisible by six

⇐

$F \vee F \vee F \vee F \vee F \vee F$

- Interpret each number as true or false depending on whether the number is divisible by six or not.
- Interpret multiplication as logical “or”.
- In this case, the result is *safe* in the sense that a *true* answer can be relied upon.

Abstract interpretations are typically used to give *safe* answers to difficult computations.

Theorems-For-Free (Reynolds, Wadler)

Example: the function *fold* has type

$$a \leftarrow List.a \times a \times (a \leftarrow a \times a)$$

for all instances of the type parameter *a*.

From this type, a “theorem-for-free” is that, for all lists of integers *ms* and all integers *m*,

$$even.fold(ms, m, (\times)) \equiv fold(List.even.ms, even.m, (\vee)) .$$

Another “theorem-for-free” is that, for all lists of integers *ms* and all integers *m*,

$$divbleby6.fold(ms, m, (\times)) \equiv fold(List.divbleby6.ms, divbleby6.m, (\otimes))$$

if there is some “abstract interpretation” \otimes of multiplication such that, for all integers *m* and *n*,

$$divbleby6.(m \times n) \equiv divbleby6.m \otimes divbleby6.n .$$

But no such function exists.

Relation on Functions

Definition

$$(f, g) \in R \leftarrow S \quad \equiv \quad \langle \forall u, v :: (f.u, g.v) \in R \Leftarrow (u, v) \in S \rangle$$

In words, f and g map S -related values to R -related values.

$$(fold, fold) \in \langle \forall a :: a \leftarrow List.a \times a \times (a \leftarrow a \times a) \rangle .$$

In particular,

$$(fold, fold) \in dk \leftarrow List.dk \times dk \times (dk \leftarrow dk \times dk)$$

where, for all m ,

$$dk.m \equiv k \setminus m .$$

That is, for all ms, m, \otimes, bs, b and \odot ,

$$dk.fold(ms, m, \otimes) = fold(bs, b, \odot)$$

\Leftarrow

$$bs = List.dk.ms \wedge b = dk.m \wedge \langle \forall m, n :: dk.(m \otimes n) = dk.m \odot dk.n \rangle .$$

More Examples

Recall

$$(f, g) \in R \leftarrow S \quad \equiv \quad \langle \forall u, v :: (f.u, g.v) \in R \Leftarrow (u, v) \in S \rangle .$$

Example 1:

$$(f, g) \in \sqsubseteq \leftarrow \preceq \quad \equiv \quad \langle \forall u, v :: f.u \sqsubseteq f.v \Leftarrow u \preceq v \rangle .$$

Note that, if f and g are monotonic then, after some simplification:

$$(f, g) \in \sqsubseteq \leftarrow \preceq \quad \equiv \quad \langle \forall u :: f.u \sqsubseteq g.u \rangle .$$

Thus, $\sqsubseteq \leftarrow \preceq$ is the usual *pointwise-ordering* on monotonic functions.

Example 2: For functions h and k ,

$$(f, g) \in h \leftarrow k^{\cup} \quad \equiv \quad f = h \bullet g \bullet k .$$

Galois Connections And Pair Algebras

We say that the pair of functions (f, f^\sharp) is a *Galois connection between the posets* $(\mathcal{A}, \sqsubseteq)$ and (\mathcal{B}, \preceq) if $f \in \mathcal{A} \leftarrow \mathcal{B}$ and $f^\sharp \in \mathcal{B} \leftarrow \mathcal{A}$ and, for all x in \mathcal{B} and all y in \mathcal{A} ,

$$f.x \sqsubseteq y \equiv x \preceq f^\sharp.y .$$

A binary relation R on the posets $(\mathcal{A}, \sqsubseteq)$ and (\mathcal{B}, \preceq) is called a *pair algebra* if there are functions $f \in \mathcal{A} \leftarrow \mathcal{B}$ and $f^\sharp \in \mathcal{B} \leftarrow \mathcal{A}$ such that, for all x in \mathcal{B} and all y in \mathcal{A} ,

$$(y, x) \in R \equiv f.x \sqsubseteq y$$

and

$$(y, x) \in R \equiv x \preceq f^\sharp.y .$$

Example — Divisible by k

For all (strictly) positive integers k and m and all booleans b ,

$$k \setminus m \Leftarrow b \equiv m / (\text{if } b \text{ then } k \text{ else } 1) .$$

(Read $k \setminus m$ as “ k divides m ” and m / k as “ m is divisible by k ”.)

