

# Proving termination using dependent types: the case of xor-terms

J.-F. Monin   J. Courant

VERIMAG  
Grenoble, France

Trends in Functional Programming, Nottingham, 2006

## Motivation

Crypto. syst.  
State of the art  
Back to crypto  
Solving strategies

## Solution (intuitive)

Basic idea  
Analyse of  $\mathcal{T}$   
Decomposing  $\mathcal{T}$   
Stratification

## Issues

Lifting  
Alternation  
Fake incl  
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- ▶ Protocols
- ▶ Security APIs

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Xor is ubiquitous

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Examples from a security API called CCA  
(Common Cryptographic Architecture):

$$x, y, \{z\}_{x \oplus KP \oplus KM} \mapsto \{z \oplus y\}_{x \oplus KP \oplus KM}$$

$$x, y, \{z\}_{x \oplus KP \oplus KM} \mapsto \{z \oplus y\}_{x \oplus KM}$$

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Reasoning involves:

Commutativity:	$x \oplus y \simeq y \oplus x$
Associativity:	$(x \oplus y) \oplus z \simeq x \oplus (y \oplus z)$
Neutral element:	$x \oplus 0 \simeq x$
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We are given

- ▶ A type of terms  $\mathcal{T}$  with constructors  $C_k$ :

Inductive  $\mathcal{T}$ :  $\text{Set} :=$

|  $C_1 : \mathcal{T}$

⋮

|  $C_k : \dots \rightarrow \mathcal{T} \dots \rightarrow \mathcal{T} \dots \rightarrow \mathcal{T}$

⋮

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- ▶ A congruence  $\simeq : \mathcal{T} \rightarrow \mathcal{T} \rightarrow \text{Prop}$

- ▶ For each constructor  $C_k$

$\forall a, \dots x_1, y_1, b, \dots x_2, y_2, \dots c,$

$x_1 \simeq y_1 \rightarrow x_2 \simeq y_2 \rightarrow$

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- ▶ specific laws, e.g.  $\forall xy, C_2 x C_1 y \simeq C_2 y x$

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- ▶ specific laws, e.g.  $\forall xy, C_2 x C_1 y \simeq C_2 y x$

We want to reason on  $\mathcal{T}$  up to  $\simeq$

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# Already well-known examples

- finite bags represented by finite lists

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- ▶ finite bags represented by finite lists
- ▶ algebra of formal arithmetic expressions

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# Already well-known examples

- ▶ finite bags represented by finite lists
- ▶ algebra of formal arithmetic expressions
- ▶ (mobile) process calculi, chemical abstract machines

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# Already well-known examples

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- ▶ finite bags represented by finite lists
- ▶ algebra of formal arithmetic expressions
  - $+$  is associative, commutative, 0 is neutral
  - $\times$  is associative, commutative, 1 is neutral
  - $\times$  distributes over  $+$
- ▶ (mobile) process calculi, chemical abstract machines
  - parallel composition and choice operators are AC

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# Quotients in type theory

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- ▶ High level approach : setoids

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► High level approach : setoids

► Explicit approach :

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- ▶ High level approach : setoids
- ▶ Explicit approach :
  - ▶ Define a normalization function  $N$  on  $\mathcal{T}$

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- ▶ High level approach : setoids
- ▶ Explicit approach :
  - ▶ Define a normalization function  $N$  on  $\mathcal{T}$
  - ▶ Compare terms using syntactic equality on their norms :  
 $x \simeq y$  iff  $Nx = Ny$

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# Cryptographic systems need more

Reasoning on such systems involves

- ▶ comparing terms up to AC + involutivity of  $\oplus$ :

Commutativity:  $x \oplus y \simeq y \oplus x$

Associativity:  $(x \oplus y) \oplus z \simeq x \oplus (y \oplus z)$

Neutral element:  $x \oplus 0 \simeq x$

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- ▶ but  $y$  does **not** occur in  $x \oplus y \oplus z \oplus y$

$x \preceq y$  if  $x \simeq y$

$x \preceq t$  if  $t \simeq x \oplus y_0 \dots \oplus y_n$

and  $x \not\simeq y_i$  for all  $i$ ,  $0 \leq i \leq n$

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$$\text{and } x \not\simeq y_i \text{ for all } i, 0 \leq i \leq n$$

→ normalization is needed!

