

# **An Introduction to Type Theory**

Thorsten Altenkirch  
University of Nottingham

# Proposition

**Proposition:** There are two irrational numbers  $a, b$  s.t.  $a^b$  is rational.

*Prove the proposition!*

**Hints:**

- We know that  $\sqrt{2}$  is irrational.
- $(a^b)^c = a^{bc}$

# A proof ?!

$\sqrt{2}^{\sqrt{2}}$  is rational. We are done with  $a = b = \sqrt{2}$ .

$\sqrt{2}^{\sqrt{2}}$  is irrational. Now consider  $a = \sqrt{2}^{\sqrt{2}}$  and  $b = \sqrt{2}$ .

$$\begin{aligned} a^b &= (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} \\ &= \sqrt{2}^{(\sqrt{2}\sqrt{2})} \\ &= \sqrt{2}^2 \\ &= 2 \end{aligned}$$

# but ...

**Exercise:**

Write down 2 irrational numbers  $a, b$  s.t.  $a^b$  is rational!

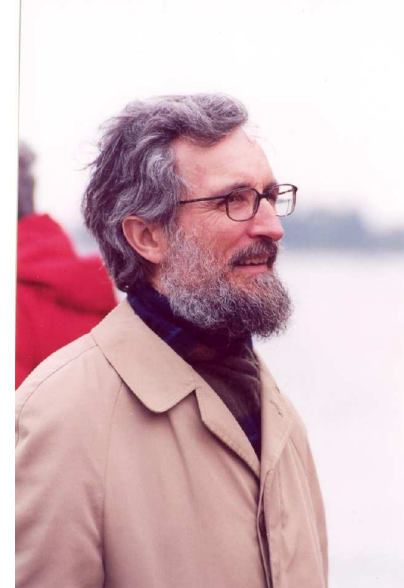
# Constructive Reasoning

- The proof we have given is non-constructive.
- Even though we have proven a statement of the form  $\exists x.P(x)$ , we cannot name an individual  $a$  such that  $P(a)$  holds.
- In *classical logic* the following equivalence holds

$$\exists x.P(x) \iff \neg\forall x.\neg P(x)$$

- Constructively, we want to make a difference between  $\exists x.P(x)$  We are able to *calculate* an  $a$  such that  $P(a)$  holds.  
 $\neg\forall x.\neg P(x)$  We know that it is not the case that  $P$  is false everywhere.

# Type Theory



- Developed by Per Martin-Löf since 1972 as a constructive foundation of Mathematics.
- At the same time a set theory and a programming language.
- Basis for a number of *Computer Aided Formal Reasoning* systems:  
NuPRL, LEGO, COQ, ALF, ...
- Dependent types for programming

# Plan of the course

1. Intuitionistic logic from a type theoretic perspective  
(I use *constructive* and *intuitionistic* synonymously.)
2. Basic constructions of Type Theory
3. An extended example of a formal development:  
Normalisation by evaluation
4. Programming with dependent types

# Today

## Intuitionistic logic from a type theoretic perspective

- Proof by pattern matching and by elimination
- Propositional logic, constructively
- Predicate Logic, constructively
- Classical vs. intuitionistic logic



# Propositions as types

We introduce a judgement

$$a \in A$$

meaning that  $a$  is a proof of the proposition  $A$ .  
We also have a judgement

$$A \in \text{Prop}$$

meaning that  $A$  is a proposition.

# And (Conjunction)

How to form a conjunction?

$$\frac{A \in \text{Prop} \quad B \in \text{Prop}}{A \wedge B \in \text{Prop}}$$

How to prove a conjunction?

$$\frac{a \in A \quad b \in B}{(a, b) \in A \wedge B}$$

$(a, b)$  is a canonical proof.

# Or (Disjunction)

How to form a disjunction?

$$\frac{A \in \text{Prop} \quad B \in \text{Prop}}{A \wedge B \in \text{Prop}}$$

How to prove a disjunction?

$$\frac{a \in A}{\text{inl } a \in A \vee B} \quad \frac{b \in B}{\text{inr } b \in A \vee B}$$

*inl*  $a$ , *inr*  $b$  are canonical proofs.