This is a Galois connection between the function $k \setminus$ (denoted by dk earlier) and the function mapping b to **if b then k else 1**.

The partial orderings are $(\mathit{Bool}, \Leftarrow)$ and $(\mathit{PosInt}, /)$.

The pair algebra P relates boolean b to positive integer m exactly when $k \setminus m \Leftarrow b$.

$$(b, m) \in P \equiv k \setminus m \Leftarrow b .$$

Recall

$$(fold, fold) \in \langle \forall a :: a \leftarrow List.a \times a \times (a \leftarrow a \times a) \rangle$$

$$k \setminus m \Leftarrow b \equiv m / (\mathbf{if\ } b \mathbf{\ then\ } k \mathbf{\ else\ } 1) .$$

Define

$$dk.m = k \setminus m \text{ and } kd.b = \mathbf{if\ } b \mathbf{\ then\ } k \mathbf{\ else\ } 1 .$$

Then

$$dk.m \Leftarrow b \equiv m / kd.b .$$

Extend (dk, kd) to type of $fold$:

$$DK = dk \leftarrow List.kd^u \times kd^u \times (kd^u \leftarrow dk \times dk)$$

and

$$KD = kd \leftarrow List.dk^u \times dk^u \times (dk^u \leftarrow kd \times kd) .$$

Extension of Galois adjoints dk and kd

$$DK = dk \leftarrow List.kd^U \times kd^U \times (kd^U \leftarrow dk \times dk)$$

$$KD = kd \leftarrow List.dk^U \times dk^U \times (dk^U \leftarrow kd \times kd)$$

Theorem The pair (DK, KD) is a Galois connection between functions of type

$$Bool \leftarrow List.Bool \times Bool \times (Bool \leftarrow Bool \times Bool)$$

(ordered pointwise by \Leftarrow) and functions of type

$$PosInt \leftarrow List.PosInt \times PosInt \times (PosInt \leftarrow PosInt \times PosInt)$$

(ordered pointwise by $/$).

Recall: pair algebra

$$(b, m) \in P \equiv k \backslash m \Leftarrow b .$$

Equivalently:

$$(b, m) \in P \equiv dk.m \Leftarrow b .$$

Theorem-For-Free obtained by instantiating type parameter a to P

$$(fold, fold) \in P \leftarrow List.P \times P \times (P \leftarrow P \times P) .$$

That is, for all ms, m , and monotonic \otimes and \odot ,

$$dk.fold(ms, m, \otimes) \Leftarrow fold(List.dk.ms, dk.m, \odot)$$

\Leftarrow

$$\langle \forall m, n :: dk.(m \otimes n) \Leftarrow dk.m \odot dk.n \rangle .$$

Safety is “For Free”

Given a parametrically polymorphic function f of type $\langle \forall a :: t \rangle$ where $t = u \leftarrow v$ and a collection of Galois connections (abs_a, con_a) between the posets $(\mathcal{A}_a, \sqsubseteq_a)$ and $(\mathcal{B}_a, \preceq_a)$ (one for each type parameter) we

- extend the Galois connection to (abs_t, con_t) between the posets $(\mathcal{A}_t, \sqsubseteq_t)$ and $(\mathcal{B}_t, \preceq_t)$
- extend the corresponding pair algebras P_a to a pair algebra P_t .

Theorem For Free $(f, f) \in P_t$

Our Theorem $\preceq_t \cdot (abs_t)^{\cup} \subseteq P_t \subseteq (abs_t)^{\cup} \cdot \sqsubseteq_t$

Safety Corollary $abs_u \cdot f \sqsubseteq_u \leftarrow \preceq_v f \cdot abs_v$

Discussion

- Calculate abstract interpretations.
- Safety “for free”.
- Effectiveness maximised by maximising parametricity.