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# First attempt: rewrite, rewrite, rewrite...

## Replace equations with rewrite rules

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Replace equations with rewrite rules

Commutativity: find an suitable well ordering on terms

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# First attempt: rewrite, rewrite, rewrite...

Replace equations with rewrite rules

Commutativity: find an suitable well ordering on terms

Functional programming approach:

- Not very difficult – use **general recursion**

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Functional programming approach:

- ▶ Not very difficult – use **general recursion**
- ▶ Just boring

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Replace equations with rewrite rules

Commutativity: find an suitable well ordering on terms

Functional programming approach:

- ▶ Not very difficult – use **general recursion**
- ▶ Just boring

In a type theoretic framework, termination proof mandatory and non-trivial:

- ▶ combination of polynomial and lexicographic ordering

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Functional programming approach:

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In a type theoretic framework, termination proof mandatory and non-trivial:

- ▶ combination of polynomial and lexicographic ordering
- ▶ other approaches (lpo, rpo,...): overkill?

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Replace equations with rewrite rules

Commutativity: find an suitable well ordering on terms

Functional programming approach:

- ▶ Not very difficult – use **general recursion**
- ▶ Just boring

In a type theoretic framework, termination proof mandatory and non-trivial:

- ▶ combination of polynomial and lexicographic ordering
- ▶ other approaches (lpo, rpo,...): overkill?
- ▶ AC matching: a non trivial matter

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## Step 1

- Consider a more structured version of  $t$

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- Consider a more structured version of  $t$

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# (Dependent) type theoretic approach

## Step 1

- ▶ Consider a more structured version of  $t$   
= provide an accurate and informative typing to  $t$

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## Step 1

- ▶ Consider a more structured version of  $t$   
= provide an accurate and informative typing to  $t$

## Step 2

- ▶ Normalize by structural induction on the newly typed version of  $t$

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## Step 1

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# (Dependent) type theoretic approach

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## Step 1

- ▶ Consider a more structured version of  $t$   
= provide an accurate and informative typing to  $t$

## Step 2

- ▶ Normalize by structural induction on the newly typed version of  $t$

Step 1 makes step 2 easy.

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## Step 1

- ▶ Consider a more structured version of  $t$   
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Step 1 makes step 2 easy.

Better formulation:  $t : \mathcal{T}$  transformed into  $t' : \mathcal{T}'$   
 $\mathcal{T}'$  enriched version of  $\mathcal{T}$ ,  
trivial forgetful morphism  $\mathcal{T}' \rightarrow \mathcal{T}$ .

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# (Dependent) type theoretic approach

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## Step 1

- ▶ Consider a more structured version of  $t$   
= provide an accurate and informative typing to  $t$

## Step 2

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Step 1 makes step 2 easy.

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 $\mathcal{T}'$  enriched version of  $\mathcal{T}$ ,  
trivial forgetful morphism  $\mathcal{T}' \rightarrow \mathcal{T}$ .

Interesting part =  $\mathcal{T} \rightarrow \mathcal{T}'$

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# Lunch time!



## Proving termination using dependent types

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## Lasagnas reveal the truth



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## Lasagnas reveal the truth



- ▶ layering a term

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# $\mathcal{T}$ as a lasagna

Inductive  $\mathcal{T}$ : Set :=

| *Zero*:  $\mathcal{T}$   
| *PC*: *public\_const*  $\rightarrow \mathcal{T}$       | *SC*: *secret\_const*  $\rightarrow \mathcal{T}$   
| *E*:  $\mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$   
| *Xor*:  $\mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$   
| *Hash*:  $\mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$ .