# If, (Implication)

How to form an implication?

$$\frac{A \in \text{Prop} \quad B \in \text{Prop}}{A \rightarrow B \in \text{Prop}}$$

How to prove an implication?

$$\frac{\begin{array}{c} x \in A \\ \vdots \\ b \in B \end{array}}{\lambda x \in A. b \in A \rightarrow B}$$

$\lambda x \in A. b$  is a canonical proofs.

# Notation

We will omit type annotations when they are clear from the context, i.e. instead of

$$\lambda x \in A. b$$

we may just write

$$\lambda x. b$$

# Non-canonical proofs

How do we prove

$$A \vee (B \wedge C) \rightarrow (A \vee B) \wedge (A \vee C)?$$

(given  $A, B, C \in \text{Prop}$ )

We have to introduce a notion of *non-canonical proofs*:

**elimination constants** the traditional approach,  
easy to formalize, but hard to use.

**pattern matching** suggested by Thierry Coquand in 1992,  
more intuitive, but tricky metatheory.

# Proof by pattern matching

$$f \in AV(B \wedge C) \rightarrow (AVB) \wedge (AVC)$$

where

$$\begin{aligned} f(\text{inl } a) &= (\text{inl } a, \text{inl } a) \\ f(\text{inr } (b, c)) &= (\text{inr } b, \text{inr } c) \end{aligned}$$

# Pattern matching

- We start with a trivial pattern

$$f x_1 \dots x_n = ?$$

- We may develop our pattern according to the following rules:
  - If a pattern variable  $x$  has type  $A \wedge B$  we can replace it by  $(x_1, x_2)$  where  $x_1 \in A, x_2 \in B$  are fresh variables.
  - If a pattern variable  $x$  has type  $A \vee B$  we can split the line into two, replacing  $x$  by  $\text{inl}x_1$  in the first line and by  $\text{inr}x_2$  in the second, where  $x_1 \in A, x_2 \in B$  are fresh variables.
- Finally, we fill in all our right hand sides with canonical terms which may use variables introduced in the left hand side.
- We will not use recursion in the moment (but later).



# Elimination for $\rightarrow$

We didn't introduce any pattern matching rules for  $\rightarrow$ .  
Instead we introduce application:

$$\frac{f \in A \rightarrow B \quad a \in A}{f a \in B}$$

where

$$(\lambda x. b) a = b[x \leftarrow a]$$

Here  $b[x \leftarrow a]$  means that all *free occurrences* of  $x$  in  $b$  are replaced by  $a$  (capture avoiding).

# Elimination constants for $\wedge$ and $\vee$

- Instead of using pattern matching, we may introduce *elimination constants*.
- These are special cases of pattern matching.
- Important principle: *Equivalence of pattern matching and elimination*  
All the proofs we can do with pattern matching can be done using the elimination constants.
- This way *pattern matching* can be reduced to elimination.
- While we move to more interesting systems it becomes more subtle to maintain this property.

# Elimination constants $\wedge$

$$\frac{p \in A \wedge B}{\text{fst}p \in A} \quad \frac{p \in A \wedge B}{\text{snd}p \in B}$$

where

$$\begin{aligned} \text{fst}(a,b) &= a \\ \text{snd}(a,b) &= b \end{aligned}$$

# Elimination constants $\vee$

$$\frac{p \in A \vee B \quad f \in A \rightarrow C \quad g \in B \rightarrow C}{\text{case } p \ f \ g \in C}$$

where

$$\text{case } (\text{inl } a) \ f \ g = f \ a$$

$$\text{case } (\text{inr } b) \ f \ g = g \ b$$

# Proof using elimination constants

$$\lambda p. \text{case } p (\lambda x. (\text{inl } x, \text{inl } x)) (\lambda y (\text{inr } (\text{fst } y), \text{inr } (\text{snd } y))) \\ \in AV(B \wedge C) \rightarrow (AVB) \wedge (AVC)$$