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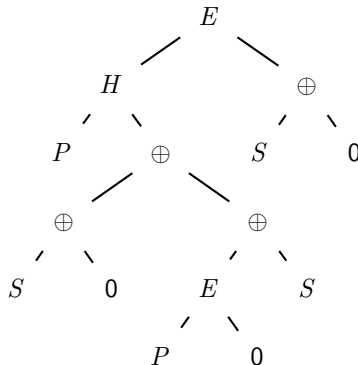
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## $\mathcal{T}$ as a lasagna

Inductive  $\mathcal{T}$ : Set :=

| Zero:  $T$ 
$$PC: public\_const \rightarrow \mathcal{T} \quad | \quad SC: secret\_const \rightarrow \mathcal{T}$$
$$| E: \mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$$
$$| \text{Xor}: \mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$$
$$| \text{Hash}: \mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}.$$


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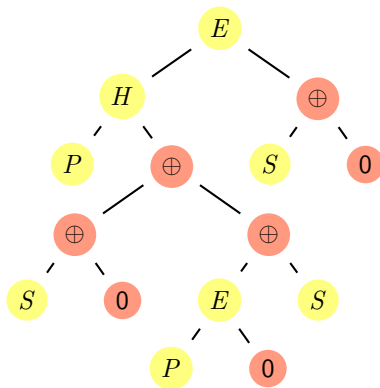
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# $\mathcal{T}$ as a lasagna

Inductive  $\mathcal{T}$ : Set :=

|  $Zero: \mathcal{T}$   
|  $PC: public\_const \rightarrow \mathcal{T}$       |  $SC: secret\_const \rightarrow \mathcal{T}$   
|  $E: \mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$   
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|  $Hash: \mathcal{T} \rightarrow \mathcal{T} \rightarrow \mathcal{T}$ .



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# Decomposing $\mathcal{T}$

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J.-F. Monin,  
J. Courant

Inductive  $\mathcal{T}_x : \text{Set} :=$

|  $X\_Zero : \mathcal{T}_x$   
|  $X\_Xor : \mathcal{T}_x \rightarrow \mathcal{T}_x \rightarrow \mathcal{T}_x$

Inductive  $\mathcal{T}_n : \text{Set} :=$

|  $NX\_PC : \text{public\_const} \rightarrow \mathcal{T}_n$   
|  $NX\_SC : \text{secret\_const} \rightarrow \mathcal{T}_n$   
|  $NX\_E : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$   
|  $NX\_Hash : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$

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# Decomposing $\mathcal{T}$

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J.-F. Monin,  
J. Courant

Variable  $A$  : Set.

Inductive  $\mathcal{T}_x : \text{Set} :=$

- |  $X\_Zero : \mathcal{T}_x$
- |  $X\_Xor : \mathcal{T}_x \rightarrow \mathcal{T}_x \rightarrow \mathcal{T}_x$
- |  $X\_ns : A \rightarrow \mathcal{T}_x$

Inductive  $\mathcal{T}_n : \text{Set} :=$

- |  $NX\_PC : \text{public\_const} \rightarrow \mathcal{T}_n$
- |  $NX\_SC : \text{secret\_const} \rightarrow \mathcal{T}_n$
- |  $NX\_E : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$
- |  $NX\_Hash : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$
- |  $NX\_sum : A \rightarrow \mathcal{T}_n$

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# Stratifying and normalizing a term

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J.-F. Monin,  
J. Courant

**Step 1** Translate a term  $t$  into  $t'$  according to the mapping  
 $0 \mapsto X\_Zero$ ,  $Xor \mapsto X\_Xor$ ,  $PC \mapsto NX\_PC$ , etc.

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# Stratifying and normalizing a term

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J.-F. Monin,  
J. Courant

**Step 1** Translate a term  $t$  into  $t'$  according to the mapping  
 $0 \mapsto X\_Zero$ ,  $Xor \mapsto X\_Xor$ ,  $PC \mapsto NX\_PC$ , etc.

**Step 2** A type is **sortable** if it is equipped with a decidable equality and a decidable total ordering. If  $A$  is sortable, then

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# Stratifying and normalizing a term

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J.-F. Monin,  
J. Courant

**Step 1** Translate a term  $t$  into  $t'$  according to the mapping  
 $0 \mapsto X\_Zero$ ,  $Xor \mapsto X\_Xor$ ,  $PC \mapsto NX\_PC$ , etc.

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- ▶  $\mathcal{T}_n(A)$  is sortable as well;

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# Stratifying and normalizing a term

Proving  
termination  
using dependent  
types

J.-F. Monin,  
J. Courant

**Step 1** Translate a term  $t$  into  $t'$  according to the mapping  
 $0 \mapsto X\_Zero$ ,  $Xor \mapsto X\_Xor$ ,  $PC \mapsto NX\_PC$ , etc.

**Step 2** A type is **sortable** if it is equipped with a decidable equality and a decidable total ordering. If  $A$  is sortable, then

- ▶  $\mathcal{T}_n(A)$  is sortable as well;
- ▶ the multiset of  $A$ -leaves of a  $\mathcal{T}_x(A)$ -term can be sorted (and removed when possible) into a list;

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$\mathcal{L}_x k \stackrel{\text{def}}{=} \underbrace{\mathcal{I}_x(\mathcal{I}_n(\mathcal{I}_x(\dots(\emptyset))))}_{k \text{ layers}}$  for  $k$  large enough.

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# Lifting lasagna

$\mathcal{L}_x k \stackrel{\text{def}}{=} \underbrace{\mathcal{I}_x(\mathcal{I}_n(\mathcal{I}_x(\dots(\emptyset))))}_{k \text{ layers}}$  for  $k$  large enough.

► What is  $k$ ?

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$\mathcal{L}_x k \stackrel{\text{def}}{=} \underbrace{\mathcal{I}_x(\mathcal{I}_n(\mathcal{I}_x(\dots(\emptyset))))}_{k \text{ layers}}$  for  $k$  large enough.

- ▶ What is  $k$ ?
- ▶ The number of layers on the left subterm and on the right subterm are different in general.

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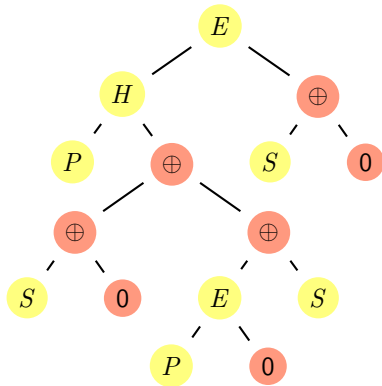
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# Lifting lasagna

$$\mathcal{L}_x k \stackrel{\text{def}}{=} \underbrace{\mathcal{T}_x(\mathcal{T}_n(\mathcal{T}_x(\dots(\emptyset))))}_{k \text{ layers}} \text{ for } k \text{ large enough.}$$

- ▶ What is  $k$ ?
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Take the max

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- ▶ Standard solution:  $\{le\ n\ m\} + \{le\ m\ n\}$

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- ▶ Standard solution:  $\{\text{le } n \ m\} + \{\text{le } m \ n\}$ 
  - ▶ interactive definition, large proof term

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Take the max

- ▶ Standard solution:  $\{\text{le } n \ m\} + \{\text{le } m \ n\}$ 
  - ▶ interactive definition, large proof term
  - ▶ heavy encoding of  $m - n$  or  $n - m$

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- ▶ Standard solution:  $\{\text{le } n \ m\} + \{\text{le } m \ n\}$ 
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  - ▶ heavy encoding of  $m - n$  or  $n - m$
  - ▶ need to lift  $\mathcal{L}_x n$  and  $\mathcal{L}_x m$  to  $\mathcal{L}_x (\max \ n \ m)$

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  - ▶ need to lift  $\mathcal{L}_x n$  and  $\mathcal{L}_x m$  to  $\mathcal{L}_x (\max \ n \ m)$
- ▶ Lightweight approach:  $\max \ n \ m \stackrel{\text{def}}{=} m + (n - m)$