# Example: commutativity of $\vee$

$$\text{orCom} \in A \vee B \rightarrow B \vee A$$

where

$$\text{orCom} (\text{inl } a) = \text{inr } a$$

$$\text{orCom} (\text{inr } b) = \text{inl } b$$

# Define $\leftrightarrow$

$$A \leftrightarrow B = (A \rightarrow B) \wedge (B \rightarrow A)$$

# Example: associativity of $\wedge$

$$\begin{aligned}\text{andAss} &\in (A \vee B) \vee C \leftrightarrow A \vee (B \vee C) \\ \text{andAssL} &\in (A \vee B) \vee C \rightarrow A \vee (B \vee C) \\ \text{andAssR} &\in A \vee (B \vee C) \rightarrow (A \vee B) \vee C\end{aligned}$$

where

$$\begin{aligned}\text{andAss} &= (\text{andAssL}, \text{andAssR}) \\ \text{andAssL} ((a, b), c) &= (a, (b, c)) \\ \text{andAssR} (a, (b, c)) &= ((a, b), c)\end{aligned}$$



# False, True

- We haven't yet introduced `False`, `True`.
- Canonical proof `triv`  $\in$  `True`
- There is no canonical proof for `False`!

# Pattern matching for True, False

- A pattern variable of type `True` can be replaced by `triv`.  
*Yes, this is useless!*
- If we have a pattern variable of type `False` we can delete the line.

# Elimination constants for True, False

There is no elimination constant for `True`

$$\frac{p \in \text{False}}{\text{caseF } p \in a}$$

# Define $\neg$

$$\neg A = A \rightarrow \text{False}$$

# Predicate logic

- Since we are doing things type-theoretically, we introduce typed predicate logic.
- We introduce the judgements

$$S \in \text{Type}$$

for  $s$  is a type, and

$$s \in S$$

for  $s$  us an element of type  $S$ .

- Given types  $S_1, \dots, S_n$  we write

$$P \in S_1 \rightarrow S_2 \dots S_n \rightarrow \text{Prop}$$

for  $n$  – *ary* predicates.

We use  $\lambda$  abstraction to define and application to apply predicates.

# Universal quantification: $\forall$

How to form?

$$\frac{S \in \text{Type} \quad \begin{array}{c} x \in S \\ \vdots \\ P \in \text{Prop} \end{array}}{\forall x \in S. P \in \text{Prop}}$$

How to prove?

$$\frac{\begin{array}{c} x \in S \\ \vdots \\ p \in P \end{array}}{\lambda x \in A. p \in \forall x \in S. P}$$

How to use?

$$\frac{f \in \forall x \in S. P \quad s \in S}{f s \in P[x \leftarrow s]}$$

# Example

$$\text{allAnd} \in (\forall x \in S. P x \wedge Q x) \rightarrow (\forall x \in S. (P x)) \wedge (\forall x \in S. Q x)$$

# Existential quantification: $\exists$

How to form?

$$\frac{S \in \text{Type} \quad \begin{array}{c} x \in S \\ \vdots \\ P \in \text{Prop} \end{array}}{\exists x \in S. P \in \text{Prop}}$$

How to prove?

$$\frac{s \in S \quad p \in P}{(s, p) \in \exists x \in S. P}$$



# Can we prove...

**Excluded middle** *Tertium non datur* (TND)

$$A \vee \neg A$$

**Proof by contradiction** *Reductio ad absurdo* (RAA)

$$\neg \neg A \rightarrow A$$

?

$$A \vee \neg A$$

- Since  $A \vee \neg A$  is not an implication we have to provide a canonical proof.
- Hence we have to use `inl` or `inr`.
- But which one?

$$A \rightarrow \neg \neg A$$

- We can prove  $A \rightarrow \neg \neg A$
- To prove  $\neg \neg A \rightarrow A$  we have to prove a *positive* formula  $A$  from a *negative* formula  $\dots \rightarrow \text{False}$ .
- This is not possible by the principle of *entropy*:  
**positive formula** contain information  
**negative formula** contain no information

# Classical principles

- Both principle (TND), (RAA) are not provable constructively.
- **Exercise:** Show that both are equivalent.
- Constructive logic + TND (or RAA) = classical logic.