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  - ▶ need to lift  $\mathcal{L}_x n$  and  $\mathcal{L}_x m$  to  $\mathcal{L}_x (\max n \ m)$
- ▶ Lightweight approach:  $\max n \ m \stackrel{\text{def}}{=} m + (n - m)$ 
  - ▶  $\text{lift}_x : \mathcal{L}_x k \rightarrow \mathcal{L}_x (k + d)$ ,  $\text{lift}_n : \mathcal{L}_n k \rightarrow \mathcal{L}_n (k + d)$

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  - ▶ heavy encoding of  $m - n$  or  $n - m$
  - ▶ need to lift  $\mathcal{L}_x n$  and  $\mathcal{L}_x m$  to  $\mathcal{L}_x (\max \ n \ m)$
- ▶ Lightweight approach:  $\max \ n \ m \stackrel{\text{def}}{=} m + (n - m)$ 
  - ▶  $\text{lift}_x : \mathcal{L}_x k \rightarrow \mathcal{L}_x (k + d)$ ,  $\text{lift}_n : \mathcal{L}_n k \rightarrow \mathcal{L}_n (k + d)$
  - ▶ No need to prove that **max** is the max.

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Well designed types help us to design programs

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Well designed types help us to design programs

Many functions are defined by mutual induction,  
e.g.  $lift_x$  and  $lift_n$

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Well designed types help us to design programs

Many functions are defined by mutual induction,  
e.g.  $lift_x$  and  $lift_n$

Control them using alternating natural numbers

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Well designed types help us to design programs

Many functions are defined by mutual induction,  
e.g.  $lift_x$  and  $lift_n$

Control them using alternating natural numbers

Inductive  $alt_{even}$ :  $Set :=$

|  $0_e$ :  $alt_{even}$

|  $S_{o \rightarrow e}$ :  $alt_{odd} \rightarrow alt_{even}$

with  $alt_{odd}$ :  $Set :=$

|  $S_{e \rightarrow o}$ :  $alt_{even} \rightarrow alt_{odd}$

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Inductive  $\mathcal{T}_x$ :  $\text{Set} :=$

- |  $X\_Zero : \mathcal{T}_x$
- |  $X\_ns : A \rightarrow \mathcal{T}_x$
- |  $X\_Xor : \mathcal{T}_x \rightarrow \mathcal{T}_x \rightarrow \mathcal{T}_x$

Inductive  $\mathcal{T}_n$ :  $\text{Set} :=$

- |  $NX\_PC : \text{public\_const} \rightarrow \mathcal{T}_n$
- |  $NX\_SC : \text{secret\_const} \rightarrow \mathcal{T}_n$
- |  $NX\_sum : A \rightarrow \mathcal{T}_n$
- |  $NX\_E : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$
- |  $NX\_Hash : \mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$

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Inductive  $\mathcal{T}_x$ :  $\text{Set} :=$

|  $X\_Zero$  :  $\mathcal{T}_x$   
|  $X\_ns$  :  $A \rightarrow \mathcal{T}_x$   
|  $X\_Xor$  :  $\mathcal{T}_x \rightarrow \mathcal{T}_x \rightarrow \mathcal{T}_x$

Inductive  $\mathcal{T}_n$ :  $\text{Set} :=$

|  $NX\_PC$  :  $public\_const \rightarrow \mathcal{T}_n$   
|  $NX\_SC$  :  $secret\_const \rightarrow \mathcal{T}_n$   
|  $NX\_sum$  :  $A \rightarrow \mathcal{T}_n$   
|  $NX\_E$  :  $\mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$   
|  $NX\_Hash$  :  $\mathcal{T}_n \rightarrow \mathcal{T}_n \rightarrow \mathcal{T}_n$

$X\_ns (NX\_sum (X\_ns (NX\_sum (\dots))))$

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J.-F. Monin,  
J. Courant

Inductive  $\mathcal{T}_x: \text{bool} \rightarrow \text{Set} :=$

- |  $X\_Zero : \forall b, \mathcal{T}_x b$
- |  $X\_ns : \forall b, \text{ls\_true } b \rightarrow A \rightarrow \mathcal{T}_x b$
- |  $X\_Xor : \forall b, \mathcal{T}_x \text{ true} \rightarrow \mathcal{T}_x \text{ true} \rightarrow \mathcal{T}_x b$

Inductive  $\mathcal{T}_n: \text{bool} \rightarrow \text{Set} :=$

- |  $NX\_PC : \forall b, \text{public\_const} \rightarrow \mathcal{T}_n b$
- |  $NX\_SC : \forall b, \text{secret\_const} \rightarrow \mathcal{T}_n b$
- |  $NX\_sum : \forall b, \text{ls\_true } b \rightarrow A \rightarrow \mathcal{T}_n b$
- |  $NX\_E : \forall b, \mathcal{T}_n \text{ true} \rightarrow \mathcal{T}_n \text{ true} \rightarrow \mathcal{T}_n b$
- |  $NX\_Hash : \forall b, \mathcal{T}_n \text{ true} \rightarrow \mathcal{T}_n \text{ true} \rightarrow \mathcal{T}_n b$

$X\_ns (NX\_sum (X\_ns (NX\_sum (\dots))))$

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- Prefer fixpoints: built-in computation, no inversion

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- ▶ Prefer fixpoints: built-in computation, no inversion
- ▶ Use map combinators

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- ▶ Prefer fixpoints: built-in computation, no inversion
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Many 10 lines definitions, almost no theorem

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- ▶ Prefer fixpoints: built-in computation, no inversion
- ▶ Use map combinators

Many 10 lines definitions, almost no theorem

Fixpoint *lift\_lasagna\_x*  $e_1$   $e_2$  {*struct*  $e_1$ } :

$\mathcal{L}_x \ e_1 \rightarrow \mathcal{L}_x \ (e_1 + e_2) :=$   
match  $e_1$  return  $\mathcal{L}_x \ e_1 \rightarrow \mathcal{L}_x \ (e_1 + e_2)$  with  
|  $0_e \Rightarrow$  fun  $emp \Rightarrow$  match  $emp$  with end  
|  $S_{o \rightarrow e} \ o_1 \Rightarrow map_x \ (lift\_lasagna\_n \ o_1 \ e_2) \ false$   
end

with *lift\_lasagna\_n*  $o_1$   $e_2$  {*struct*  $o_1$ } :

$\mathcal{L}_n \ o_1 \rightarrow \mathcal{L}_n \ (o_1 + e_2) :=$   
match  $o_1$  return  $\mathcal{L}_n \ o_1 \rightarrow \mathcal{L}_n \ (o_1 + e_2)$  with  
|  $S_{e \rightarrow o} \ e_1 \Rightarrow map_n \ (lift\_lasagna\_x \ e_1 \ e_2) \ false$   
end.

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Used everywhere

Definition *bin\_xor*

$$(bin : \forall A b, \mathcal{T}_x A \text{ true} \rightarrow \mathcal{T}_x A \text{ true} \rightarrow \mathcal{T}_x A b) \ o_1 \ o_2 \ b$$
$$(l_1 : lasagna\_cand\_x \ o_1 \ true)$$
$$(l_2 : lasagna\_cand\_x \ o_2 \ true) :$$
$$lasagna\_cand\_x \ (max\_oo \ o_1 \ o_2) \ b :=$$
$$bin \ (\mathcal{L}_n \ (max\_oo \ o_1 \ o_2)) \ b$$
$$(lift\_lasagna\_cand\_x \ true \ o_1 \ (o_2 - o_1) \ l_1)$$
$$(coerce\_max\_comm$$
$$(lift\_lasagna\_cand\_x \ true \ o_2 \ (o_1 - o_2) \ l_2)).$$

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- Polymorphism

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- ▶ Mutually inductive types

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- ▶ No JMEQ

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Future work

- ▶ Study variants, compare and choose the best

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- ▶ Study variants, compare and choose the best
- ▶ application to CCA

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